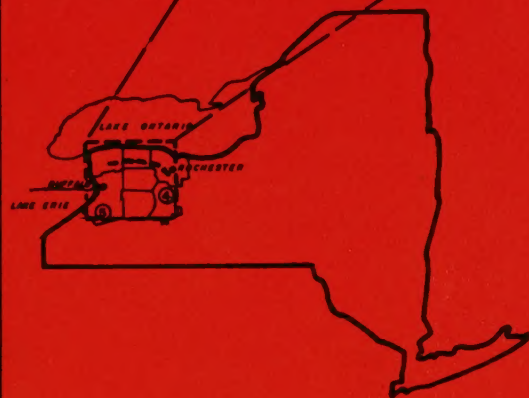
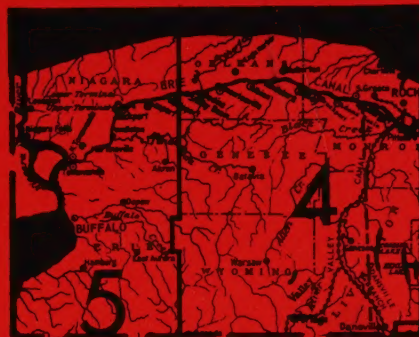


*BARGE CANAL
WESTERN SECTION
LOCK 33 — LOCKPORT*

*FOUNDATION
INVESTIGATION
REPORT*



FOUNDATION INVESTIGATION REPORT

PHYSICAL CONDITION SURVEY

BARGE CANAL - WESTERN SECTION

LOCK 33 TO LOCKPORT

P. I. N. 4940.42 - 101

5940.21 - 101

LYNDON H. MOORE - DIRECTOR
SOIL MECHANICS BUREAU

ALBANY, NEW YORK

OCTOBER, 1977



NEW YORK STATE
DEPARTMENT OF TRANSPORTATION
Raymond T. Schuler, Commissioner



1220 Washington Avenue, State Campus, Albany, New York 12226

October 31, 1977

Mr. George M. Briggs, Director
Transportation Maintenance Division
NYS Department of Transportation
1220 Washington Avenue
Albany, New York 12232

Dear Mr. Briggs:

Subject: Transmittal of Canal Condition Survey Report
Lock 33 to Lockport
PIN 4940.42-101 and 5940.21-101

In accordance with your request dated December 16, 1974, this Bureau has completed a physical condition survey of the western section of the Barge Canal which extends between Fairport and Lockport. Our previous report submitted to your office on June 20, 1975, detailed conditions and recommendations for the canal section between Fairport to Lock 33. This physical condition study completes the remaining canal sections between Lock 33 and Lockport.

The report was formulated from comprehensive studies performed by personnel from the Soil Mechanics Bureau. These studies were based on comprehensive field inspections combined with the results of soil borings performed at designated locations along the alignment and a laboratory analysis of soil samples.

The recommendations in this report have been verbally transmitted to Mr. Sam Bowen of your office for areas requiring remedial treatment. In a few instances additional cross sections to define treatment end limits have been requested but not yet received. However, these sections will not influence the proposed treatments, only their extent.

Very truly yours,

Lyndon H. Moore, Director
Soil Mechanics Bureau

A handwritten signature in cursive script that reads "Lyndon H. Moore".

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INTRODUCTION

Pg. 1

The purpose of this report is to provide the Transportation Maintenance Division with a technical appraisal of foundation conditions along the Western section of the Barge Canal between Rochester and Lockport. Accepted engineering criteria has been used to evaluate the condition of the canal embankment and develop the foundation recommendations which are contained in this report.

On December 16, 1974, the Soil Mechanics Bureau was authorized to inspect and prepare any necessary foundation treatment recommendations for the Barge canal embankments between Rochester and Lockport. The initial section between Fairport and Lock 33 was to be completed first due to the high embankments which existed therein and the recent failure at Bushnell's Basin. In addition, this first report would determine the feasibility and relative cost of embankment repair treatments which could be applied to the remaining canal sections. A work plan was developed to accomplish this task. The purpose and scope are as follows:

"PURPOSE - To develop long range monitoring and maintenance programs to provide continuous and efficient operation of the canal system and to insure public safety."

"SCOPE - To inspect and document the condition of the present canal system; to evaluate the condition and prepare recommendations for future corrective and preventive maintenance and monitoring programs."

On June 20, 1975, a Foundation Investigation Report, Barge Canal, Fairport to Lock 33, was transmitted to your office. It is worthy of note that

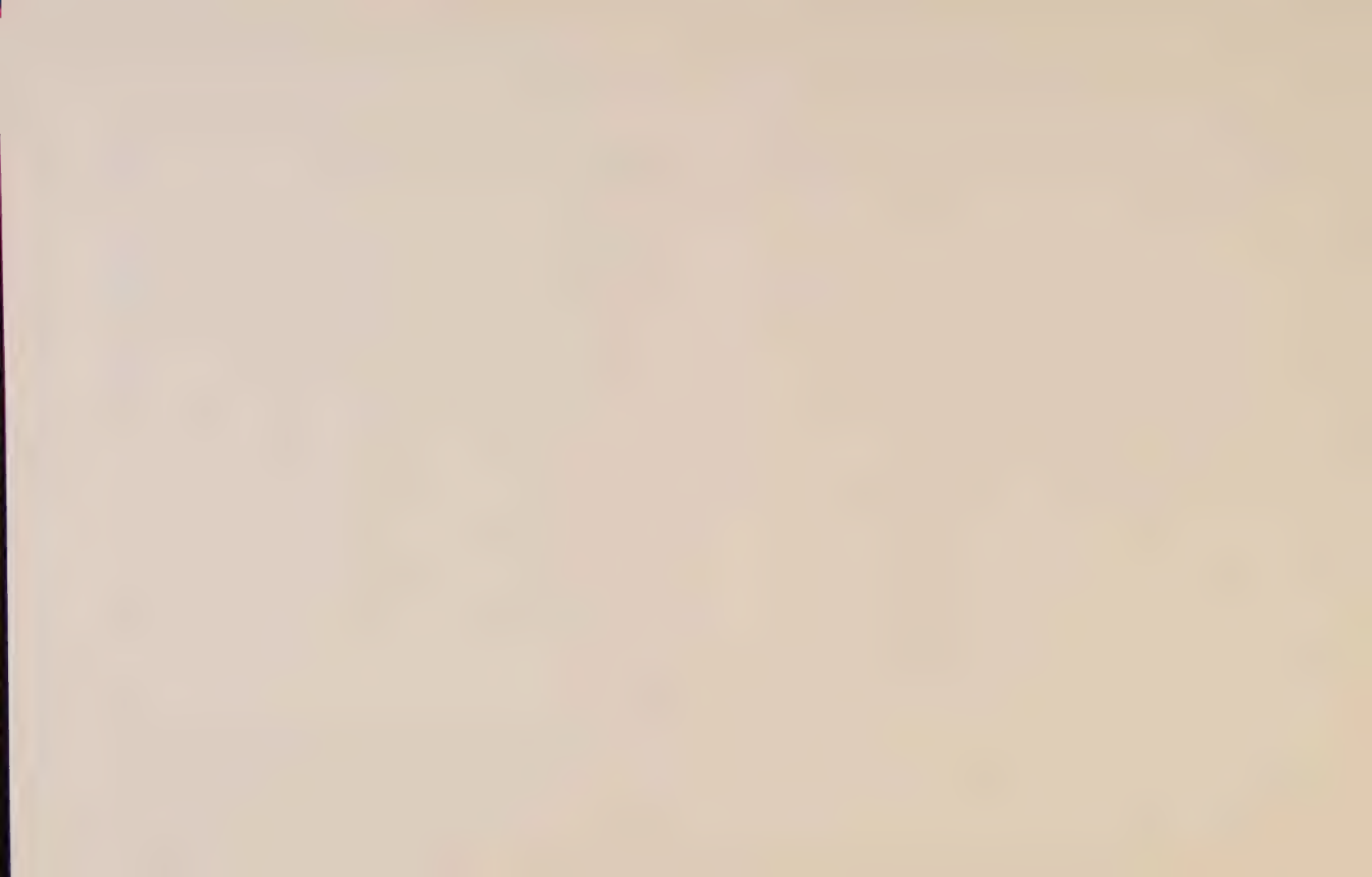
the vast majority of the canal embankments in this area required no remedial foundation treatment whatsoever. In addition the areas requiring treatment were able to be quickly and economically repaired. These treatments have provided effective stabilization and can be utilized in future studies.

The method of study involved a field inspection of the entire canal between Rochester and Lockport with several return inspections in marginal areas. After the initial field study, detailed ground survey cross section and subsurface explorations were obtained in selected embankment areas. In some cases several extensions of cross section limits were required to define the end limit of areas requiring treatment. Although all of these cross sections have not yet been received this data will not influence the type of treatment proposed, only the extent.

In the framework of this report we have briefly reiterated the criteria used to ascertain the adequacy of the canal embankments, developed contour plans of each area and presented detailed cross section data for each area requiring treatment. Two areas Culvert Rd. and Middleport, which required concrete channel lining were transmitted earlier under separate cover.

SUMMARY

Our field inspection, laboratory and design analyses indicate that ⁹⁶ percent of the canal embankments between Lock 33 and Lockport conformed ₁ to accepted design criteria. The remaining areas which require some degree of embankment improvement are included within the body of this report.



BARGE CANAL FIELD INSPECTION

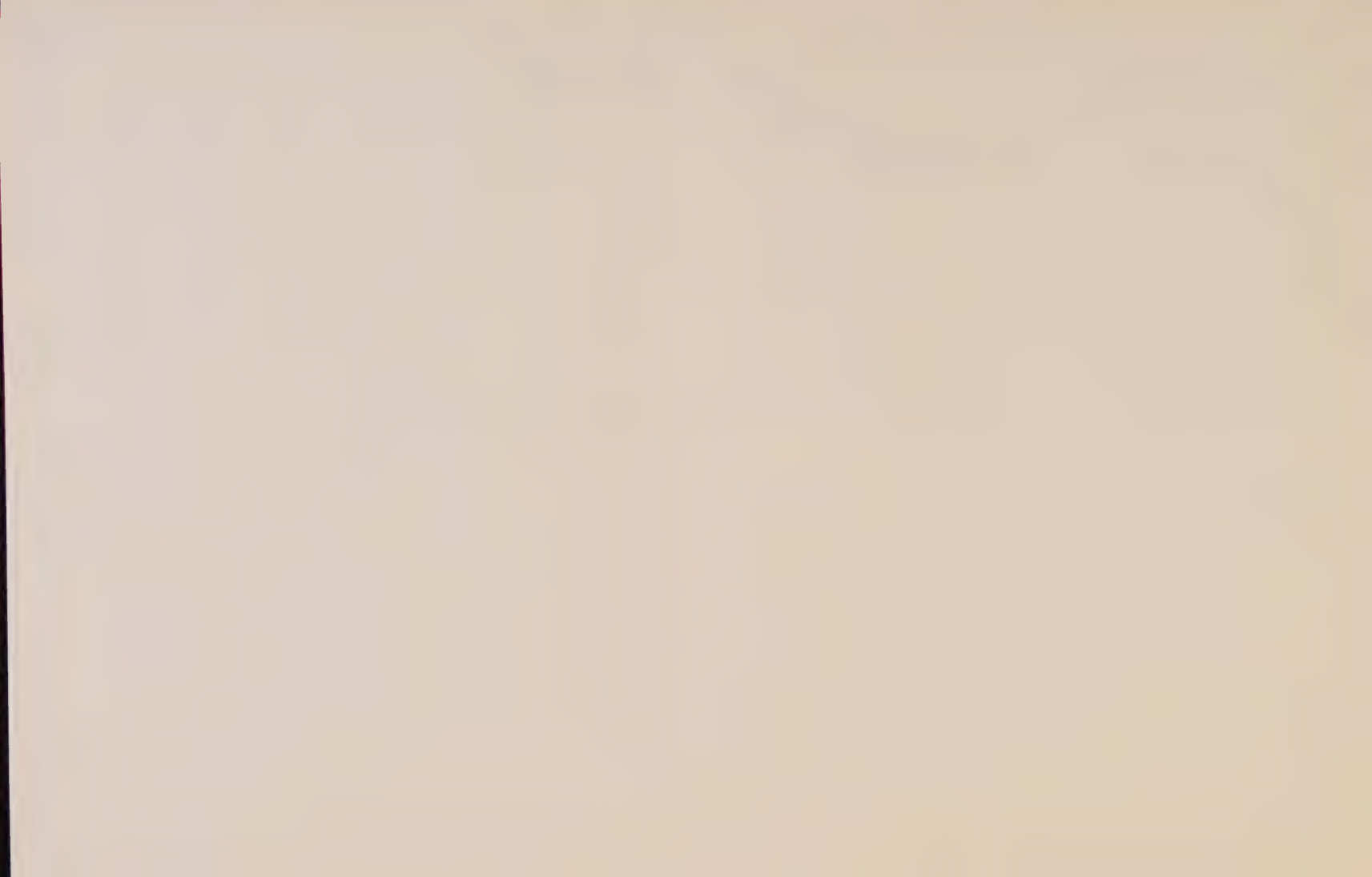
During the past 2 years representatives of the Soil Mechanics Bureau have made numerous field inspections of the canal embankment between Rochester and Lockport. The inspections were done in both winter and summer to observe the canal in operation and dewatered. The object of the initial inspections was to identify general areas which appeared to require remedial treatment. At the time of these initial inspections, only 1:24000 U.S.G.S. topographic maps were available to assess ground contours. Cross sections were then obtained and analyzed to determine if the areas were substandard. Additional field inspections were made with these cross sections in hand to layout subsurface explorations and to determine the limits of treatment in the field. Additional cross sections were obtained to define treatment end limits.

The inspection procedure consisted of traversing the 60 mile long subject canal section to locate areas of seepage and areas of high or steep embankment slopes. The primary purpose of this inspection was to evaluate existing embankment stability and assess related factors on its long term performance. Areas of suspected seepage were tested by injecting a dye into the canal and observing the outboard embankment for its emergence. None of the areas tested showed any dye emergence. Structures extending through or under the canal were inspected only for foundation instability. Observations and opinions regarding the structural condition are presented in a separate report by the Materials Bureau.

ANALYSIS OF CANAL EMBANKMENTS

Our analysis of the canal alignment was based on embankment stability criteria, earth dike design, seepage considerations, and standard structure design practices. These analyses were used to estimate a "condition classification" and to determine recommended foundation treatment. The standard design sections used in this report for canal embankments are in accord with data contained in "Guidelines for the Construction of Small Earth Dams" published by New York State Department of Environmental Conservation. This represents the current best engineering practice and the professional judgment of staff engineers of the State Department of Transportation and the State Department of Environmental Conservation with assistance by the staff of the Soil Conservation Service, United States Department of Agriculture. The above publication is based upon earth dam design criteria established in "Design of Small Earth Dams," 1961, United States Department of the Interior - Bureau of Reclamation.

Supplementary analyses involving laboratory grain size analyses of soil samples were made to determine the resistance of the existing soils against piping or cracking. Piping is defined as the movement of soil particles as the result of unbalanced seepage forces produced by percolating water, leading to the development of boils or erosion channels.



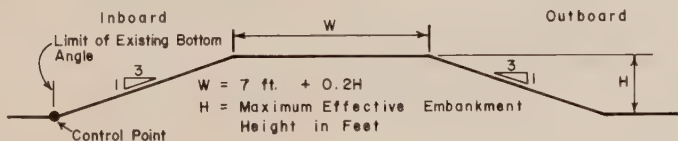
In general, the existing materials offer "intermediate" resistance to piping. That is, the soils will safely resist saturation of the lower portion of the downstream slope indefinitely. However, sloughing of oversteepened side slopes may open small leakage channels which would cause erosion and lead to eventual failure. Therefore, an objective of this study is to find and flatten any oversteepened slopes in sub-standard areas. Cracking due to differential settlement occurs in embankments a few years after construction. Normal due to poorly compacted cohesionless soils, this phenomena causes small openings near the embankment top surface which may lead to piping. In general, the existing soils probably were subjected to cracking when initially constructed. However, these embankments have been safely in place for many years and no further effects due to cracking are expected. The results of the pertinent laboratory testing are plotted on later pages adjacent to the appropriate cross section and labeled "Soil Sample Grain Size Distribution". The typical gradation bands for estimating piping and cracking potential are excerpted from Technical Memorandum 645 of the U.S. Department of Interior, Bureau of Reclamation entitled, "Influence of Soil Properties and Construction Methods on the Performance of Homogeneous Earth Dams" by J. L. Sherard.

The following is a brief explanation of the geometry of the standard design canal embankment sections developed for this project.

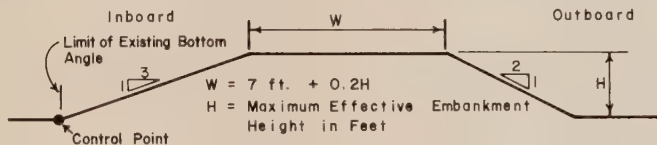
1. Inboard canal embankment slopes steeper than 1 vertical on 2 horizontal can be expected to slough or be susceptible to isolated failures due to sudden drawdown of canal water or excessive saturation due to run-off from storm water. It is not expected that these conditions would deteriorate the inboard slope beyond 1 vertical on 3 horizontal since annual maintenance is done on the inboard slopes. Therefore for determining required embankment widening it was assumed that all inboard slopes would eventually have a 1 vertical on 3 horizontal inclination.
2. The purpose of the top width requirement (W) is to provide sufficient embankment width to prevent the theoretical line of seepage from emerging on the outboard slope. Many areas on this project have an embankment top width (W) far in excess of the recommended. Areas which have side slopes much steeper than the recommended may be quite stable overall, if the top width is great. We do not recommend flattening slopes, except for maintenance cost reduction, of any section that has adequate top width based on application of the standard canal embankment section criteria; that is, any section on which the standard section is completely contained within the existing embankment section.
3. The inclination of the outboard slope is specified at 1 vertical on 3 horizontal to provide embankment stability. If seepage control measures are utilized a steeper allowable slope i.e., 1 vertical on 2 horizontal, is justified because seepage forces are reduced and embankment stability increased.

The existing canal embankment sections were compared with the standard

design sections for canal embankments shown below. This comparison is effected by establishing the inboard toe of slope (or limit of bottom angle) as a control point and then overlaying the existing cross-section with the appropriate standard section. The "sub-standard embankment sections" referred to [REDACTED] in the text are existing embankments whose geometry does not conform to the criteria shown below:



Standard Design Section for Canal Embankments Without Seepage Control Measures



Standard Design Section for Canal Embankments With Seepage Control Measures

A separate analysis was performed to account for the affect of earthquakes on embankment stability. The details of this analysis are contained in Appendix B. The results of this analysis indicate that the standard design sections shown above will be stable for the expected earthquake intensity.

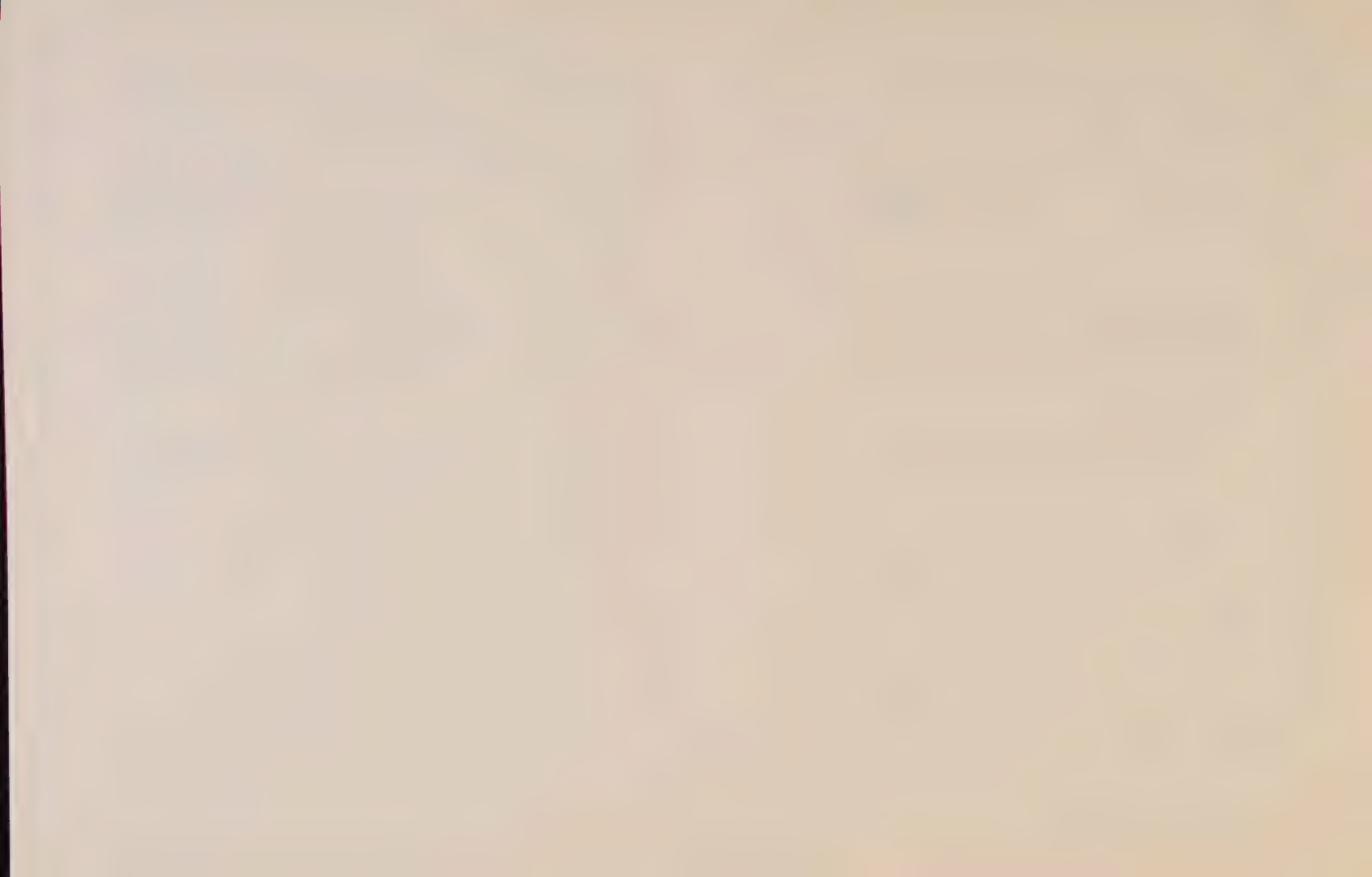
Based on the results of our field inspection of the canal embankments we have classified the condition of each area into one of four categories. These categories are as follows:

| <u>Condition Classification</u> | <u>Description</u> |
|---------------------------------|--|
| Class 1 | Immediate Positive Treatment Required |
| Class 2 | Future Improvement Required |
| Class 3 | Minimal Maintenance or monitoring required |
| Class 4 | No treatment required |

The station by station analyses which are shown in the text outline the general physical description and foundation problems within each canal area. Recommended foundation treatment is shown to correct the foundation problems noted thereon.

The entire subject canal area was classified with the following results:

| <u>Condition Classification</u> | <u>Percent of Total Area</u> |
|---------------------------------|------------------------------|
| Class 1 | 0.1 |
| Class 2 | 3.9 |
| Class 3 | 0.5 |
| Class 4 | 95.5 |



FOUNDATION TREATMENT CONSIDERATIONS

The recommended foundation treatment shown on the station by station summaries should be accomplished using established procedures.

A. Canal Embankment Widening

The treatment limits shown on the station by station summaries for embankment widening or slope flattening are only approximate. In all areas where these treatments were recommended, end limiting sections should be obtained. These cross-sections will be used to determine the actual limits of treatment. Widening or flattening operations should be progressed as follows in areas where sufficient right of way exists.

1. Strip all topsoil, sod, and vegetation from area to be improved.
2. Extend any existing drainage structures beyond toe of improved slope.
3. Bench existing embankment in accordance with New York State Department of Transportation Standard Sheet 203-2 before placement and compaction of additional embankment.
4. Embankment material and placement procedures should be in accord with Section 203 of the New York State Department of Transportation Standard Specifications of January 1, 1973. Additional required embankment material should be Item 12203.26 - Crusher Run.

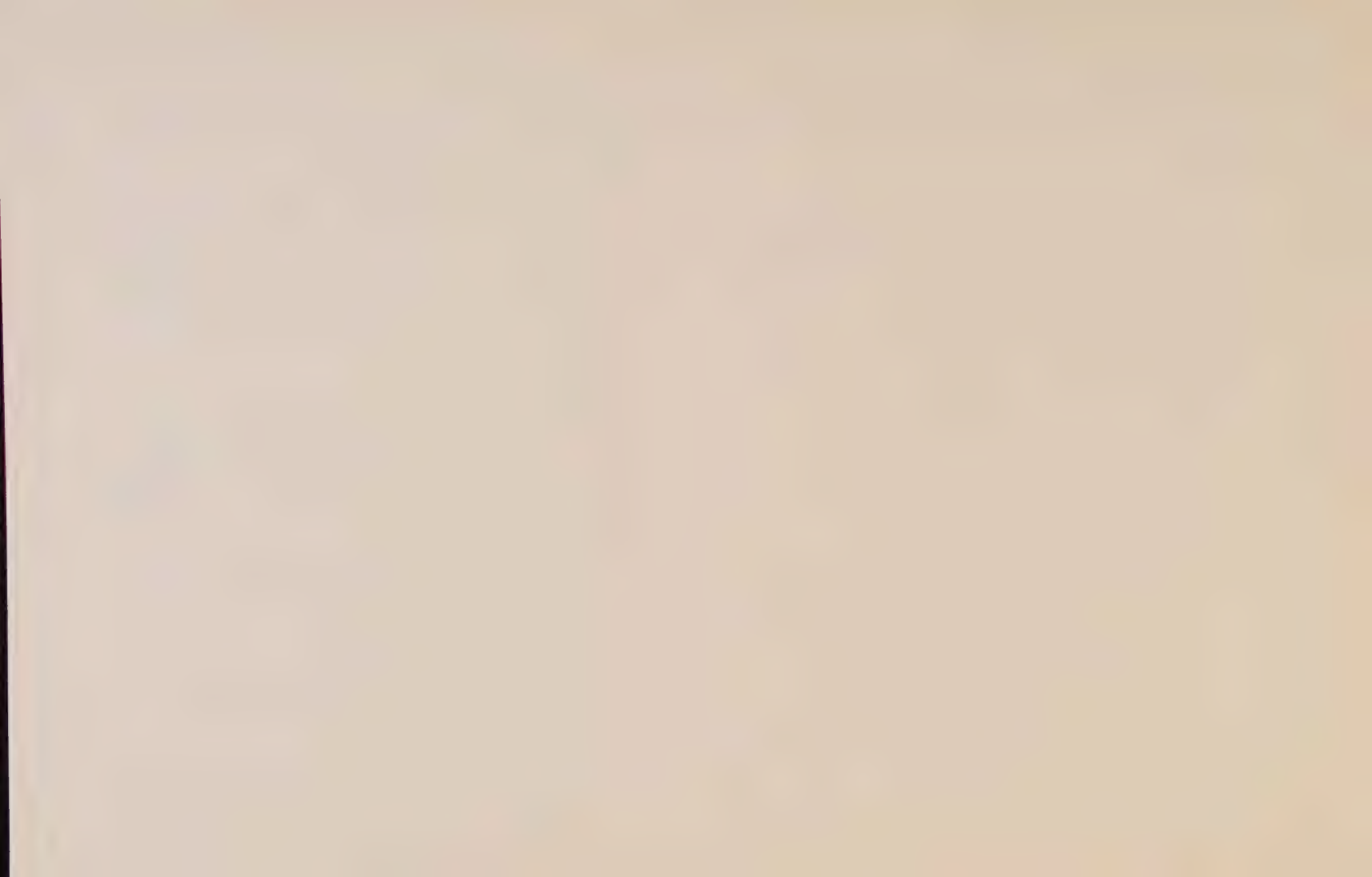
In areas of limited right of way, embankment widening or slope flattening may be accomplished by constructing a concrete retaining wall near the right of way limit.

1. These walls should be designed in accordance with Figures 20A or 20B, Section 20 of the New York State Highway Design Manual or EI 76-69 for Precast Concrete Wall Units.
2. Any utilities which must be extended through the wall should be independent of the wall and surrounded with filter material.

B. Seepage Control

Positive, permanent elimination of seepage is difficult and expensive to achieve. The primary purpose of the seepage treatments recommended in this report is to prevent embankment instability due to seepage forces or piping and to substantially reduce large losses of canal water.

1. Any drainage structures which are now defunct or will be abandoned in the future should be positively blocked.
2. All existing drainage pipes which are to be extended in connection with remedial embankment treatment should be fitted with anti-seep collars to increase flow path by 15 percent.
3. Sheet pile walls which are recommended for seepage cutoff should be installed at the centerline of the top of embankment.



In areas where sheeting cannot penetrate to the desired depth, pressure grouting may be required to seal the zone below the sheeting toe.

C. Drainage Structures

The inspection disclosed that all of the drainage structures in this section were stable. However, all existing functional drainage structures and outlet channels must be periodically cleaned of silt and debris to prevent clogging and the resulting build-up of seepage forces.

The Materials Bureau has completed a comprehensive study of the structural condition of the drainage structures between Fairport and Lockport. This report entitled, "Structure Condition Report - Fairport to Lockport" and dated Feb. 28, 1977, should be consulted prior to any culvert extensions to determine the extent of remedial treatment for the structure.

D. Slope Vegetation

Vegetation is planted on embankment slopes to minimize surface infiltration, prevent surface sloughing and to beautify the area. Certain types of vegetation such as grass, shallow rooted plants or creeping vines are well suited to this task. However, natural indiscriminate regeneration such as large bushes, trees and other dense, high vegetation tend to mask or create slope problems.

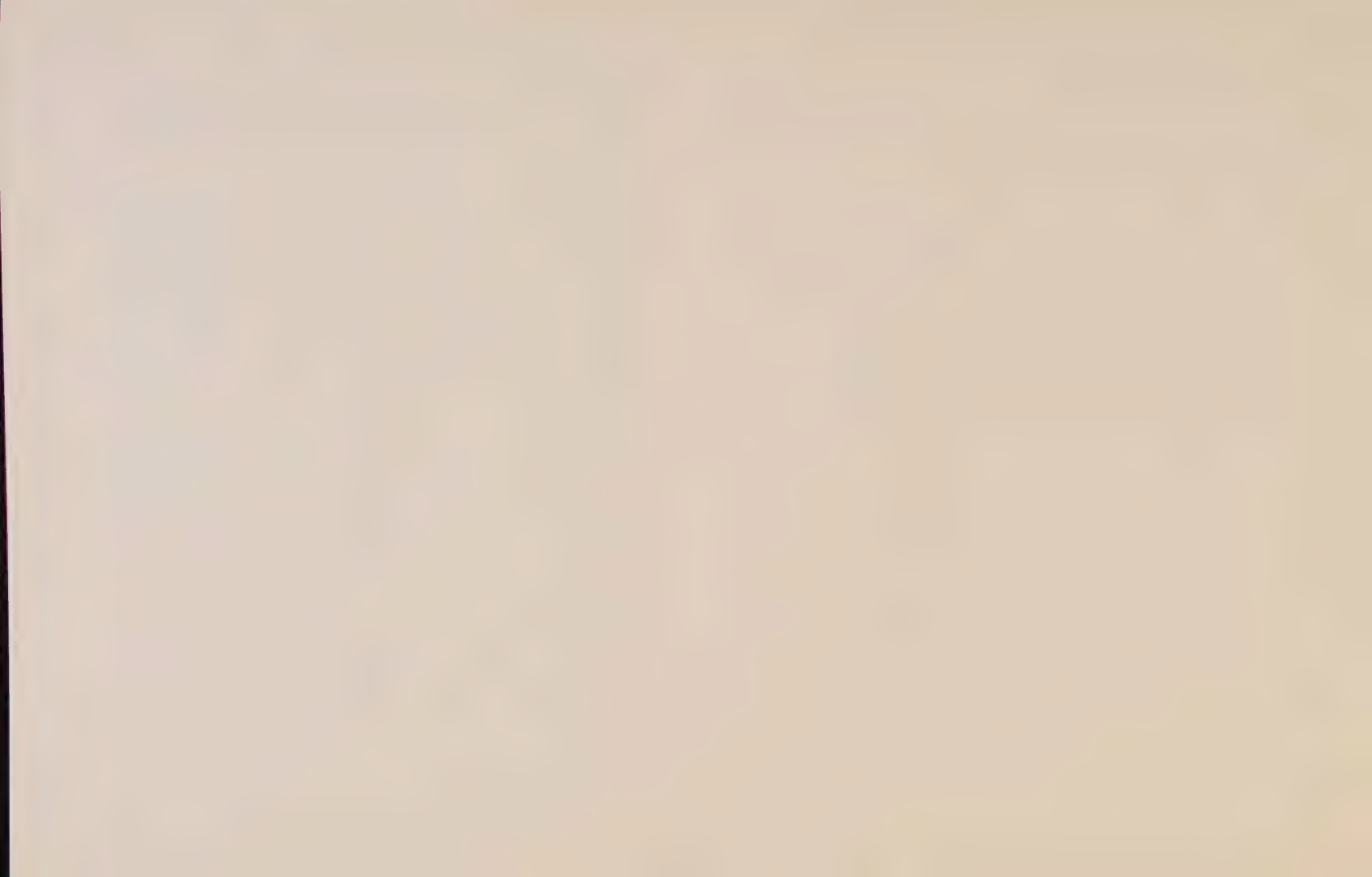
At the present time such dense vegetation exists on the canal slopes as to make inspection an extremely difficult job at best. In addition a large number of trees which vary in diameter from a few inches to several feet, grow on the slopes.

These trees present a multi-faceted problem. If the trees continue to grow, the root growth will continue to damage culverts and the interior concrete lining of the canal. Large trees present the greatest problem. This problem is most severe in areas where narrow substandard embankments exist. The roots also provide a natural seepage path for water from the canal. Selective thinning of smaller trees may increase the chance of toppling large trees due to the destruction of the "canopy effect."

If the trees are cut and not poisoned, root growth may continue indefinitely and only the problem of sudden failure has been alleviated. If the stumps are poisoned and die, decay will occur over a period of years and may create seepage problems.

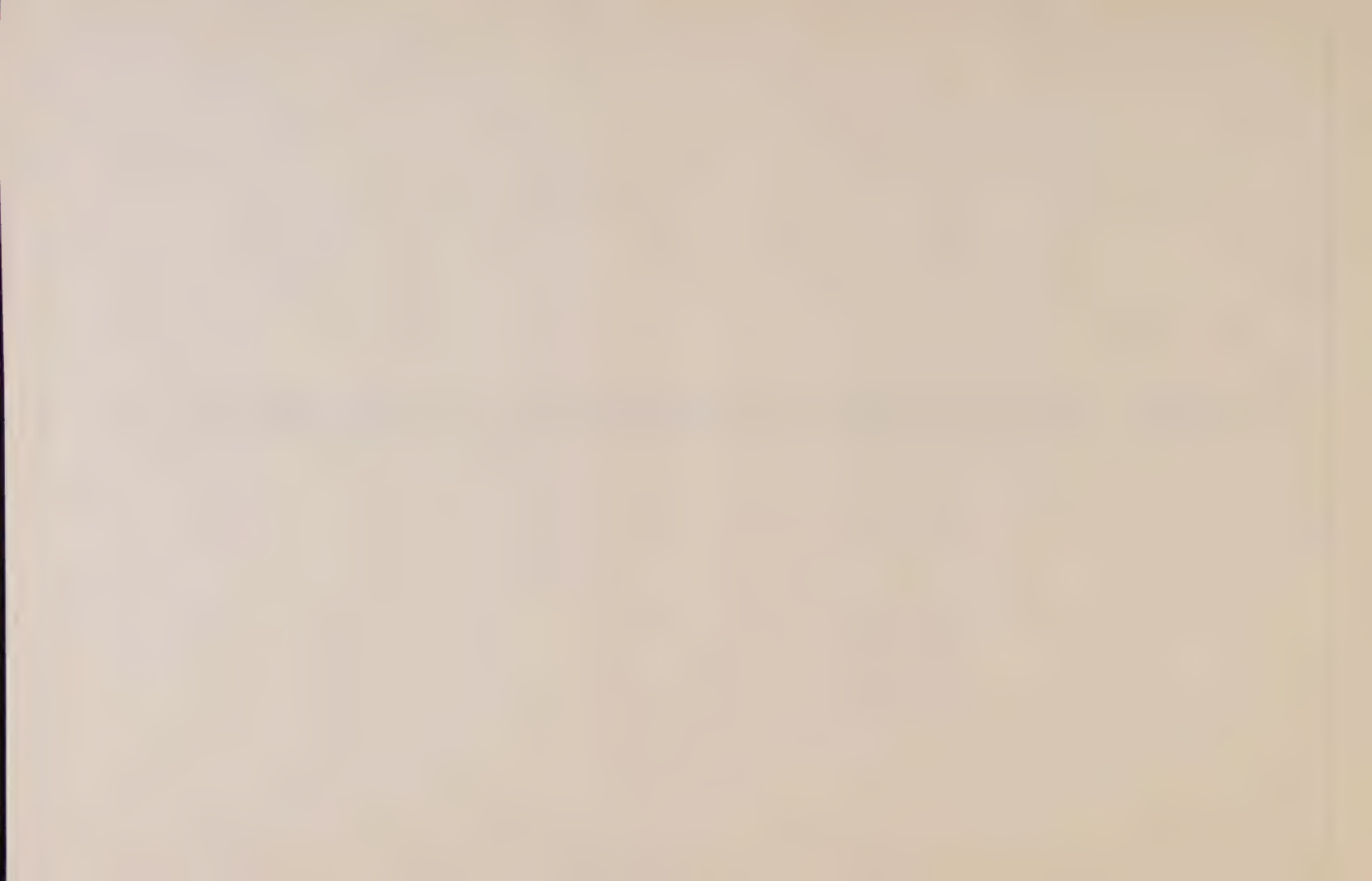
After careful consideration of this problem we recommend the following treatment.

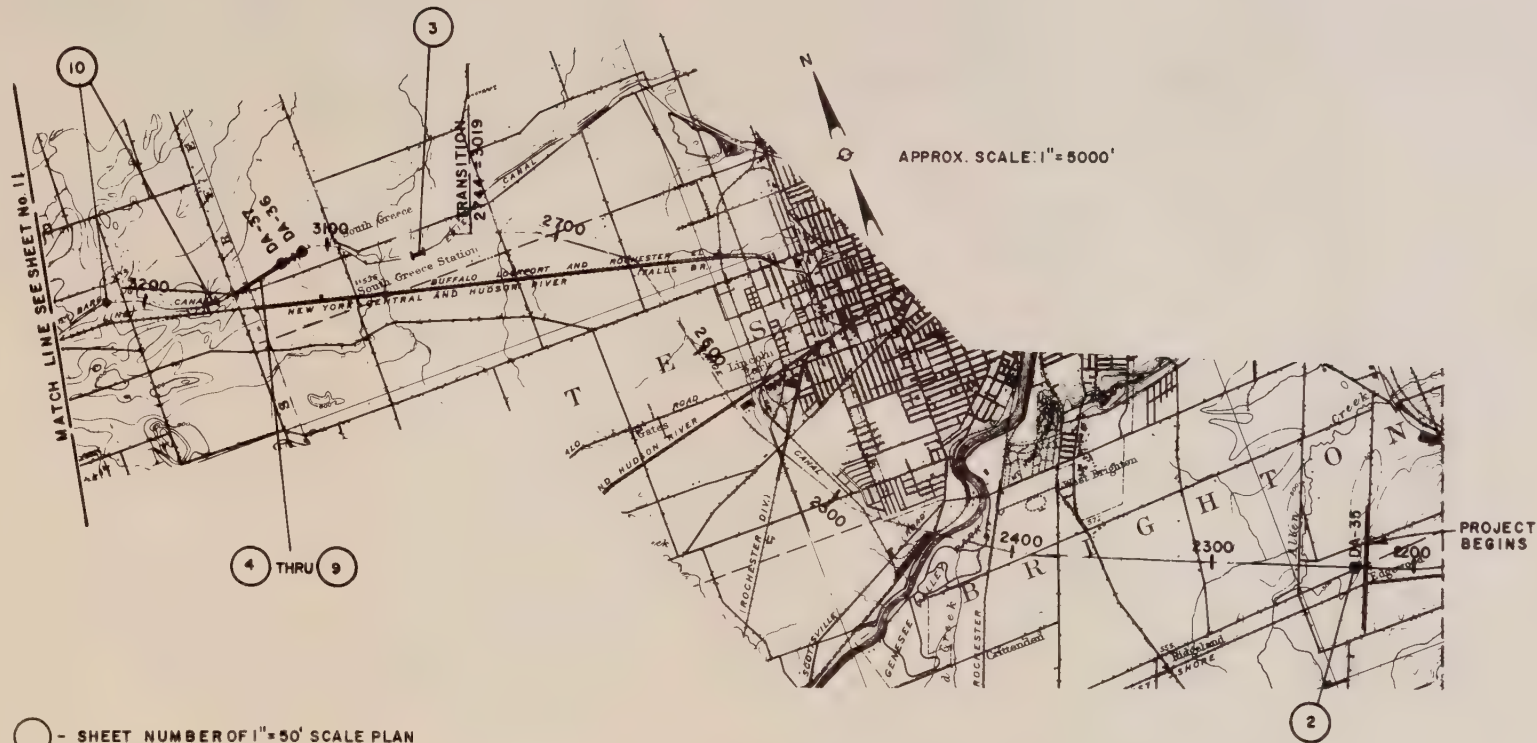
1. Remove and control all undesirable vegetation except grass and shallow creepers on slope areas with Class 1 or 2 condition classification. Remove all trees and poison the remaining stumps.

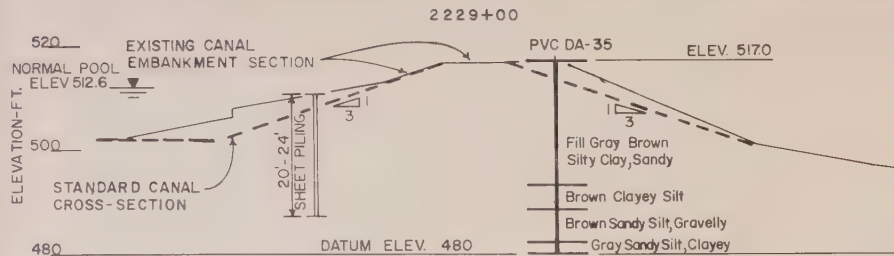


2. For all other slope areas i.e., condition classification 3 or 4, remove and control regrowth of dense high vegetation. Remove all trees with diameters 6" or less and poison the stumps. Removal of larger trees is not required as the stability of embankments under classes 3 and 4 would not be endangered if these large trees were suddenly toppled or uprooted.
3. All stump poisoning and vegetation control should be done in accordance with the New York State Department of Transportation Herbicide Manual prepared by the Landscaping Bureau in March, 1975.
4. Any areas which undergo complete removal of vegetation should be mulched and seeded immediately in order to prevent erosion by surface runoff.

CANAL , PLANS, CROSS-SECTIONS AND RECOMMENDATIONS

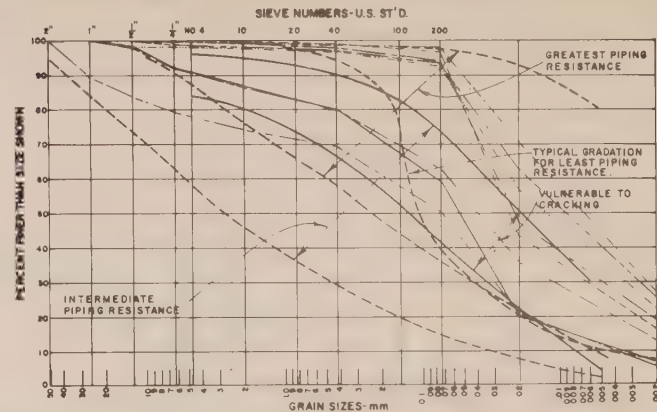






SECTION A-A

SCALE 1" = 20'



JAR-J-1 ——— J-5 ———
J-2 ——— J-6 ———
J-3 ——— J-7 ———
J-4 ——— J-8 ———

SOIL SAMPLE GRAIN SIZE DISTRIBUTION
PVC DA-35

Canal C/L Station

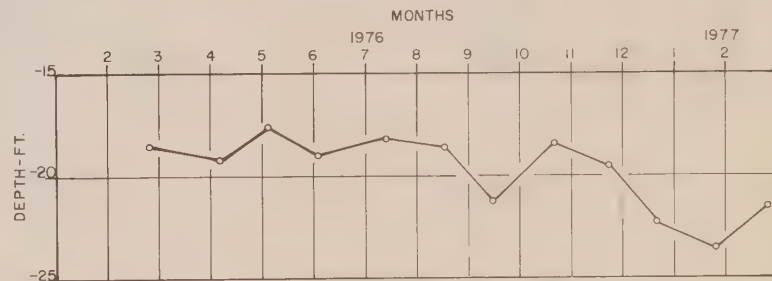
2228+50 - 2232+50

Condition Classification

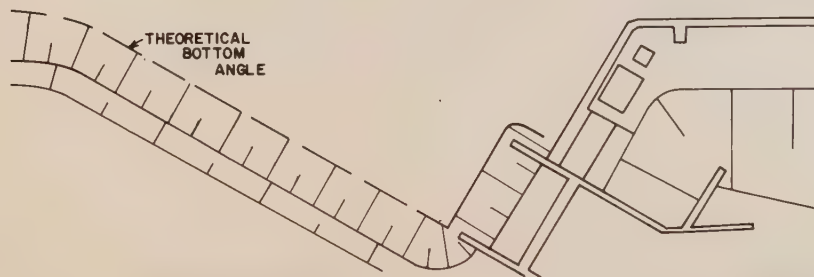
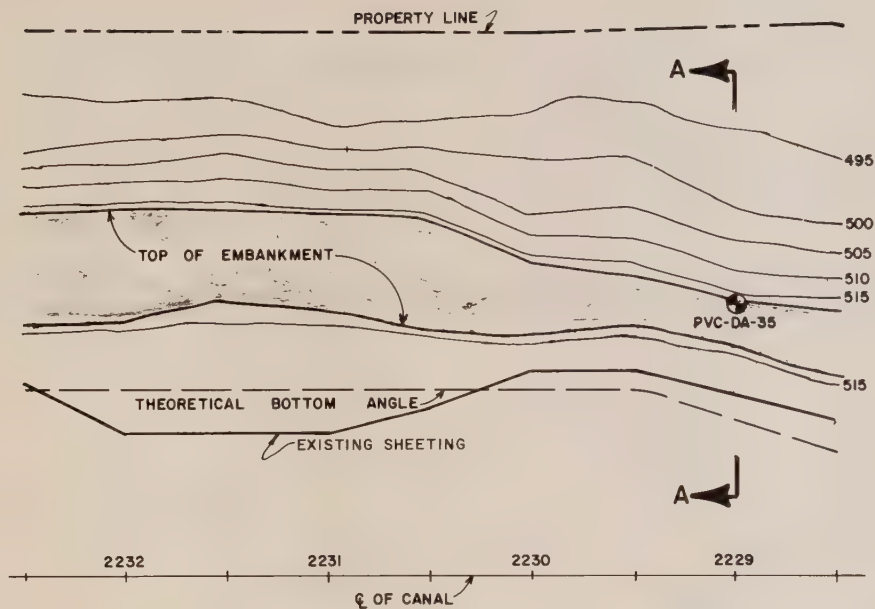
Class 4

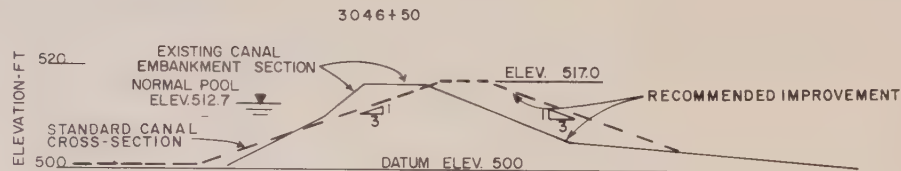
Recommended Foundation Treatment

None



SEASONAL VARIATION IN OBSERVED WATER LEVELS
PVC DA-35





SECTION B-B

SCALE 1" = 20'

Canal C/L Station

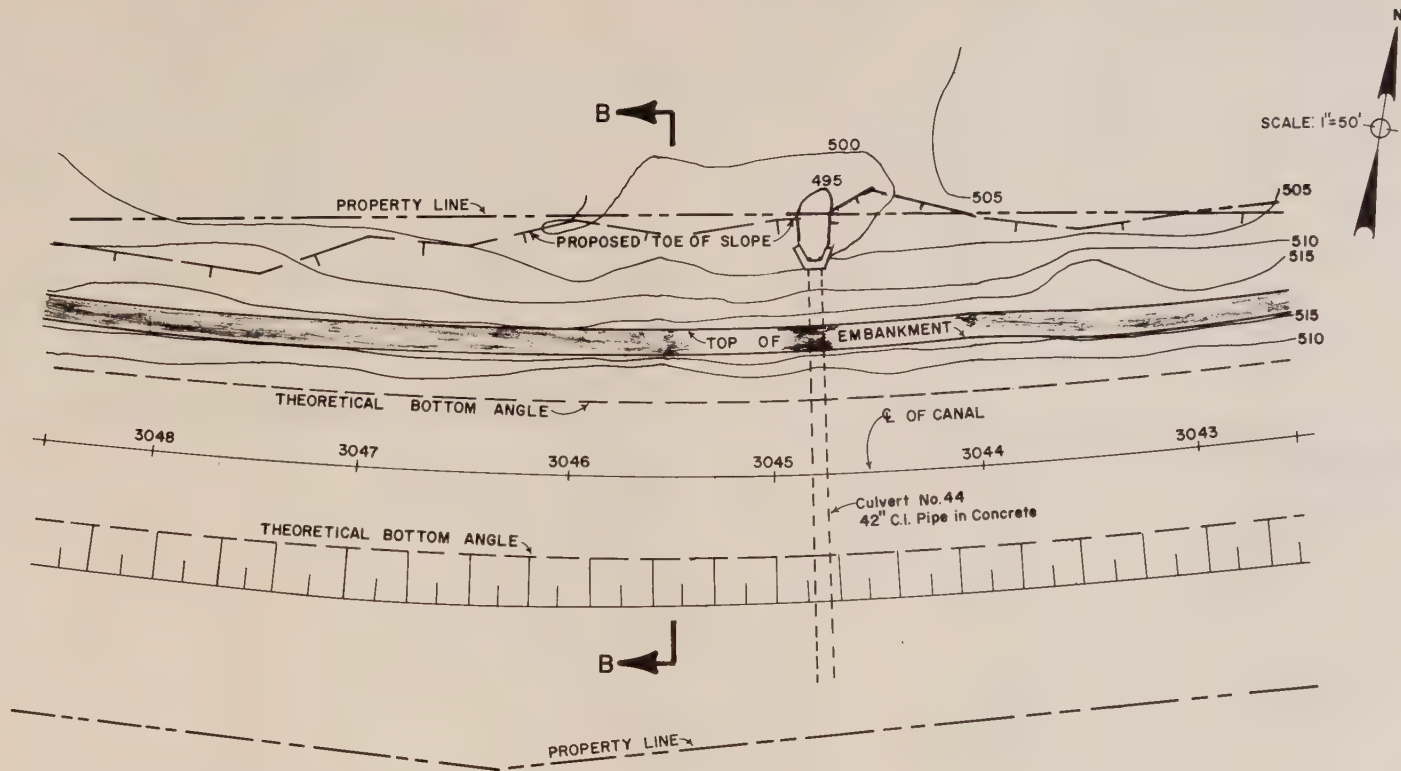
3042+50 - 3048+50

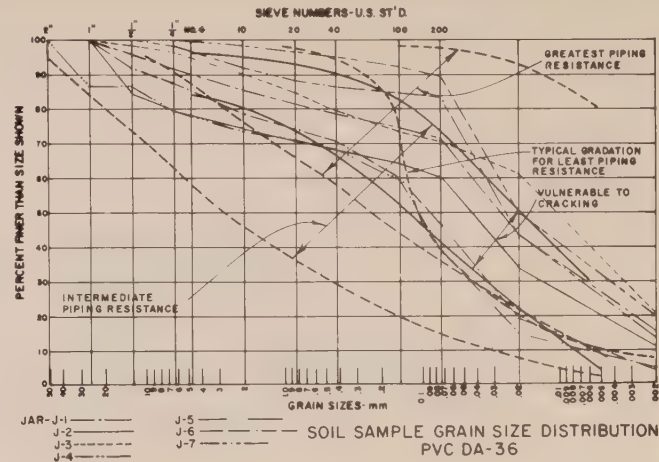
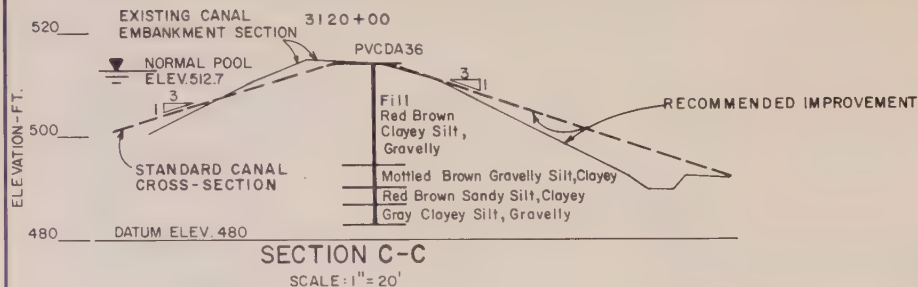
Condition Classification

Class 2

Recommended Foundation Treatment

Widen embankment on outboard side as shown in Section B-B.
 Extend culvert No. 44 to limit of proposed embankment.





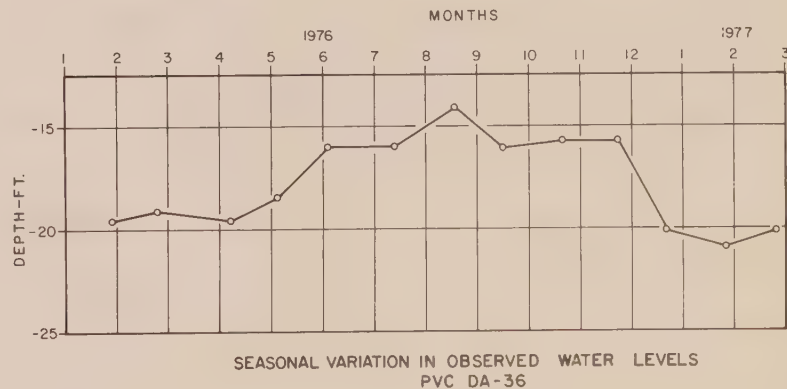
Canal C/L Station

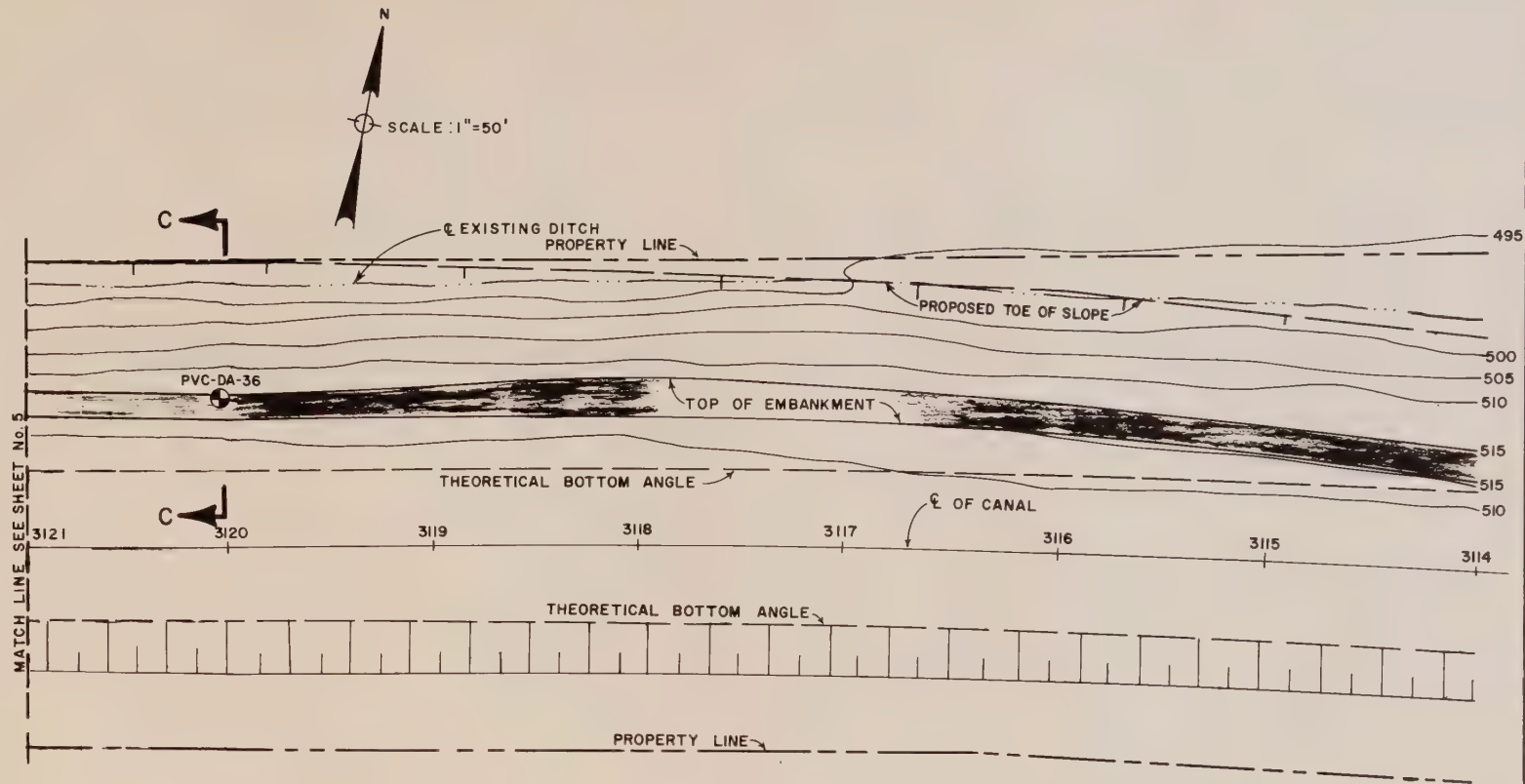
3114+00 - 3135+50

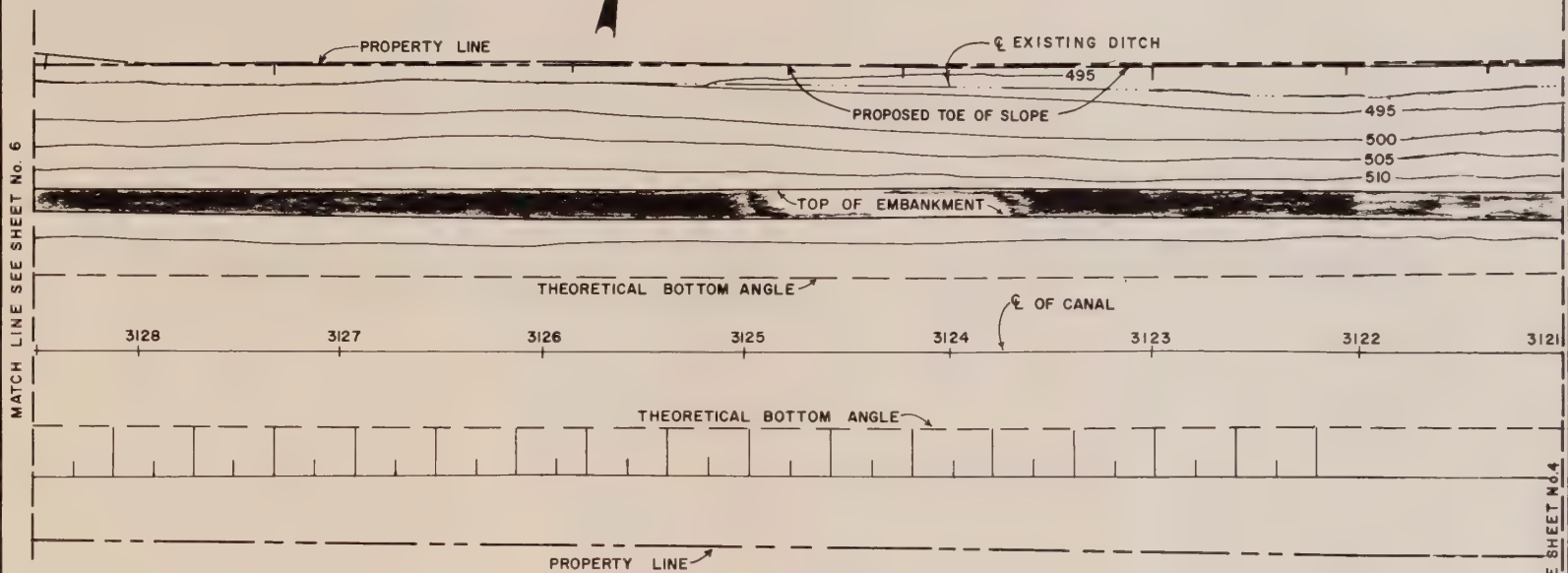
Condition Classification
Class 2

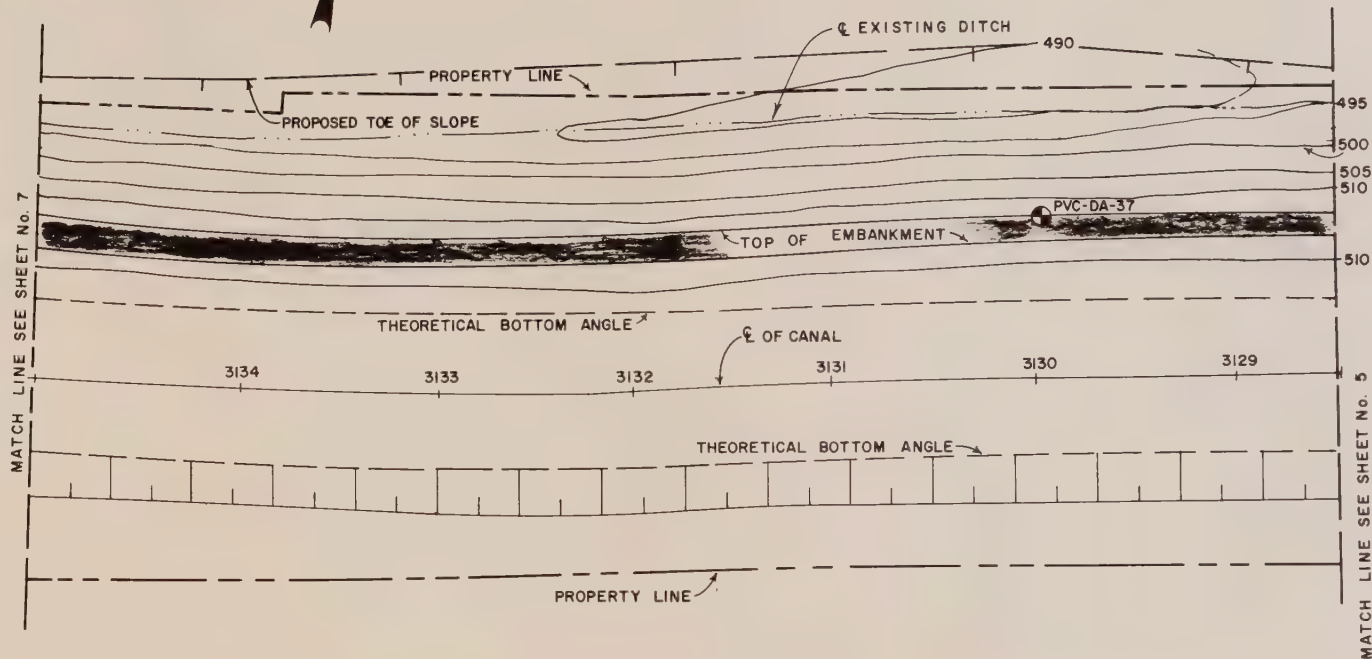
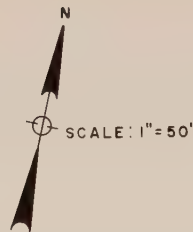
Recommended Foundation Treatment

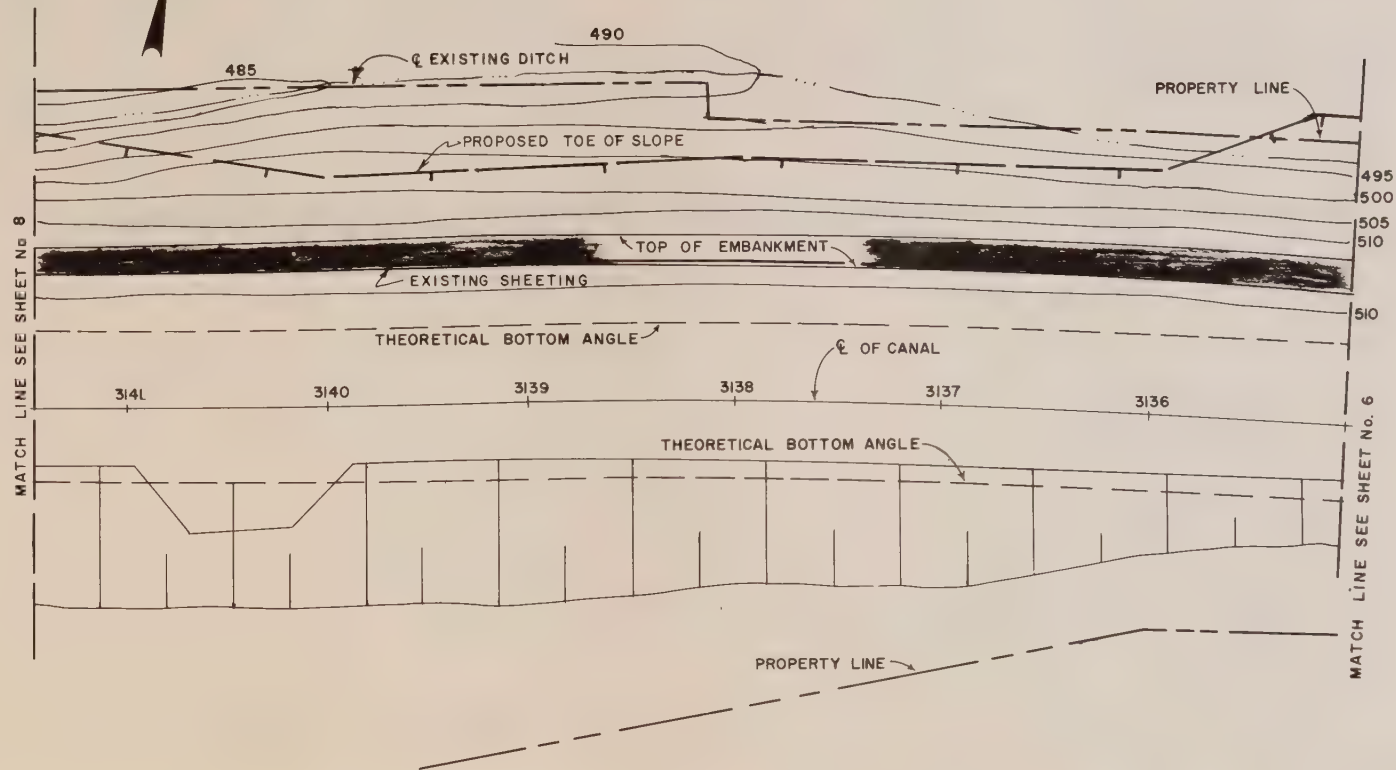
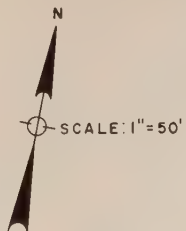
Widen embankment to criteria shown on Section C-C
and end slope limits shown on sheets 4 to 7

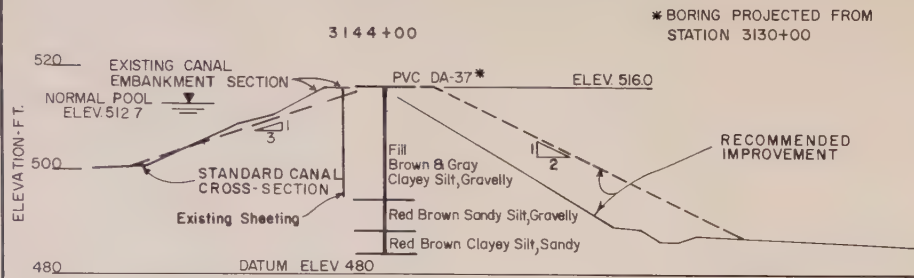






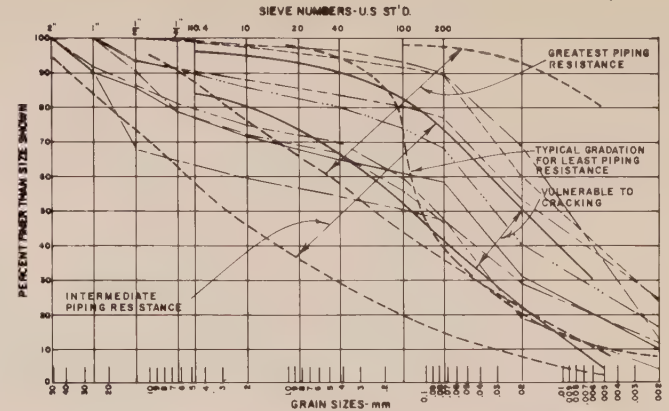






SECTION D-D

SCALE 1" = 20'



SOIL SAMPLE GRAIN SIZE DISTRIBUTION
PVC DA-37

JAR-J-1 ---
J-2 ---
J-3 ---
J-4 ---
J-5 ---
J-6 ---
J-7 ---
J-8 ---

Canal C/L Station

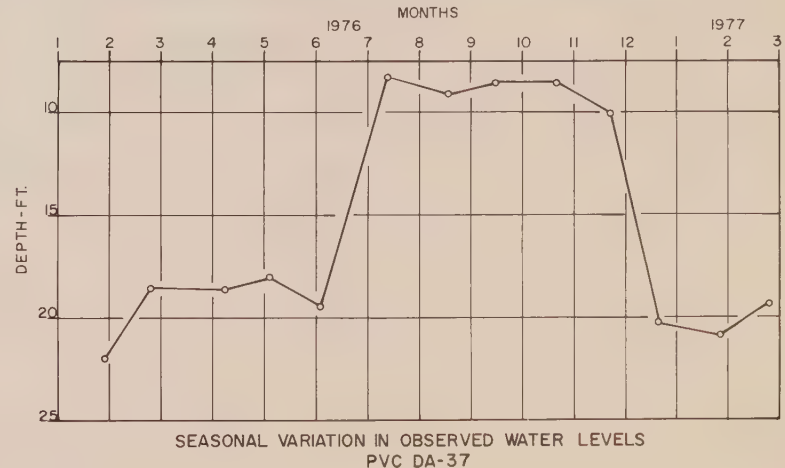
3135+50 - 3153+00

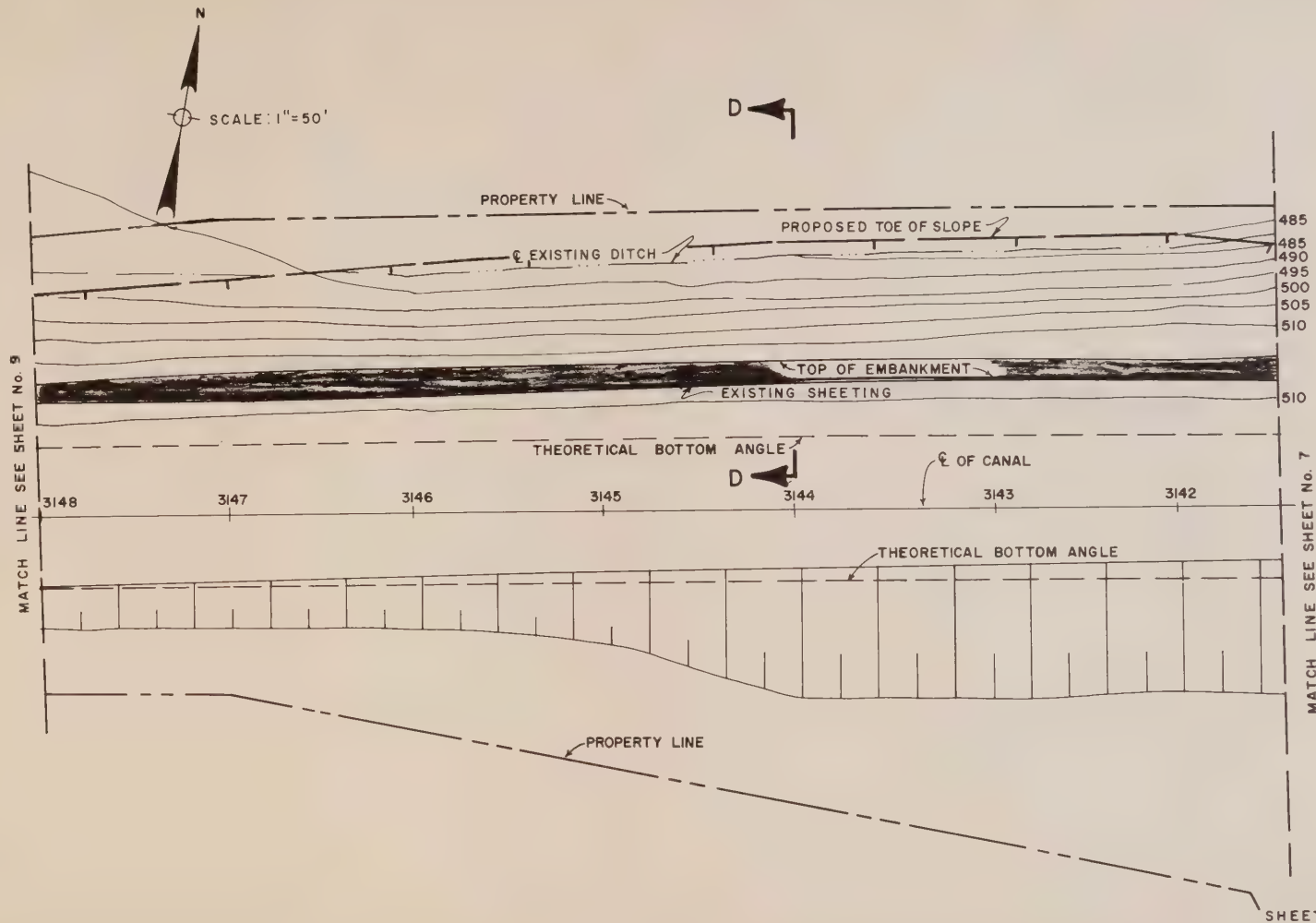
Condition Classification

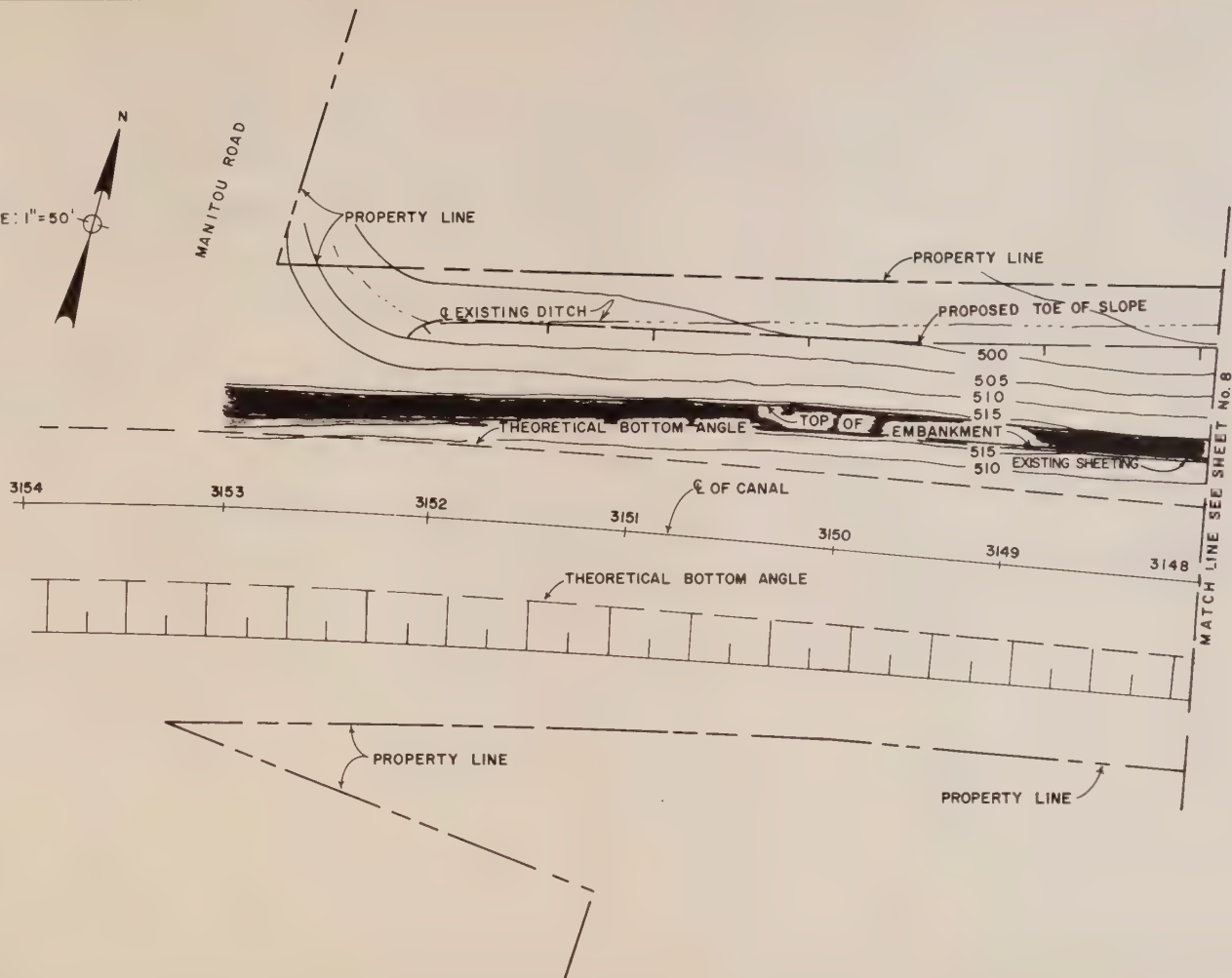
Class 2

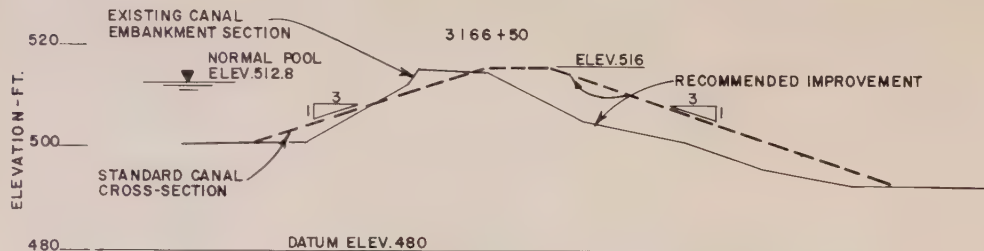
Recommended Foundation Treatment

Widen embankment outboard to criteria shown on section D-D and end slope limit shown on sheets 7 to 9



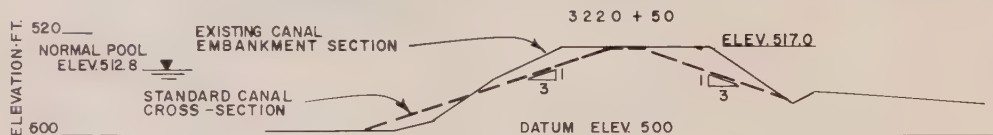






SECTION E-E

SCALE: 1" = 20'



SECTION F-F

SCALE: 1" = 20'

Canal C/L Station

3162+50 - 3167+50

Condition Classification

Class 2

Recommended Foundation Treatment

Widen embankment outboard to limits
shown on section E-E

Canal C/L Station

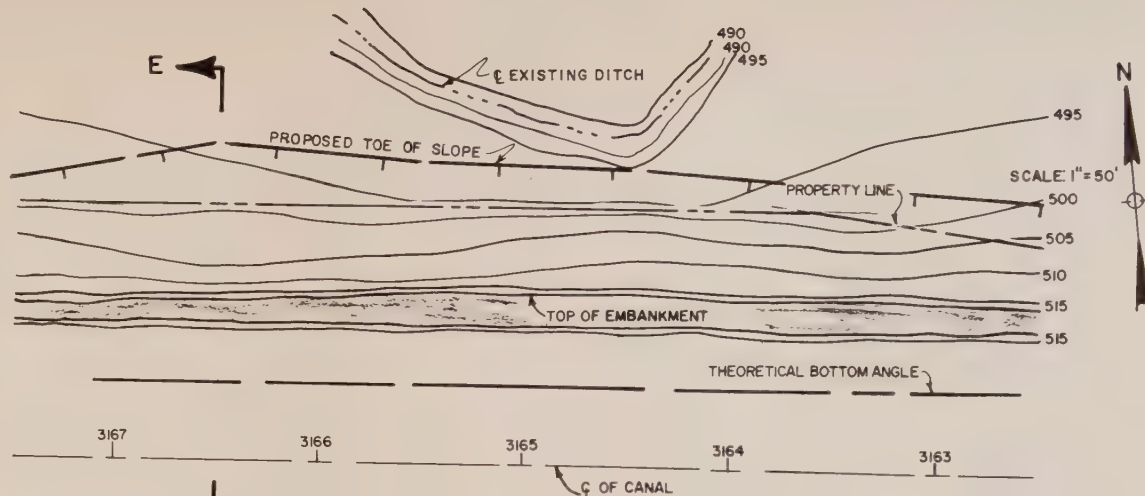
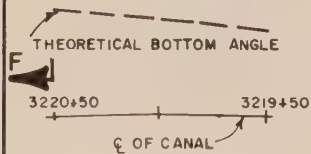
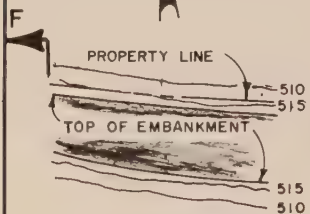
3219+50 - 3220+50

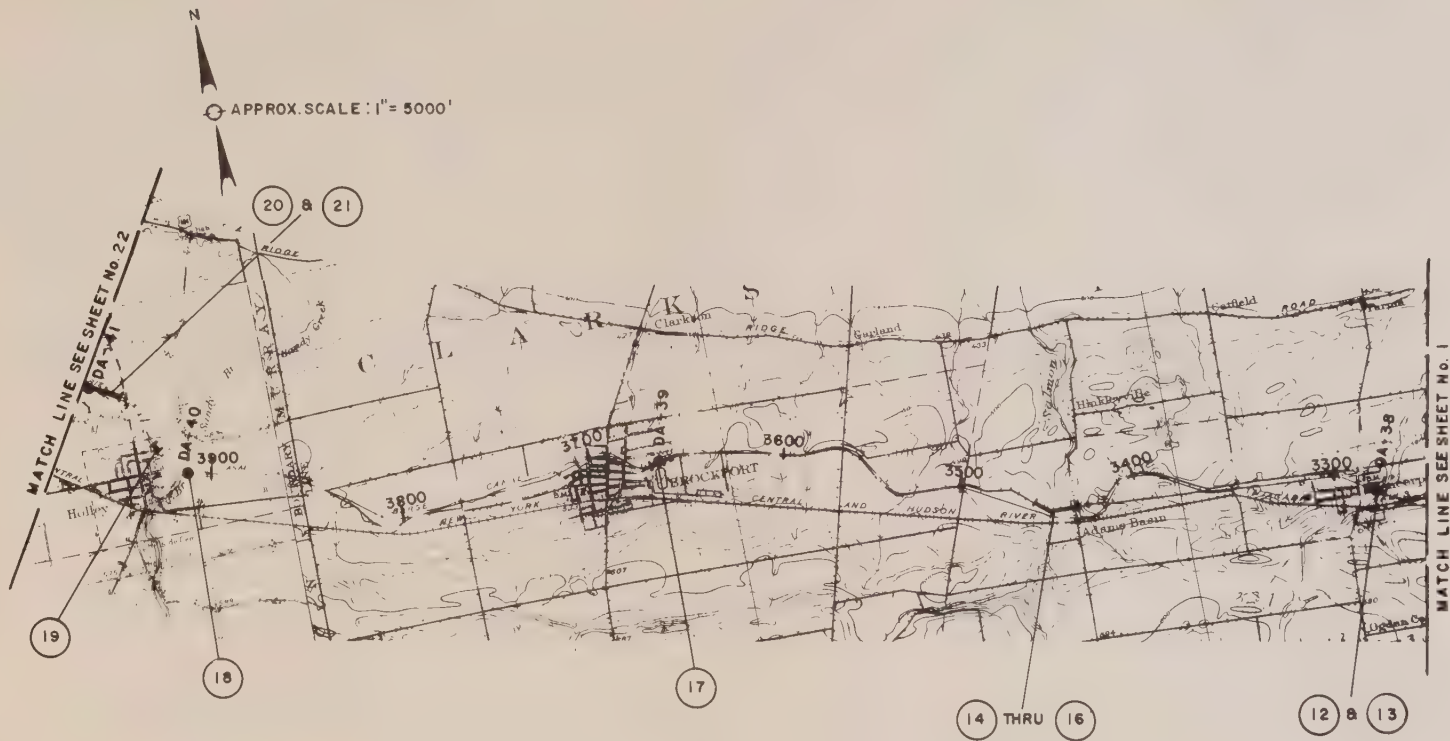
Condition Classification

Class 4

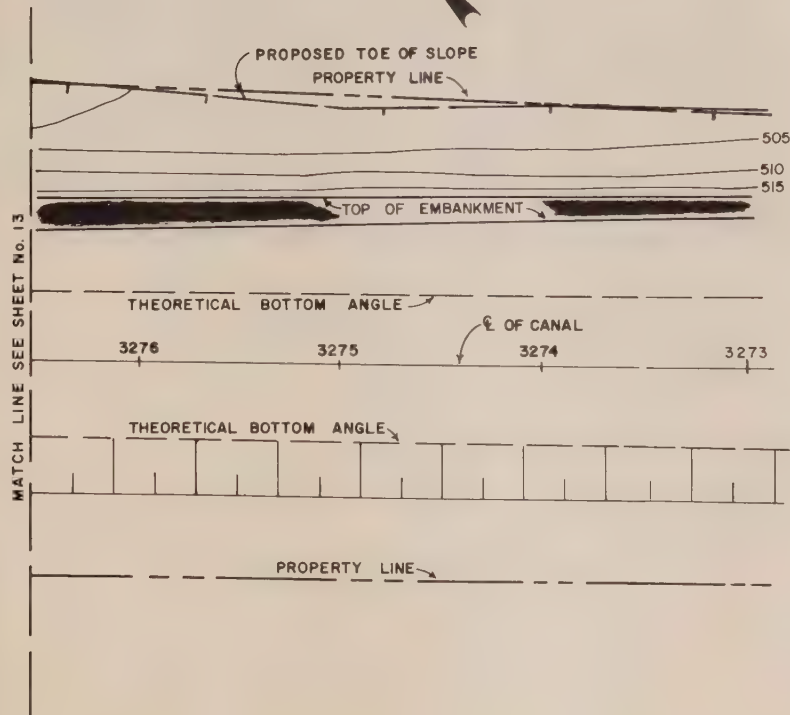
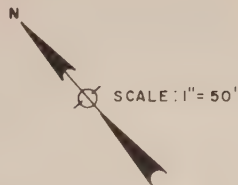
Recommended Foundation Treatment

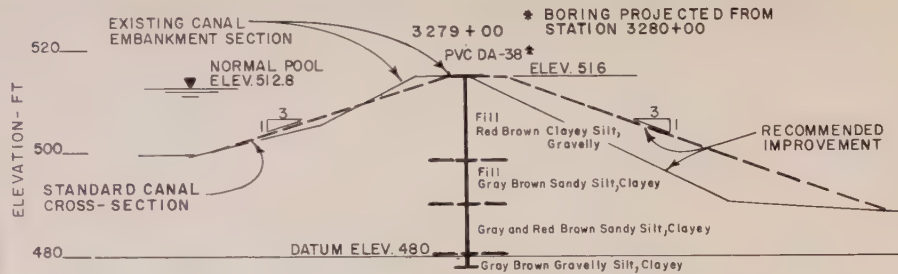
None





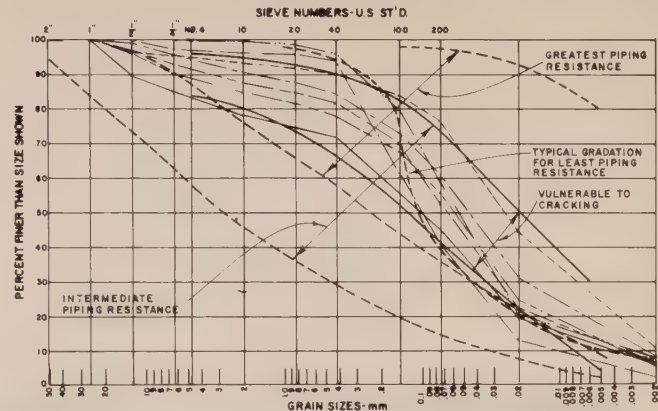
○ - SHEET NUMBER OF 1" = 50' SCALE PLAN





SECTION G-G

SCALE: 1"=20'



SOIL SAMPLE GRAIN SIZE DISTRIBUTION
PVC DA-38

Canal C/L Station

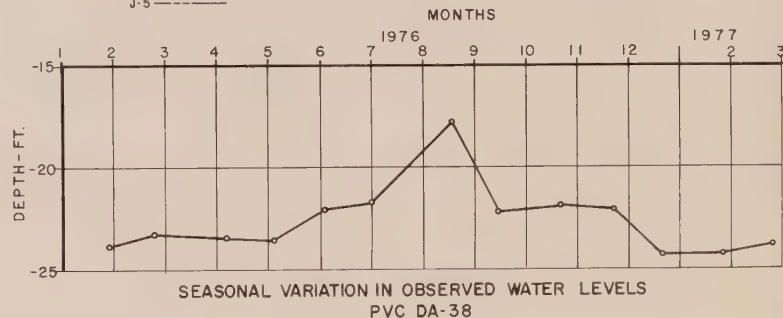
3273+00 - 3282+50

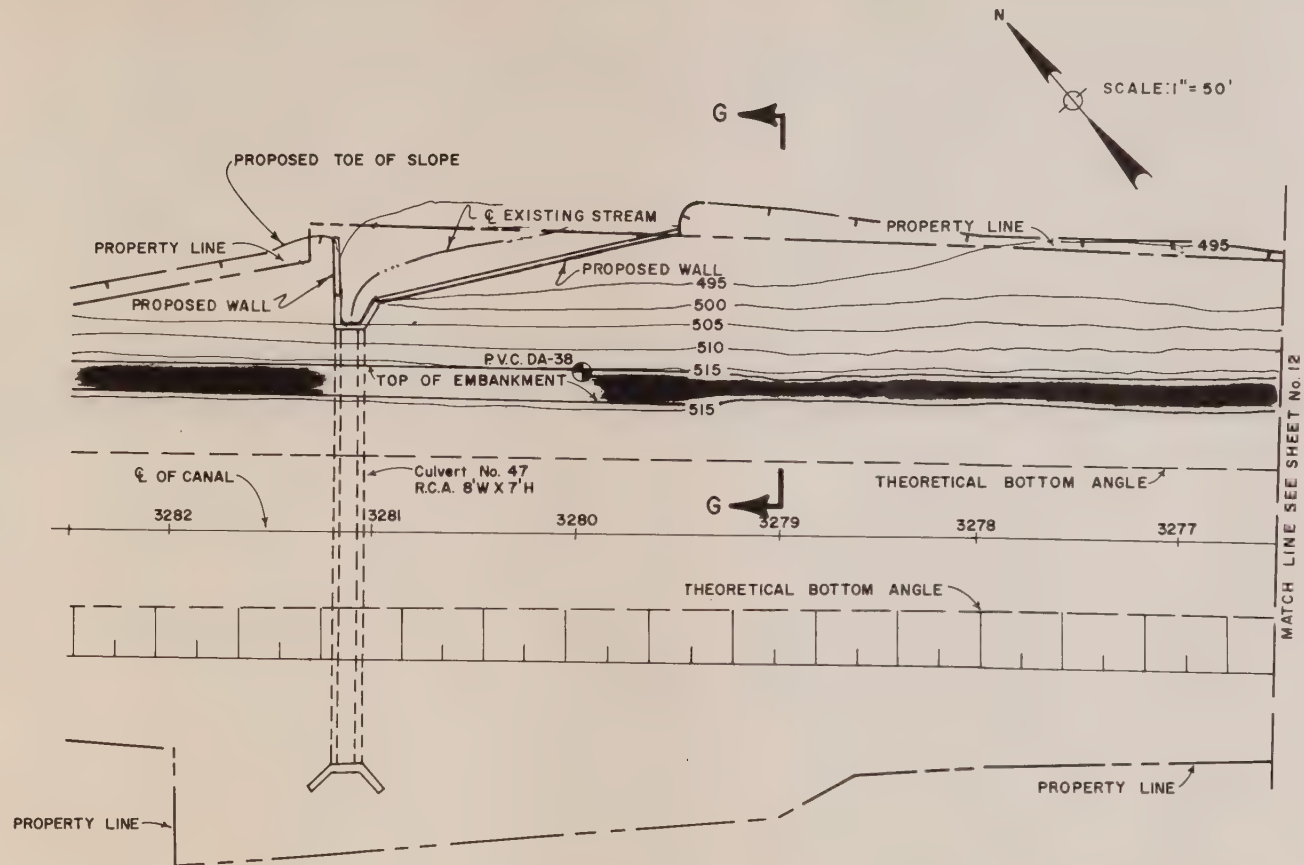
Condition Classification

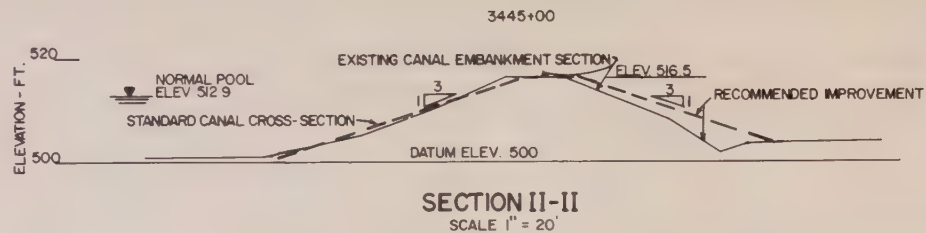
Class 2

Recommended Foundation Treatment

- 3273+00-3279+50 Widen embankment outboard to criteria shown on section G-G and proposed toe of slope shown on sheets 12 and 13
- 3281+20-3282+50 Construct precast concrete crib wall south of existing stream to retain proposed embankment.
- 3279+50-3281+20 Construct precast concrete crib wall south of existing stream to retain proposed embankment.
- 3281+20 Extend northwest wingwall as required.







Canal C/L Stations

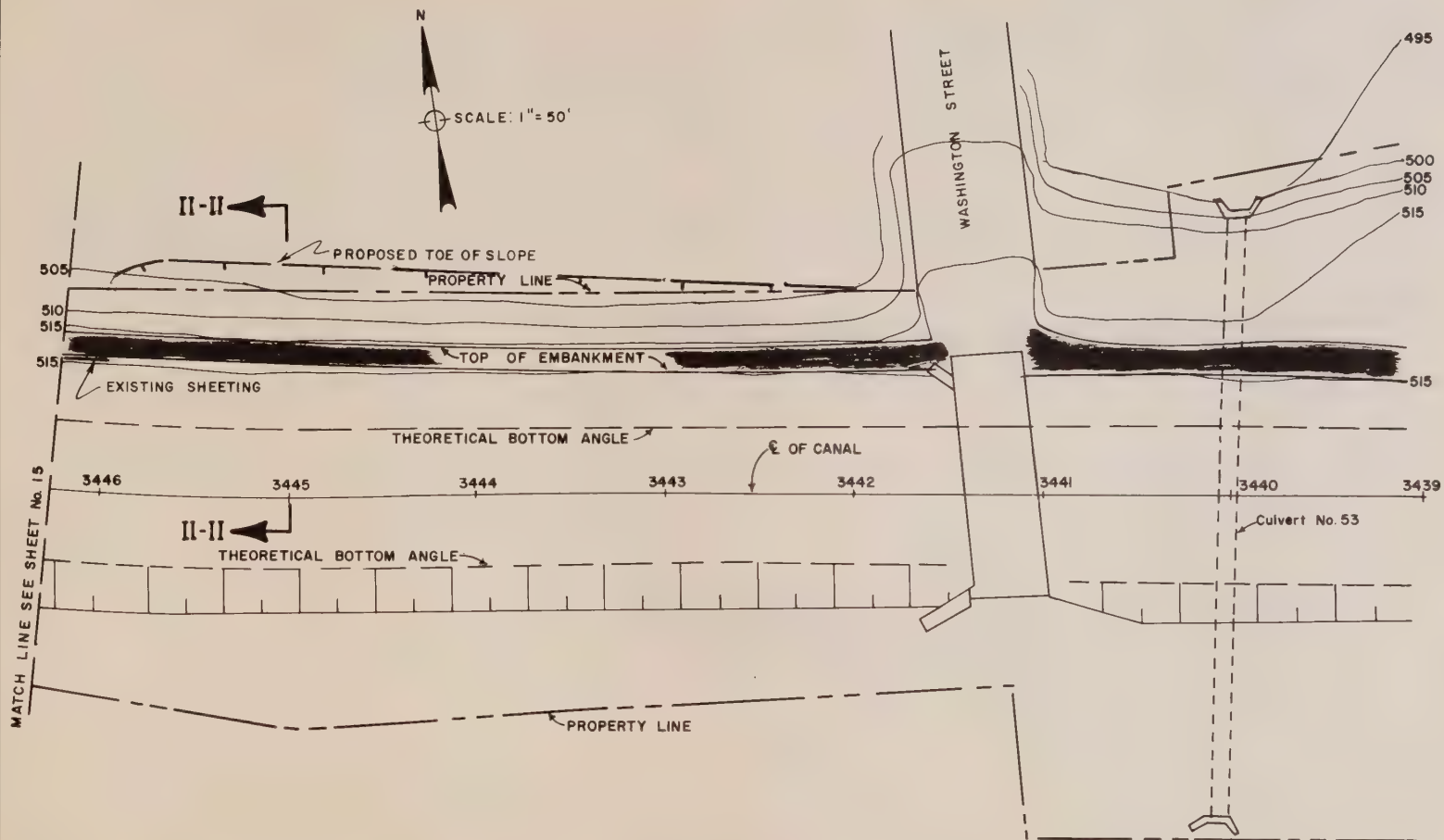
3442+00 - 3446+00

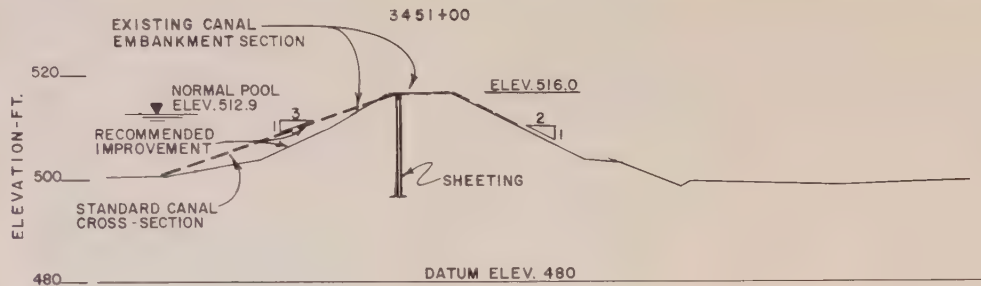
Condition Classification

Class 2

Recommended Foundation Treatment

Widen embankment outboard to criteria shown
on section II-II and proposed toe of slope
limits shown on sheet 14





SECTION H-H

SCALE: 1" = 20'

Canal C/L Stations

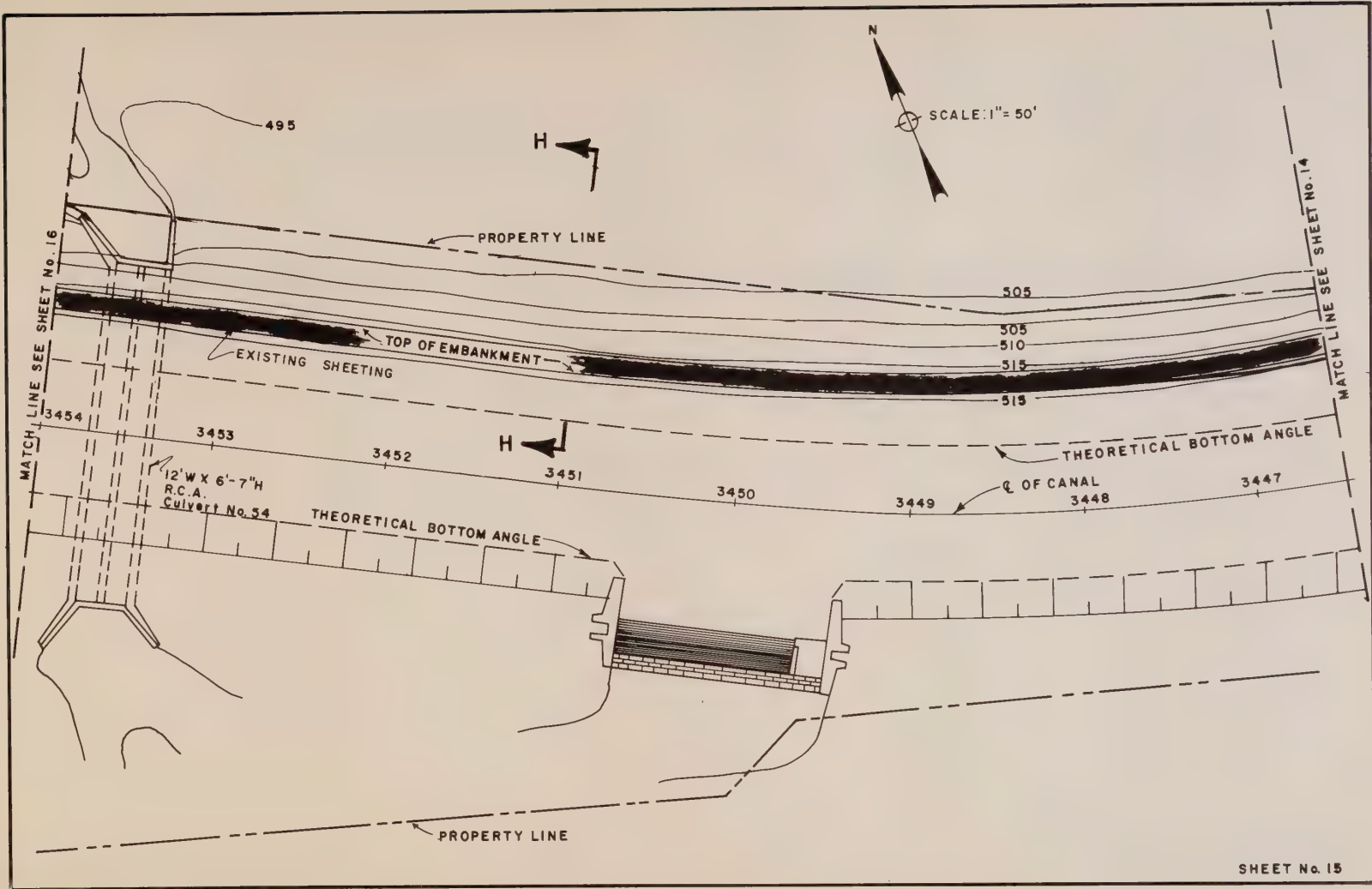
3446+00 - 3458+00

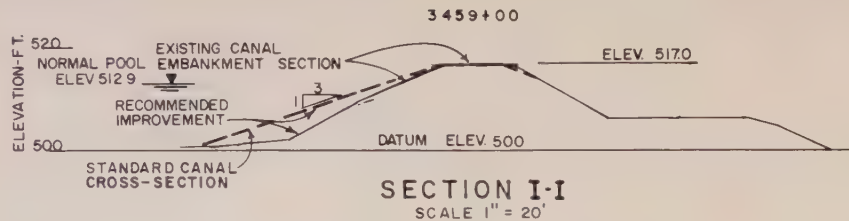
Condition Classification

Class 3

Recommended Foundation Treatment

Improve inboard bank protection as required.
Periodic maintenance may be needed to repair
minor inboard rip-rap sloughing.





Canal C/L Stations

3458+00 - 3461+00

Condition Classification

Class 3

Recommended Foundation Treatment

Periodic maintenance may be needed to
repair minor inboard rip-rap sloughing



495

500
505

PROPERTY LINE

510
515
515

TOP OF EMBANKMENT

EXISTING SHEETING

THEORETICAL BOTTOM ANGLE

3461 3460 3459 3458 3457 3456 3455 3454

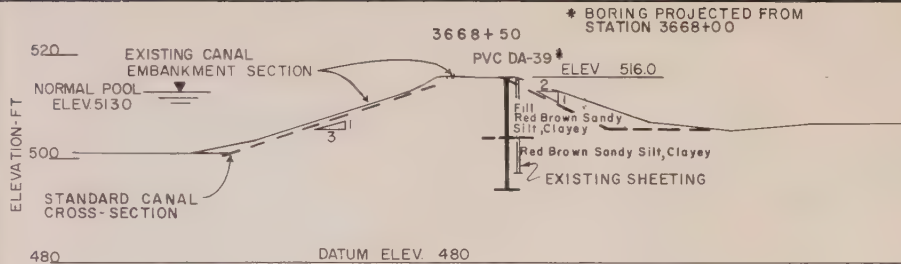
Q OF CANAL

THEORETICAL BOTTOM ANGLE

MATCH LINE SEE SHEET No. 15

PROPERTY LINE

SHEET No. 16



SECTION J-J
SCALE 1" = 20'

Canal C/L Station

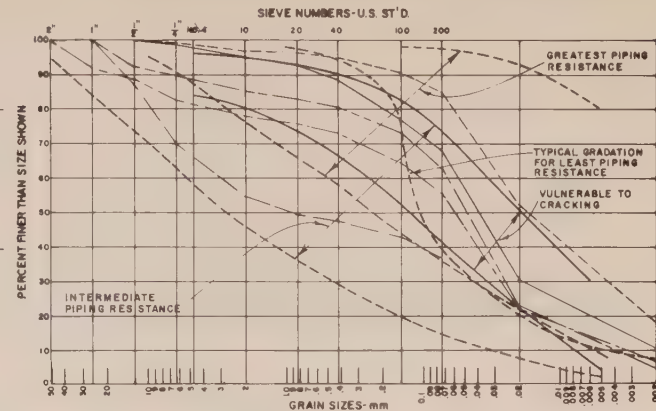
3664+50 - 3669+50

Condition Classification

Class 4

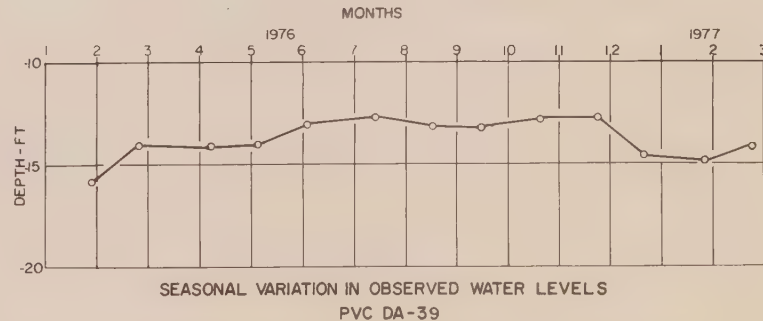
Recommended Foundation Treatment

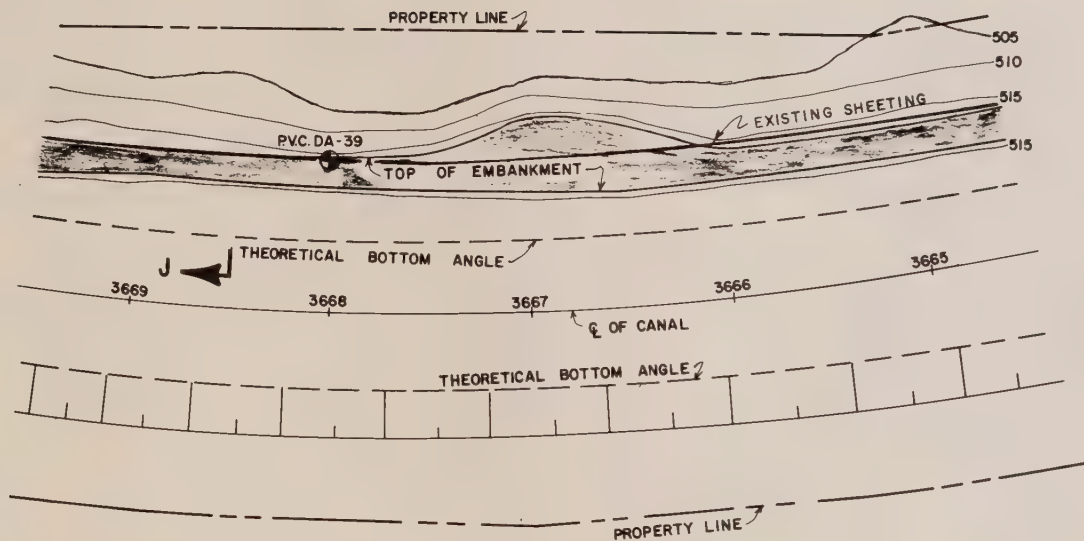
none



JAR-J-182
J-3
J-4
J-586
J-7

SOIL SAMPLE GRAIN SIZE DISTRIBUTION
PVC DA-39





Canal C/L Station

3914+00 - 3916+00

Condition Classification
Class 4

Recommended Foundation Treatment
none

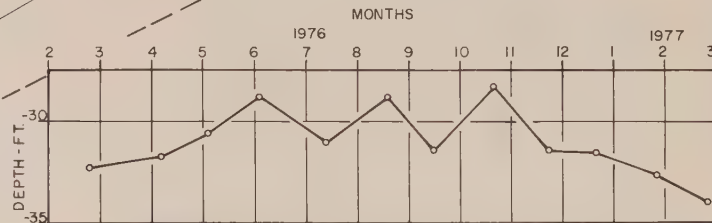
ELEVATION - FT
500
480
460
440

EXISTING CANAL SOUTH
EMBANKMENT SECTION

3915+50

NORMAL POOL
ELEV. 515.0

STANDARD CANAL
CROSS-SECTION



DATUM ELEV. 440

• BORING PROJECTED FROM
STATION 3914+00

3915+50

PVCDA-40 •

EXISTING CANAL NORTH
EMBANKMENT SECTION

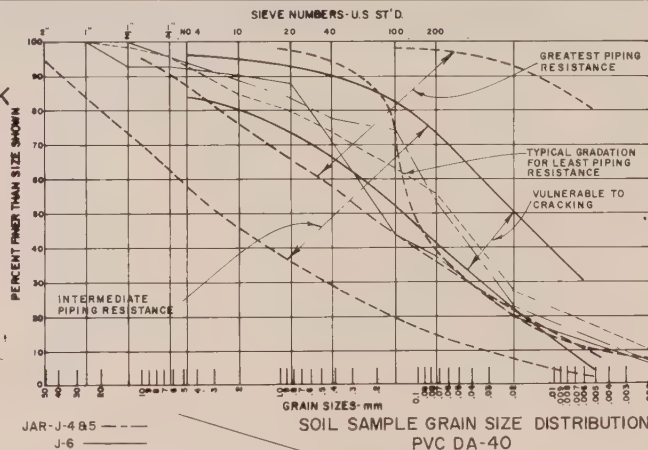
Black Clayey Silt
Red Brown Gravelly
Silt, Clayey

Brown Gray Silt, Clayey

Dark Brown Clayey Silt,
Gravelly

STANDARD CANAL
CROSS-SECTION

SECTION K-K
SCALE: 1" = 20'



500

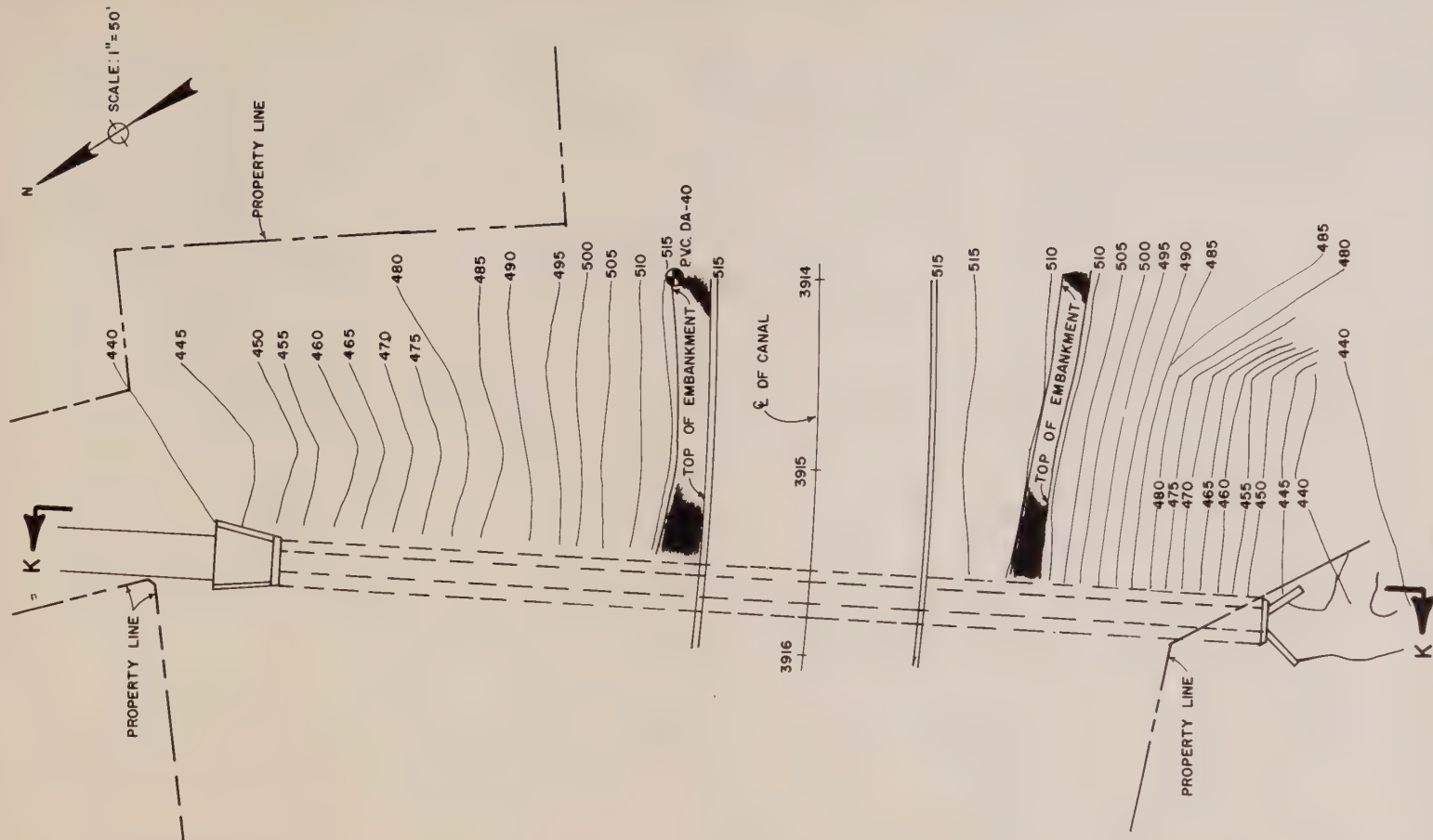
480

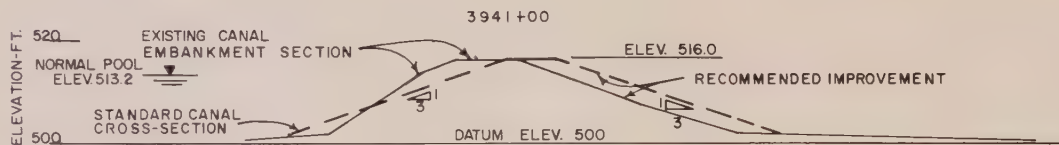
460

440

ELEVATION - FT.

MATCH LINE SEE BELOW





SECTION L-L
SCALE 1" = 20'

Canal C/L Stations

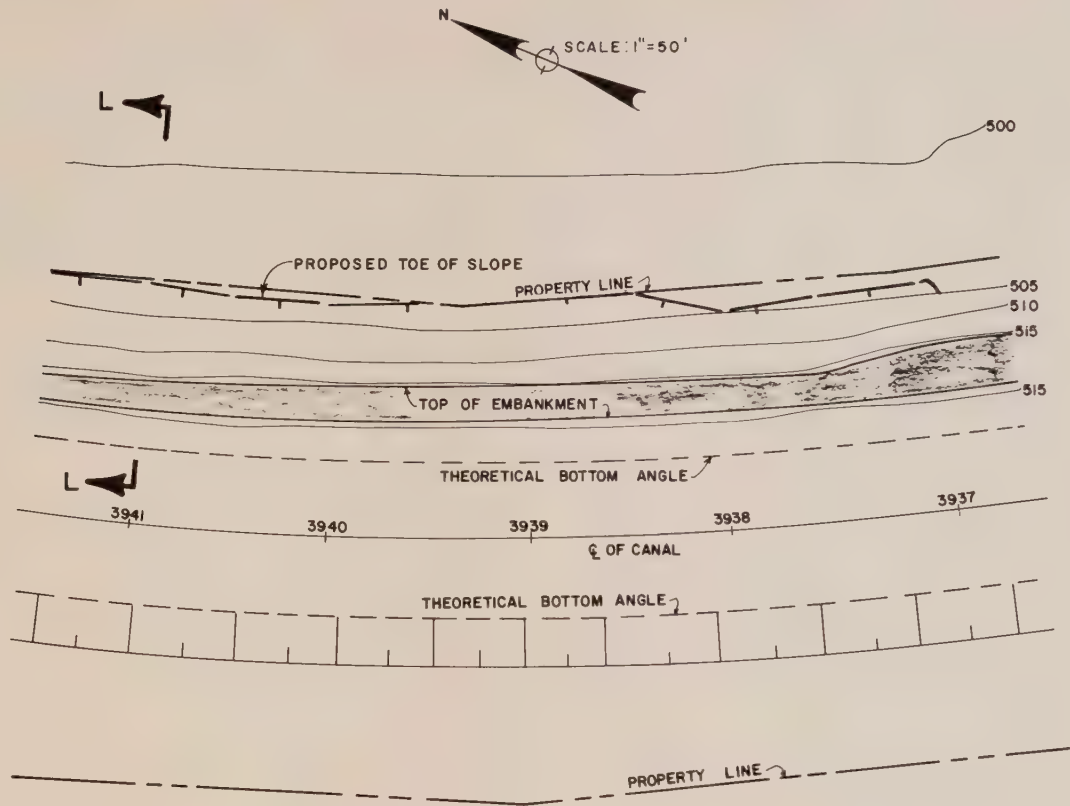
3937+00 - 3941+50

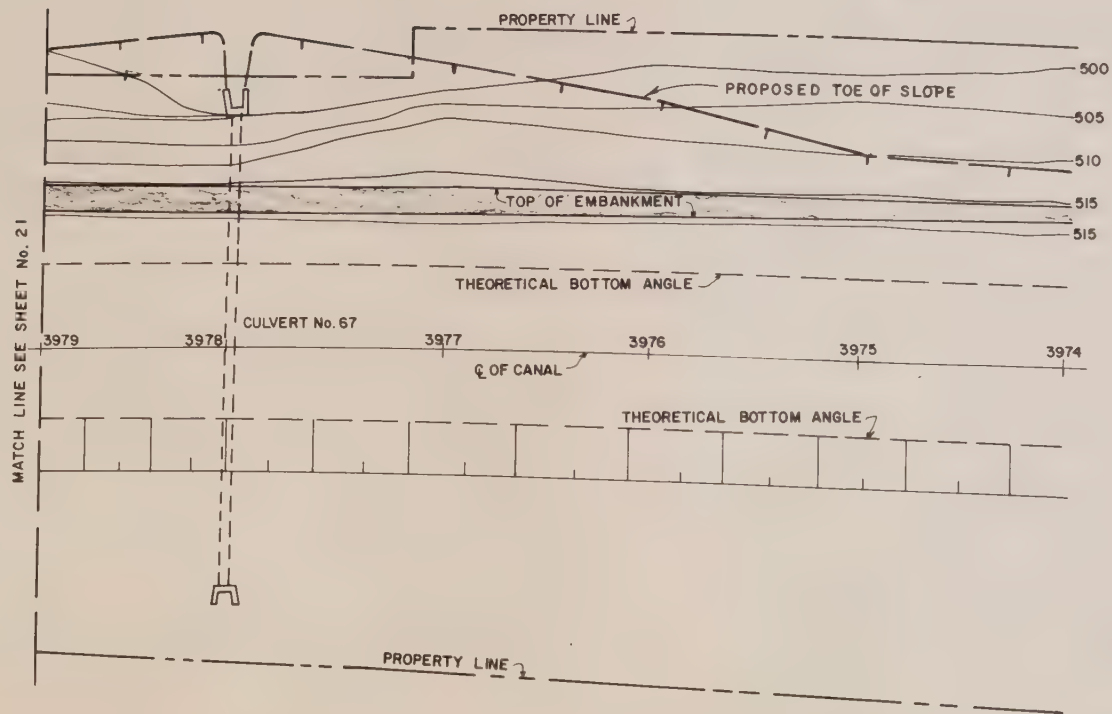
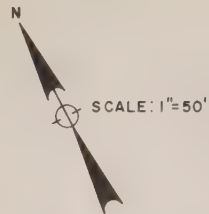
Condition Classification

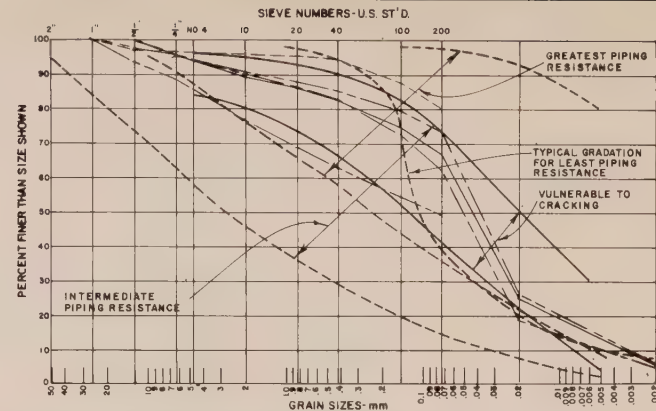
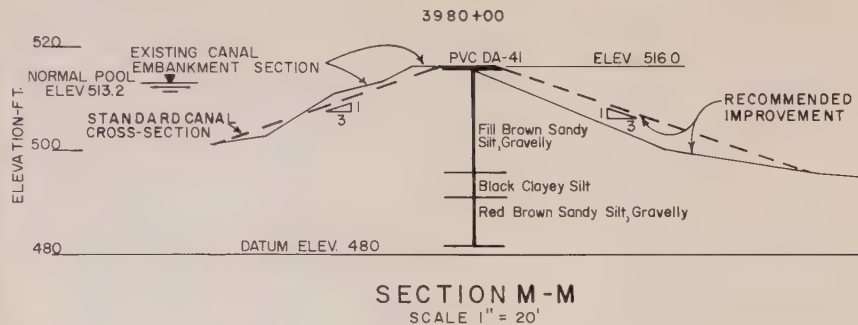
Class 3

Recommended Foundation Treatment

Widen embankment outboard to criteria shown
on section L-L and proposed toe of slope on
sheet 19







JAR-J-1 ---

J-2 & 3 ---

J-5 ---

J-6 & 7 ---

J-8 ---

SOIL SAMPLE GRAIN SIZE DISTRIBUTION
PVC DA-41

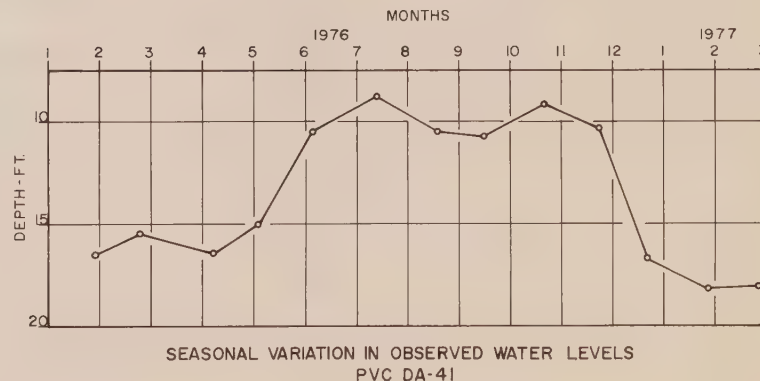
Canal C/L Station

3974+00 - 3981+00

Condition Classification
Class 2

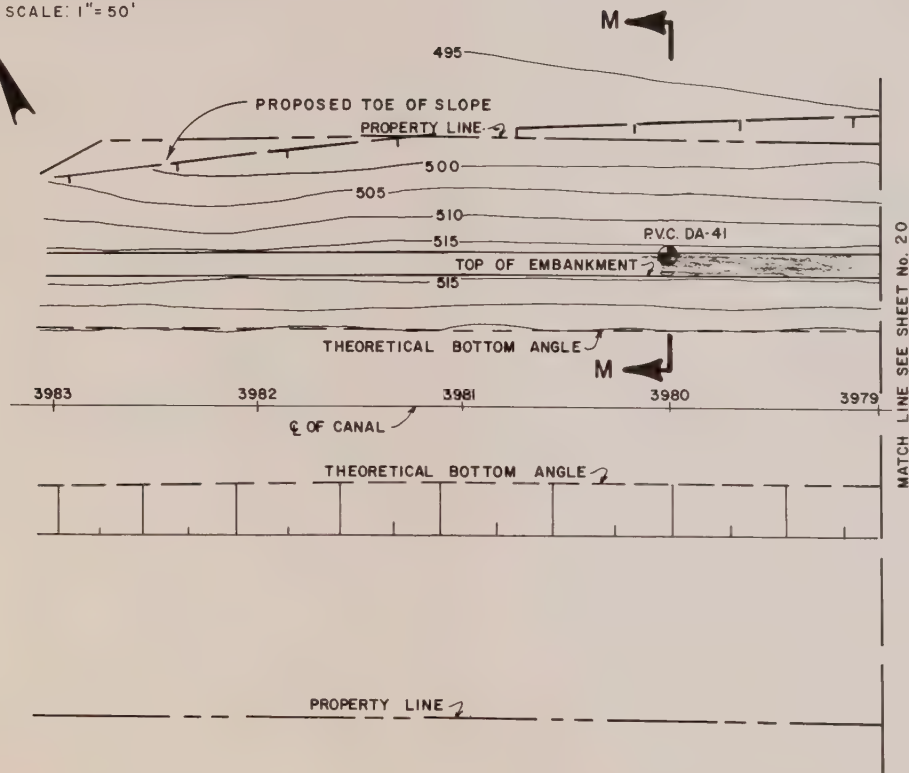
Recommended Foundation Treatment

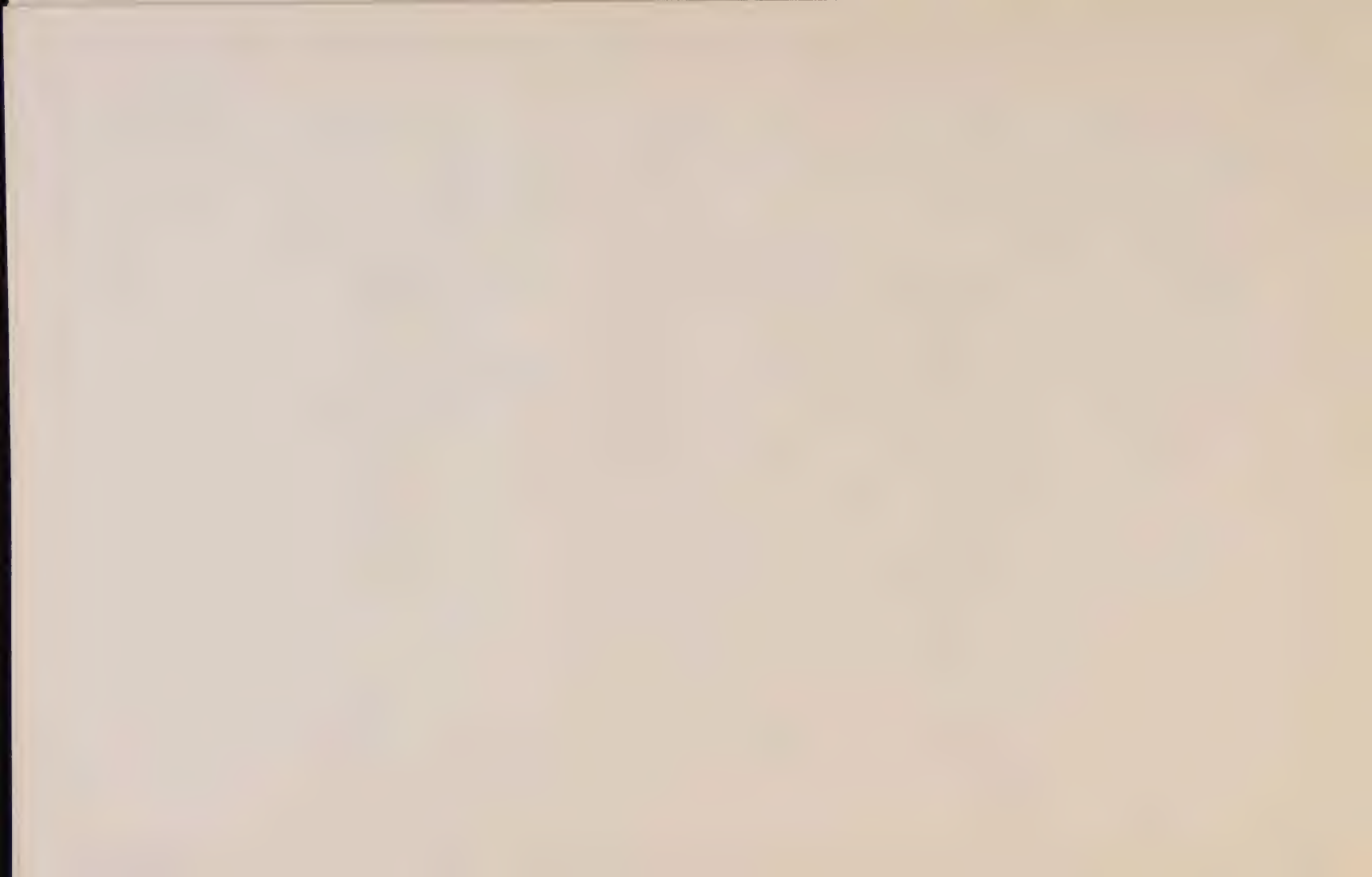
Widen embankment outboard to criteria shown on section MM and proposed toe of slope on sheets 20-21. Extend culvert 67 to limits of embankment.

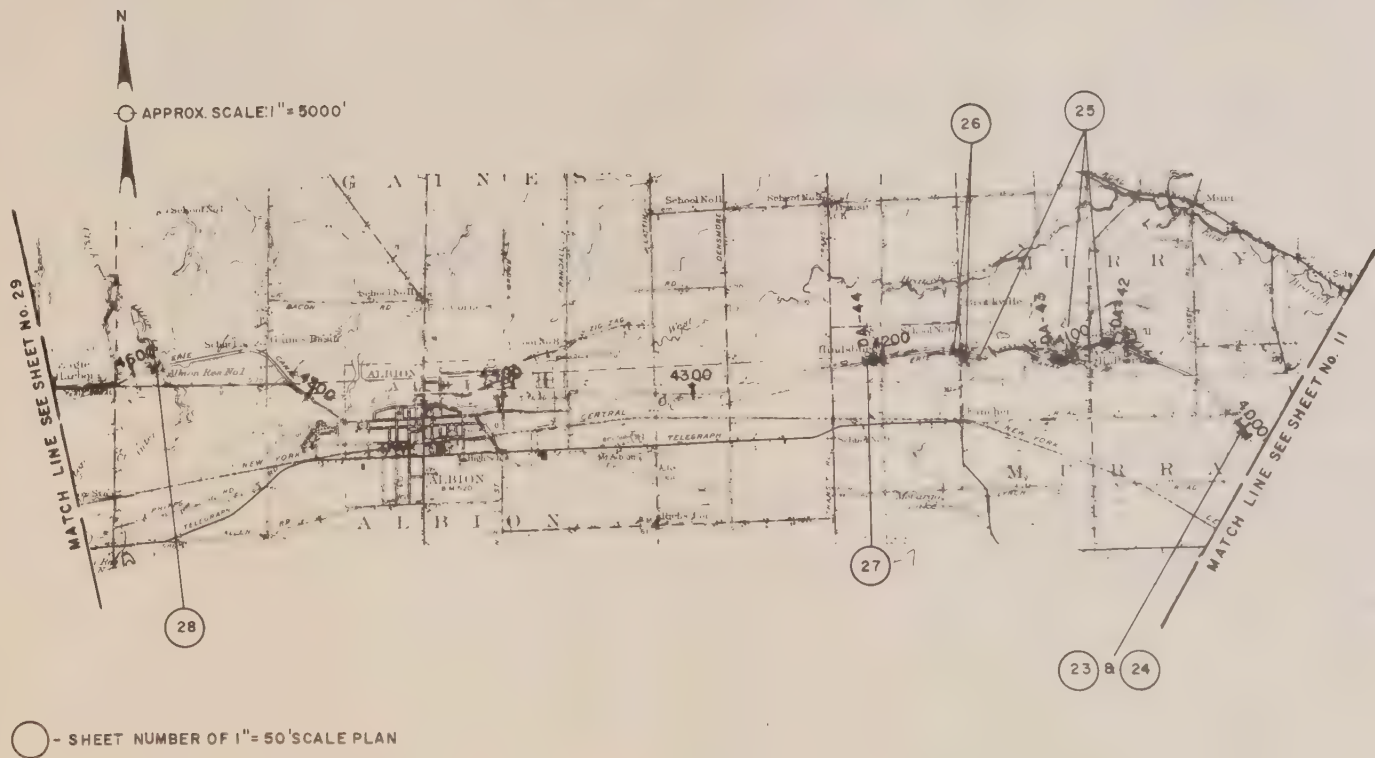


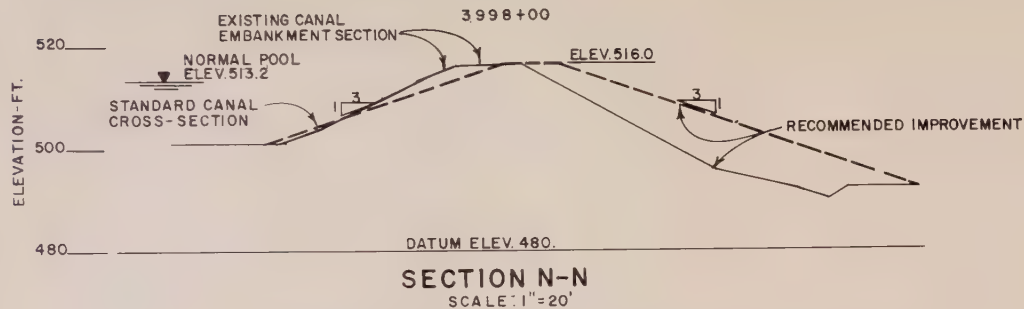


SCALE: 1" = 50'









Canal C/L Station

3995+00 - 4005+00

Condition Classification

Class 2

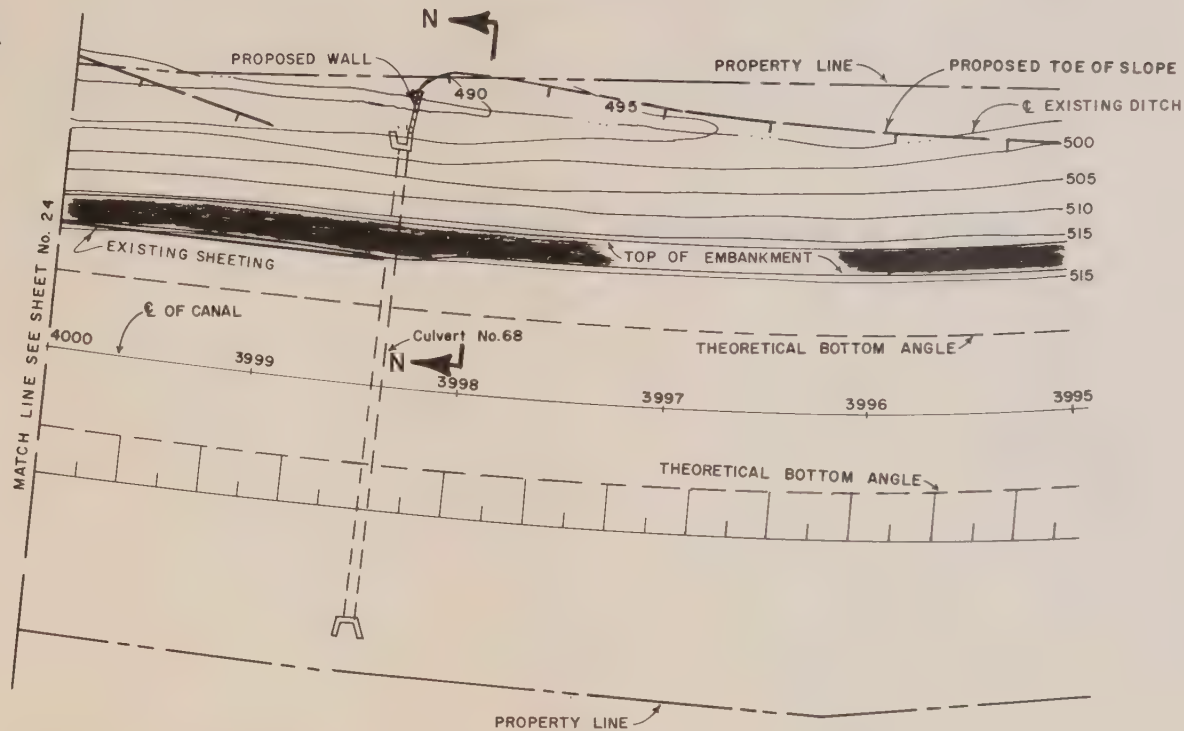
Recommended Foundation Treatment

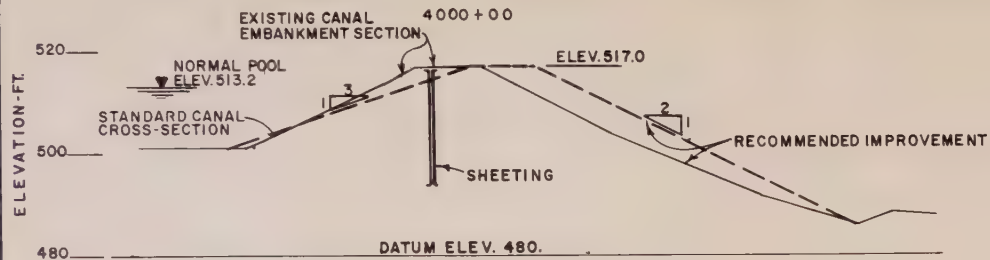
3995+00 - 3998+48 Widen embankment outboard to criteria shown on section N-N and end slope limits shown on sheets 23-24.

~~3995~~3999+00 - 4000+48 Widen embankment outboard to criteria shown on section O-O and end slope limits shown on sheets 23-24.

3998+48 - 3999+00 None

3998+40+ Extend east wingwall to end limit of fill.



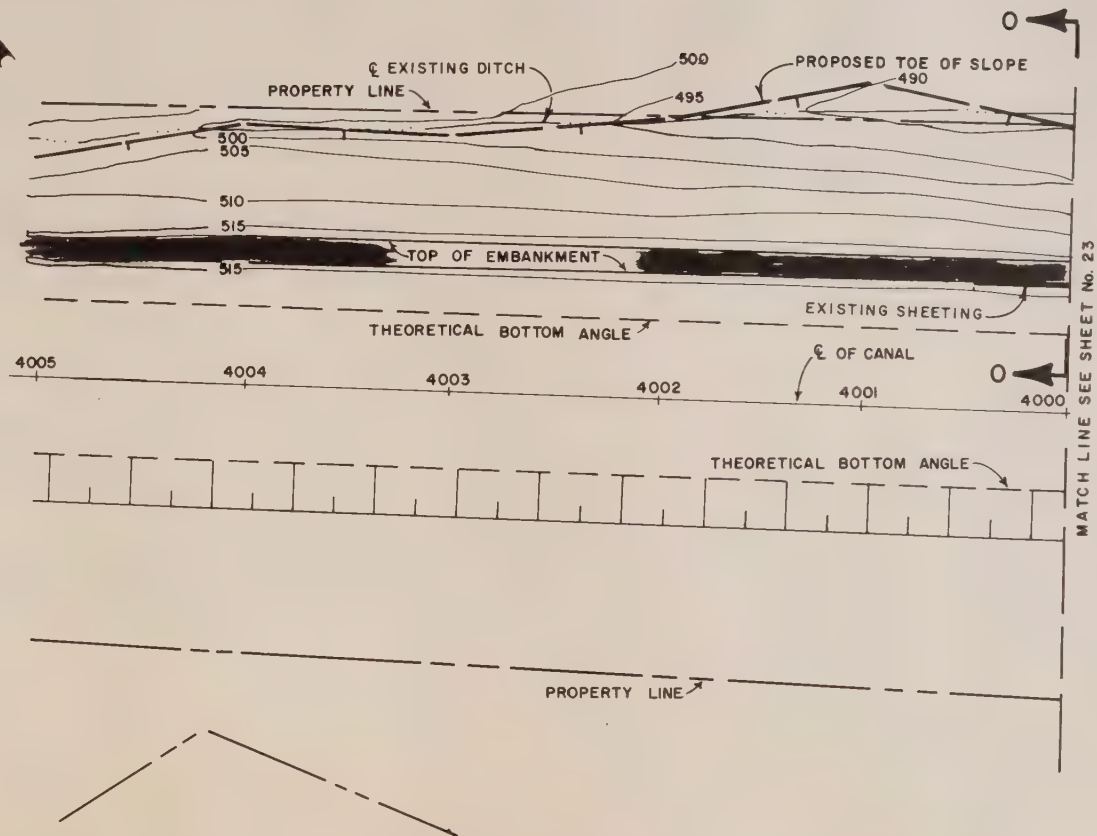


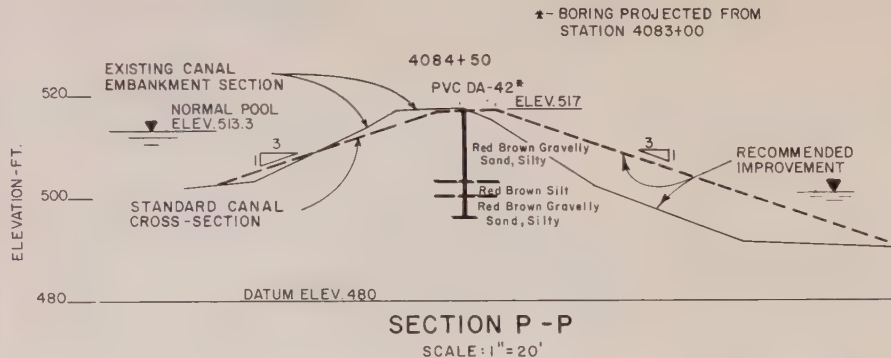
SECTION 0-0
SCALE: 1"= 20'

Canal C/L Station

3995+00 - 4005+00

See Sheet 23-1 for foundation treatment





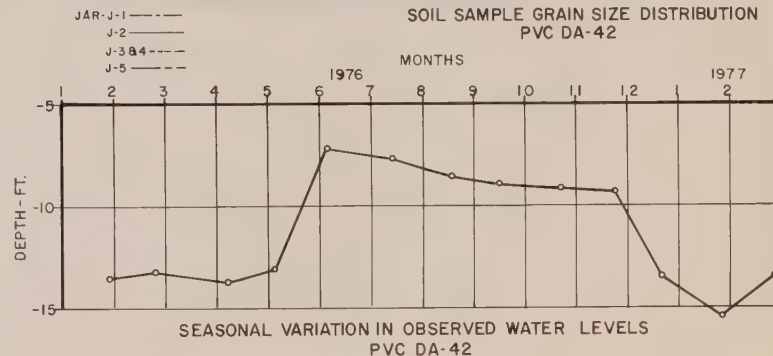
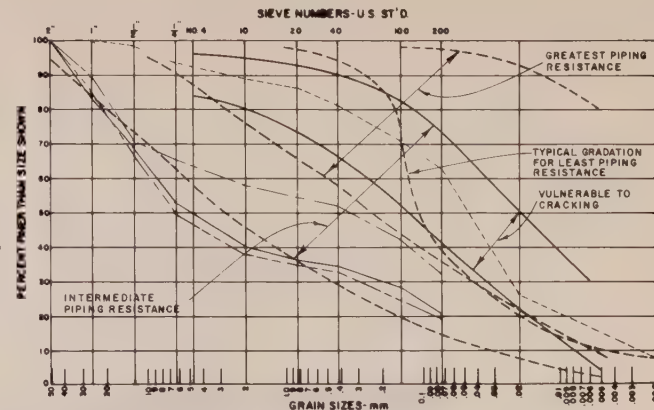
Canal C/L Station

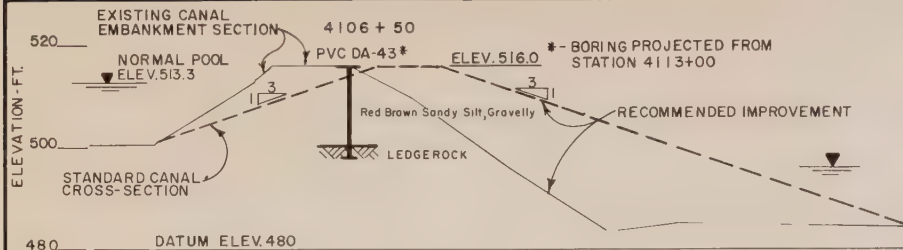
4084+00 - 4085+50

Condition Classification
Class 1

Recommended Foundation Treatment

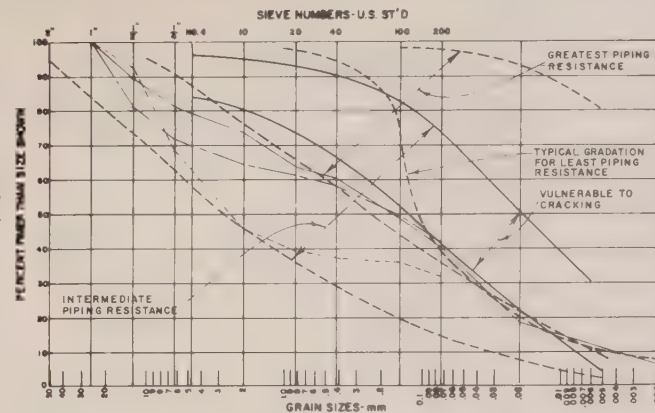
Widen embankment outboard to criteria shown on section P-P and end slope limits shown on sheet 25.





SECTION Q-Q

SCALE: 1" = 20'



SOIL SAMPLE GRAIN SIZE DISTRIBUTION
PVC DA-43

Canal C/L Station

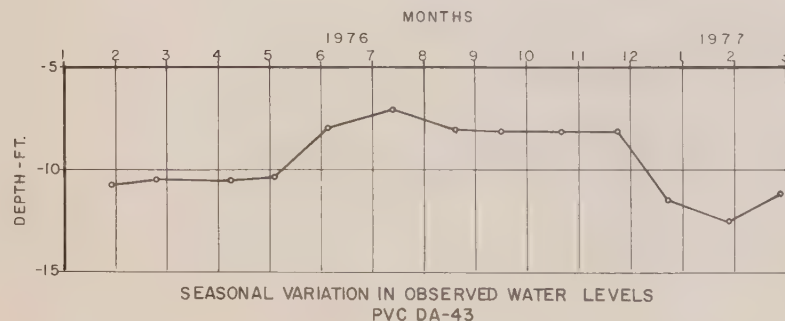
4103+00 - 4107+00

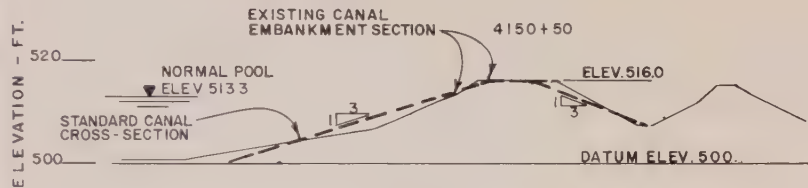
Condition Classification

Class 1

Recommended Foundation Treatment

Widen embankment outboard to criteria
shown on section





SECTION R-R
SCALE: 1"=20'

Canal C/L Station

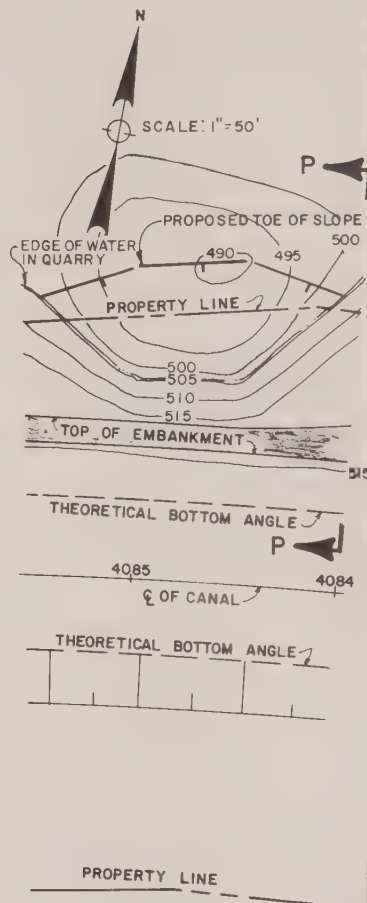
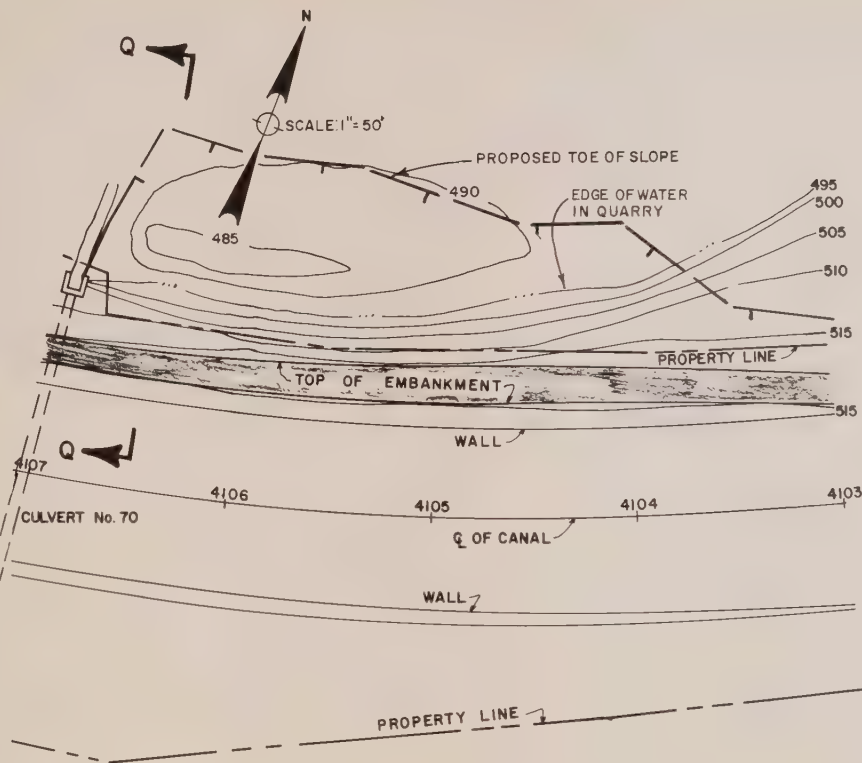
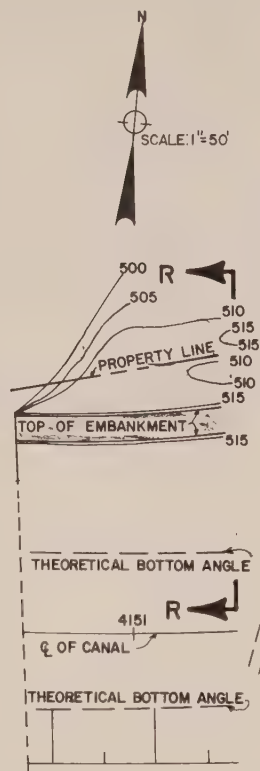
4150+50 - 4151+50

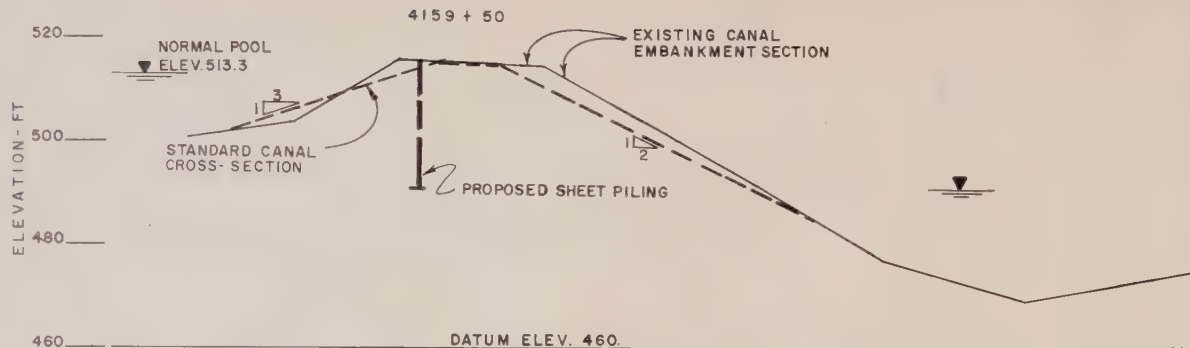
Condition Classification

Class 4

Recommended Foundation Treatment

None





SECTION S-S
SCALE: 1" = 20'

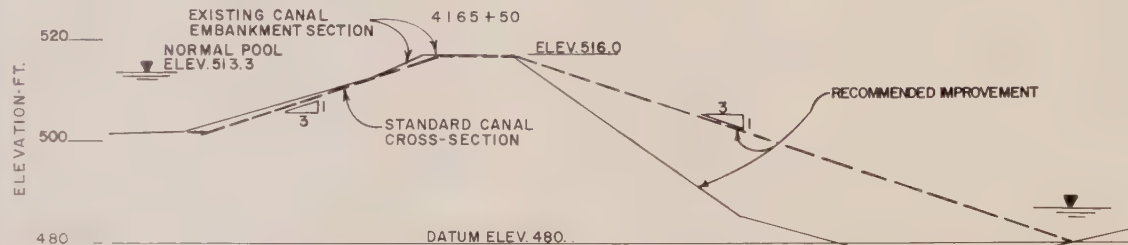
Canal C/L Station

4157+00 = 4161+00

Condition Classification
Class 2

Recommended Foundation Treatment

4157+50 - 4160+50 Drive steel sheeting to 25' below top of canal embankment or to refusal whichever is less.



SECTION T-T
SCALE: 1" = 20'

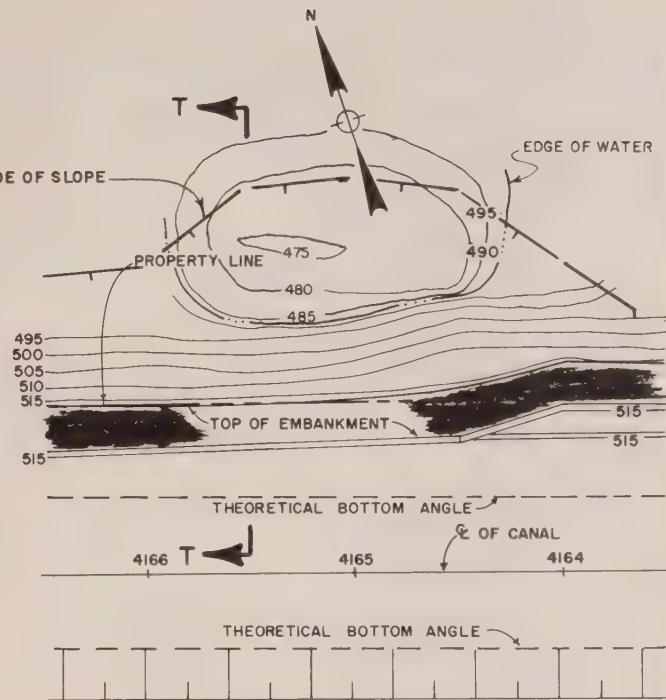
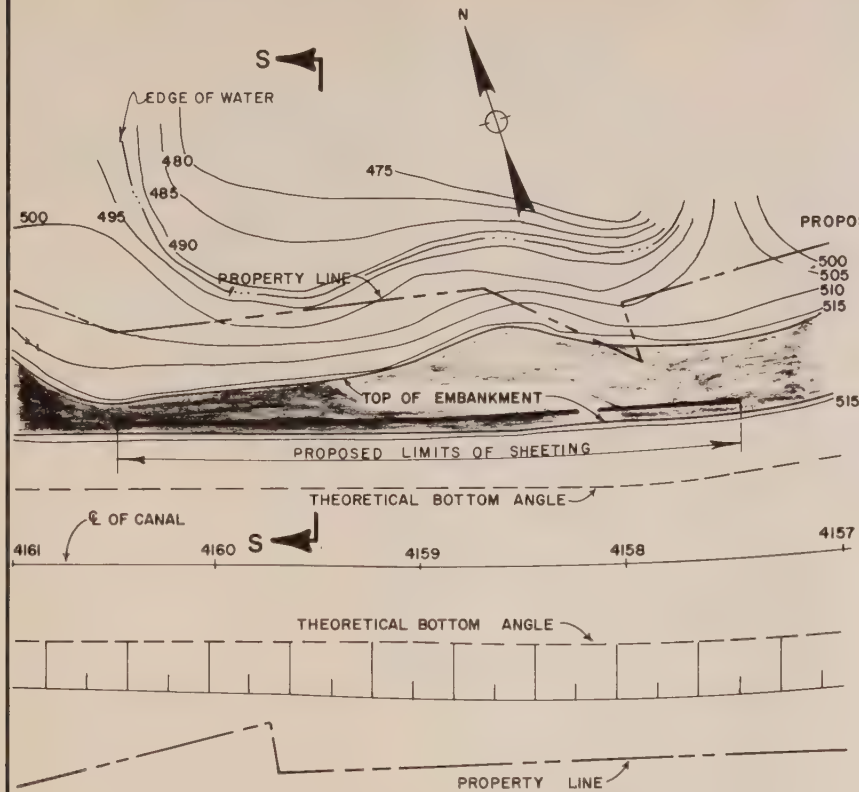
Canal C/L Station

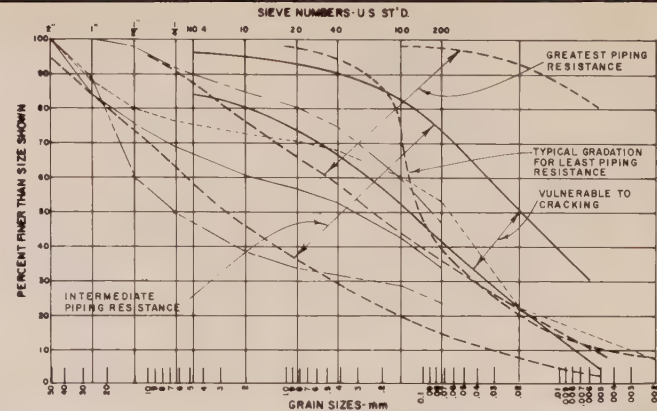
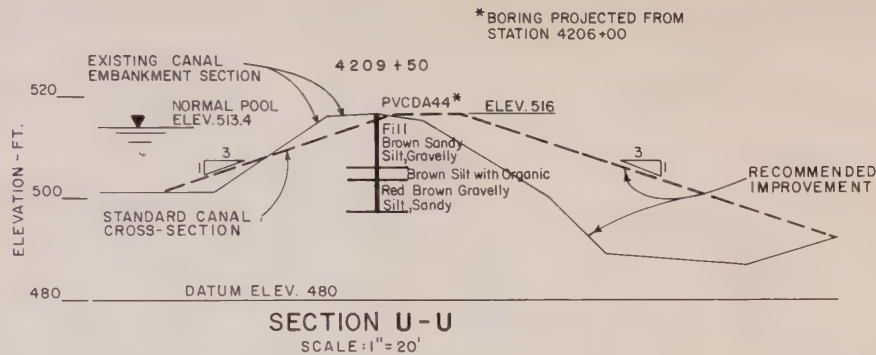
4163+75 - 4166+50

Condition Classification
Class 2

Recommended Foundation Treatment

Widen embankment outboard to criteria shown on section T-T and end slope limit shown on sheet 26.





| | |
|---------|-----|
| JAR-J-1 | J-5 |
| J-2 | J-6 |
| J-3 | J-7 |
| J-4 | J-8 |

SOIL SAMPLE GRAIN SIZE DISTRIBUTION
PVC DA-44

Canal C/L Stations

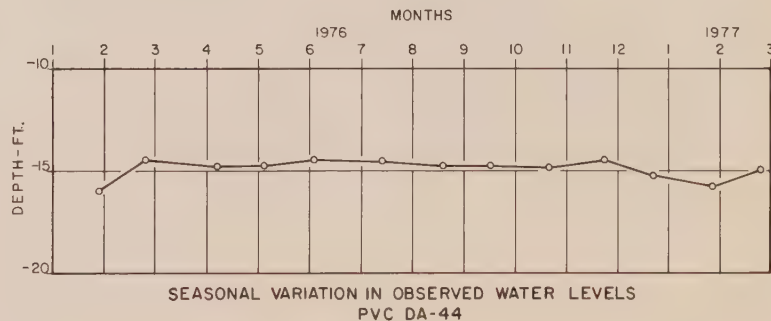
4205+50 - 4211+00

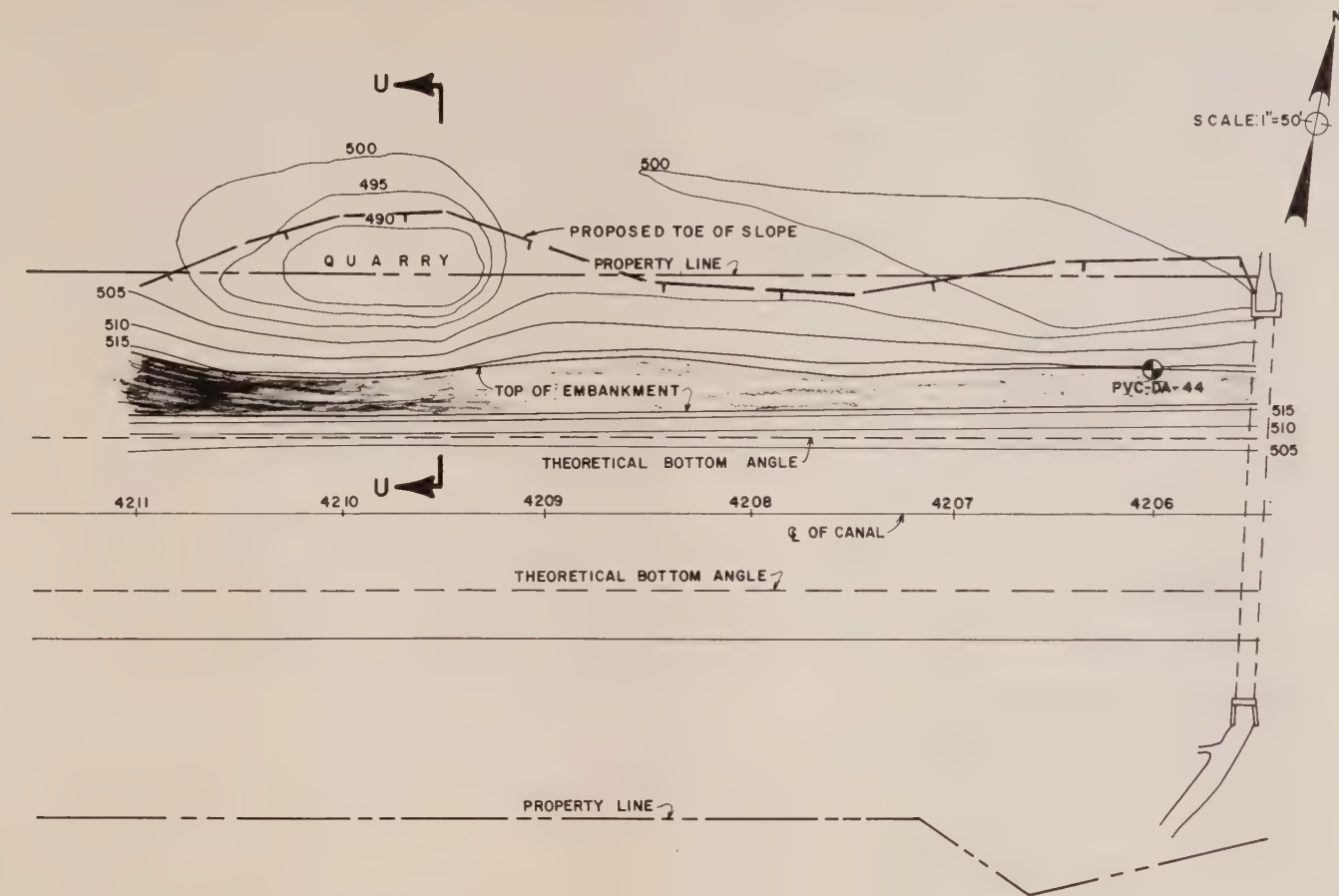
Condition Classification

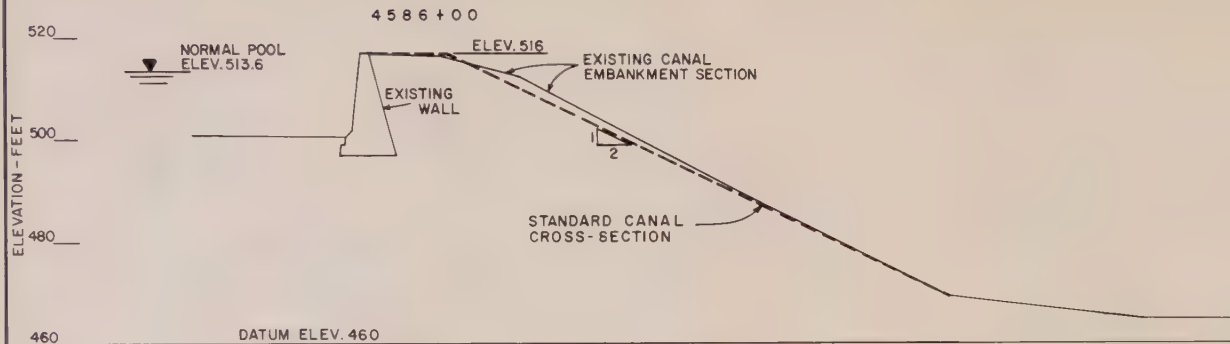
Class 2

Recommended Foundation Treatment

Widen embankment outboard to criteria shown on Section U-U and proposed toe of slope limits shown on sheet 27.







SECTION V-V

SCALE: 1" = 20'

Canal C/L Station

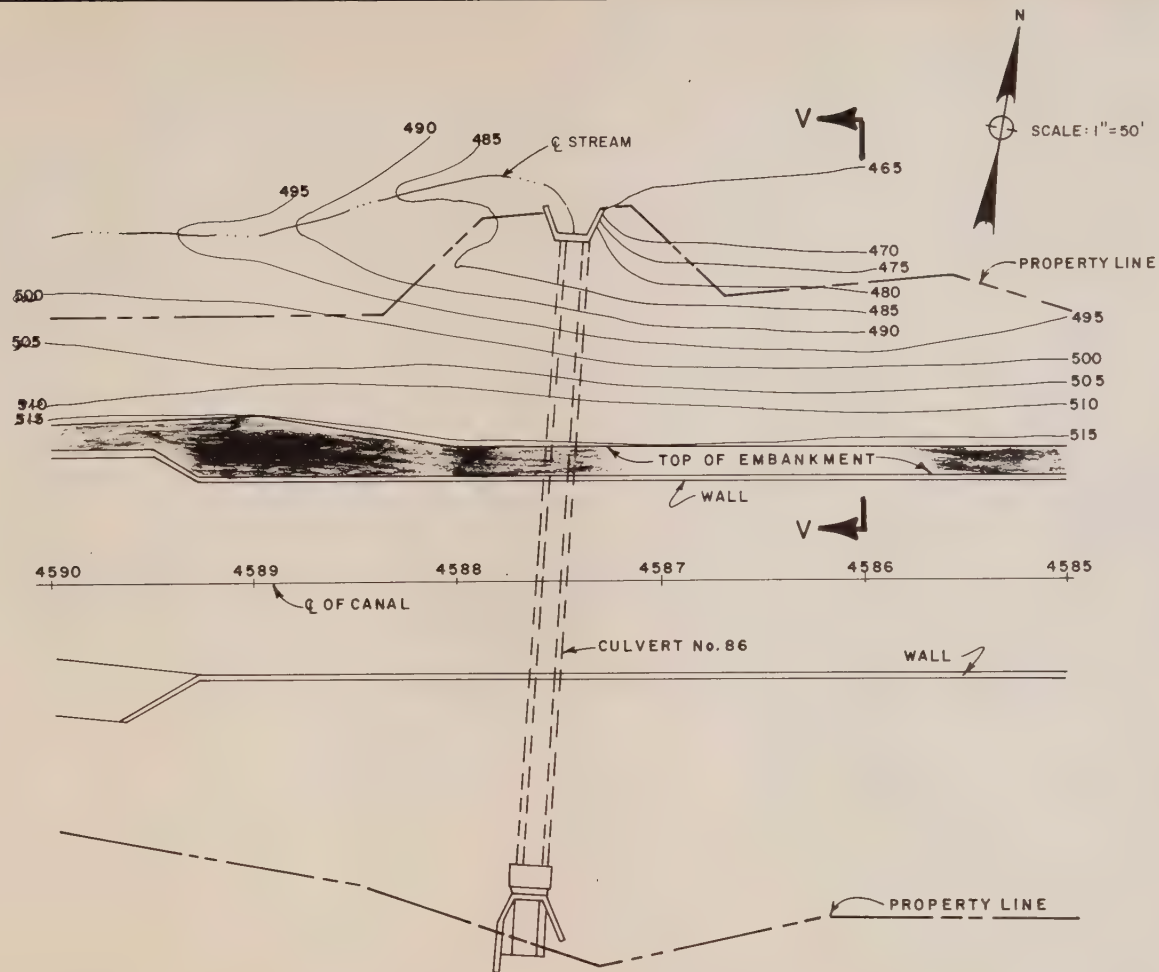
4585+00 - 4590+00

Condition Classification

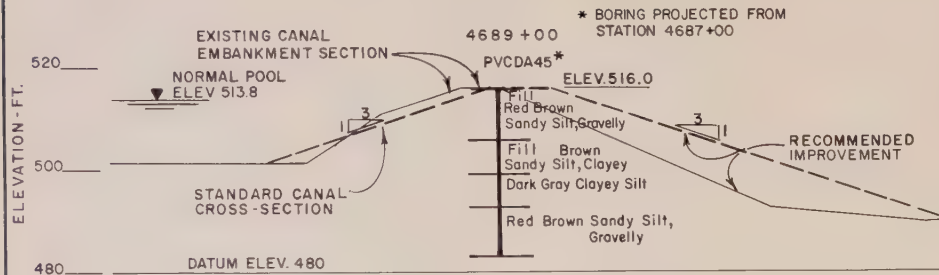
Class 4

Recommended Foundation Treatment

none

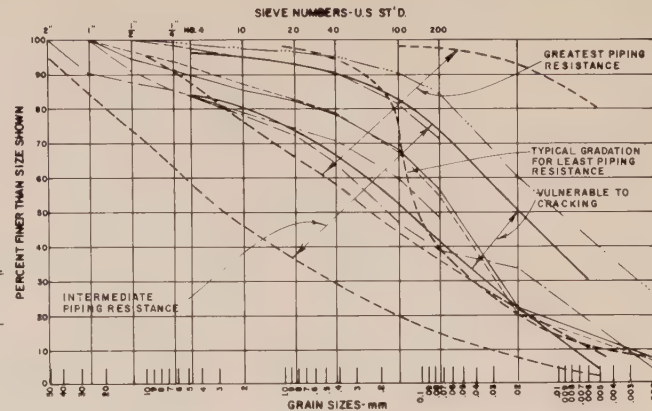






SECTION W-W

SCALE: 1" = 20'



JAR-J-1 --- J-5 - - - - -

J-2 --- J-6 - - - - -

J-3 --- J-7 - - - - -

J-4 --- J-8 - - - - -

SOIL SAMPLE GRAIN SIZE DISTRIBUTION
PVC DA-45

Canal C/L Station

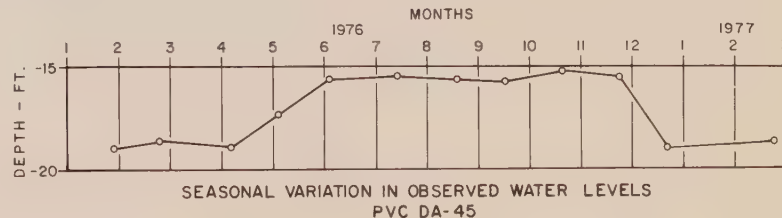
4683+25 - 4702+00

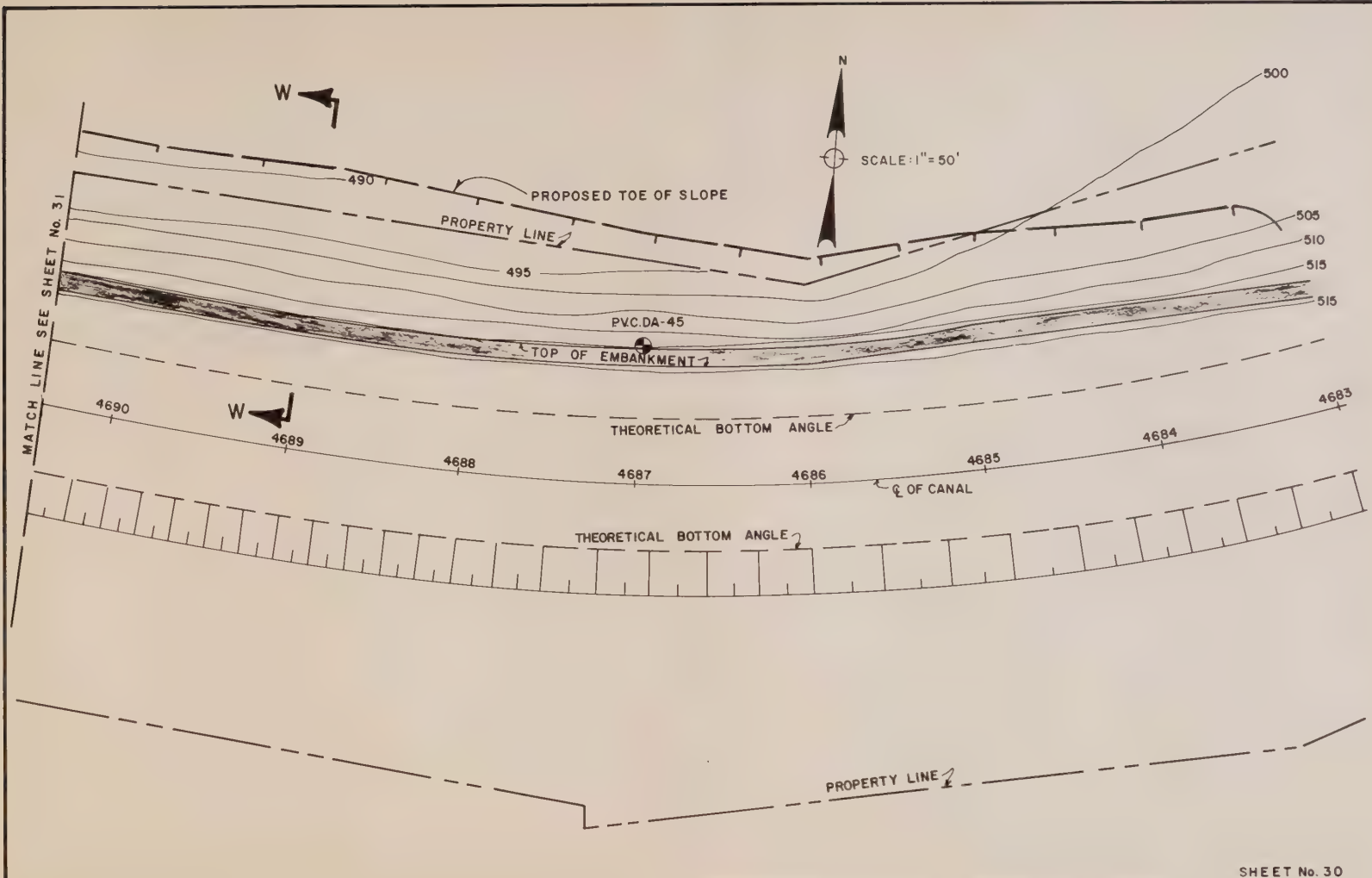
Condition Classification

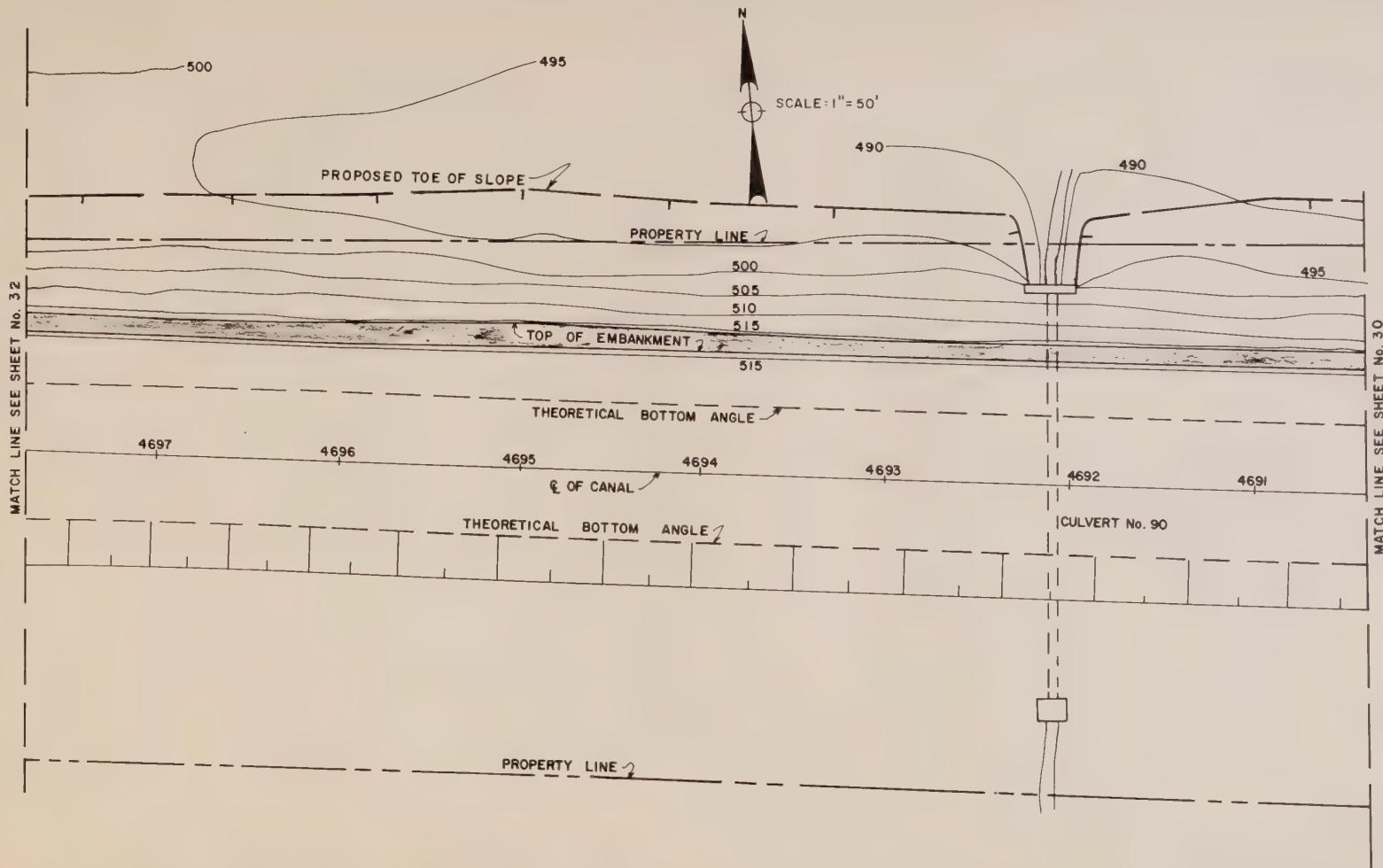
Class 2

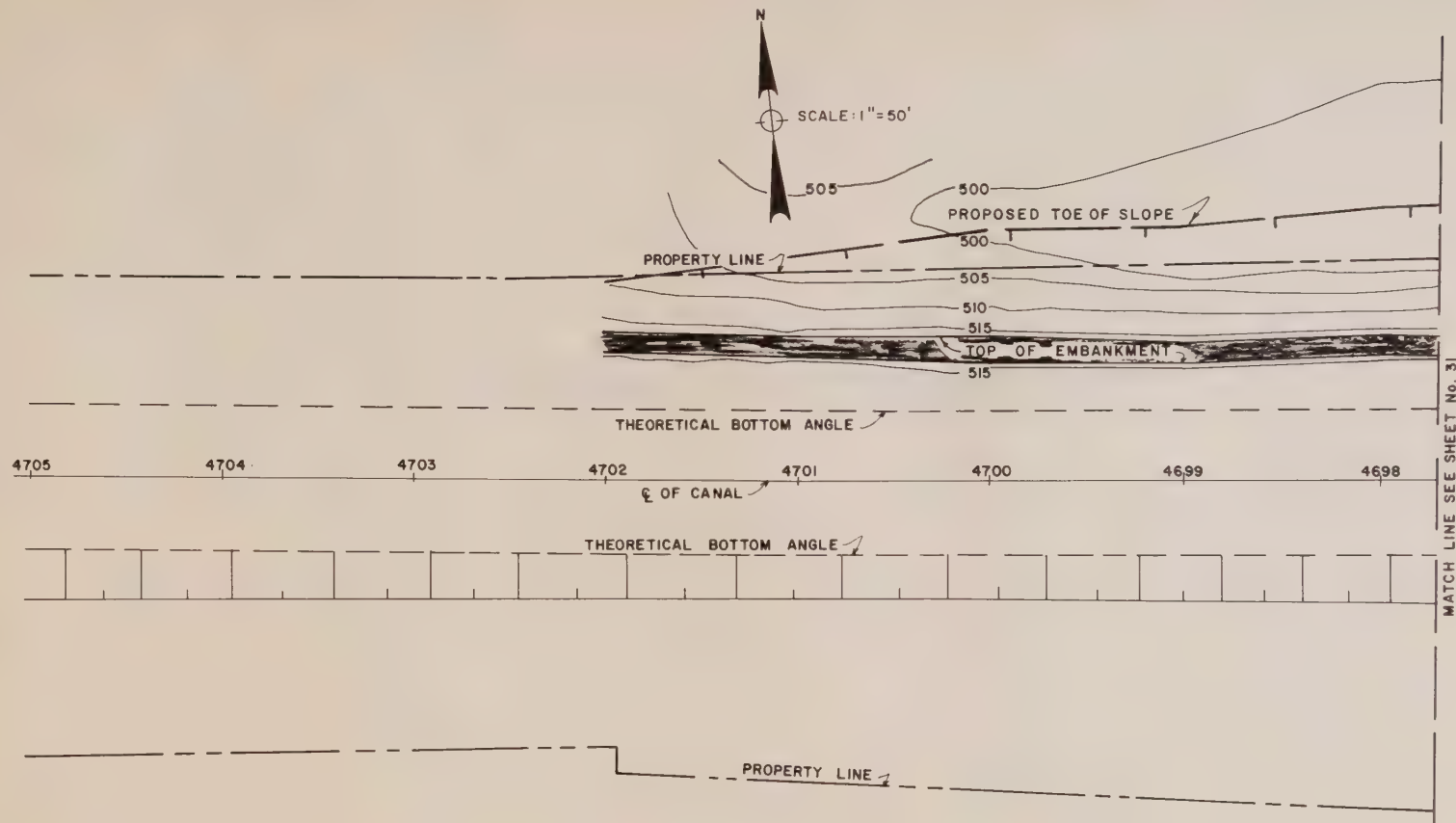
Recommended Foundation Treatment

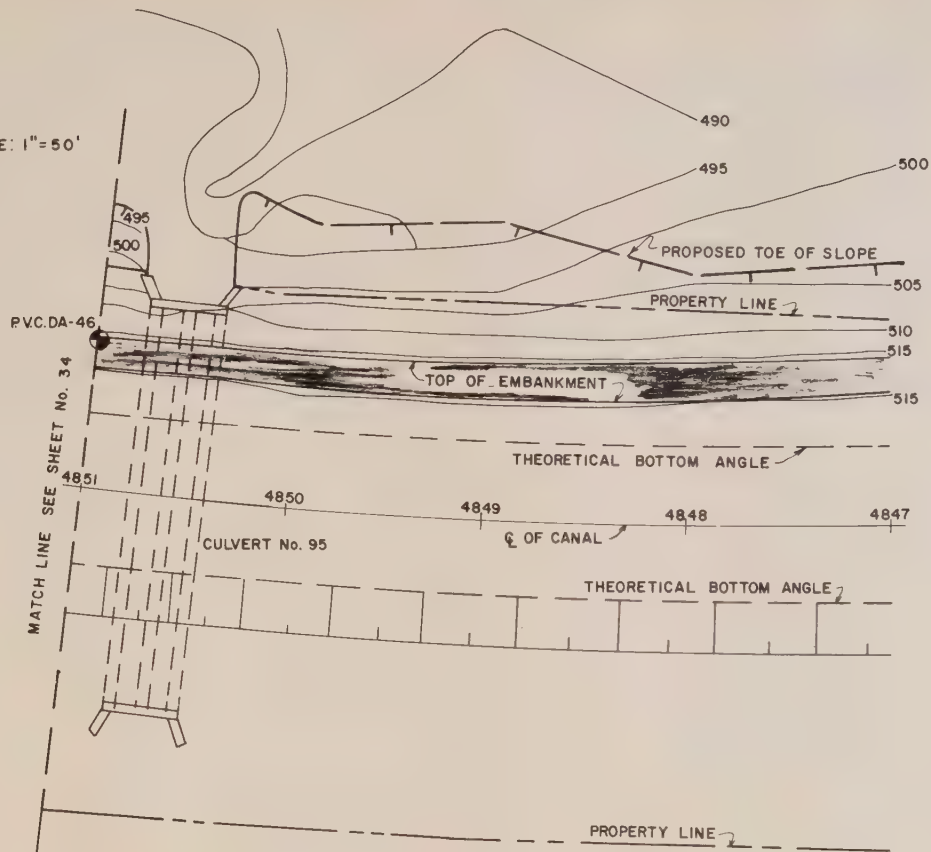
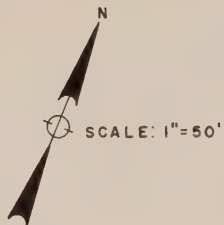
Widen embankment to criteria shown on section W-W and end slope limits on sheets 30-32.
Extend culvert 90 to embankment end limit.

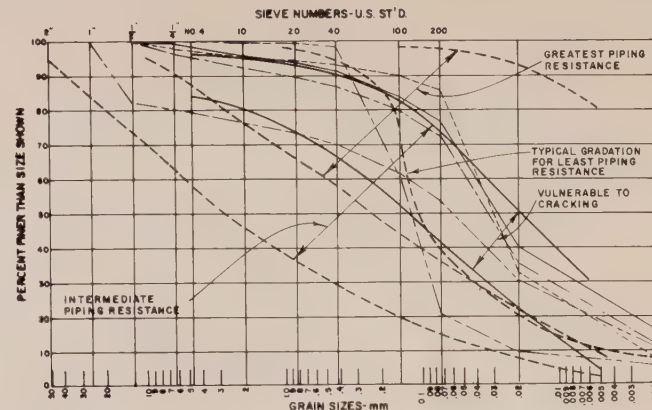
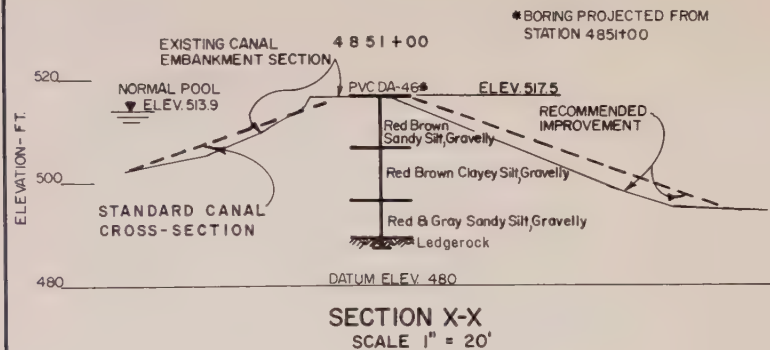












JAR - J-1 -----
J-3 -----
J-4 -----
J-5 -----
J-6 -----

Canal C/L Station

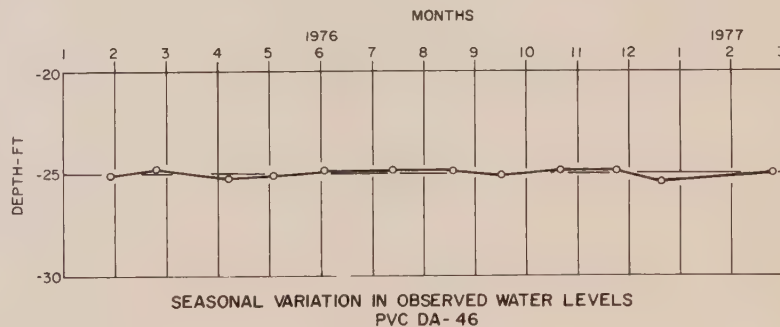
4847+00 - 4855+50

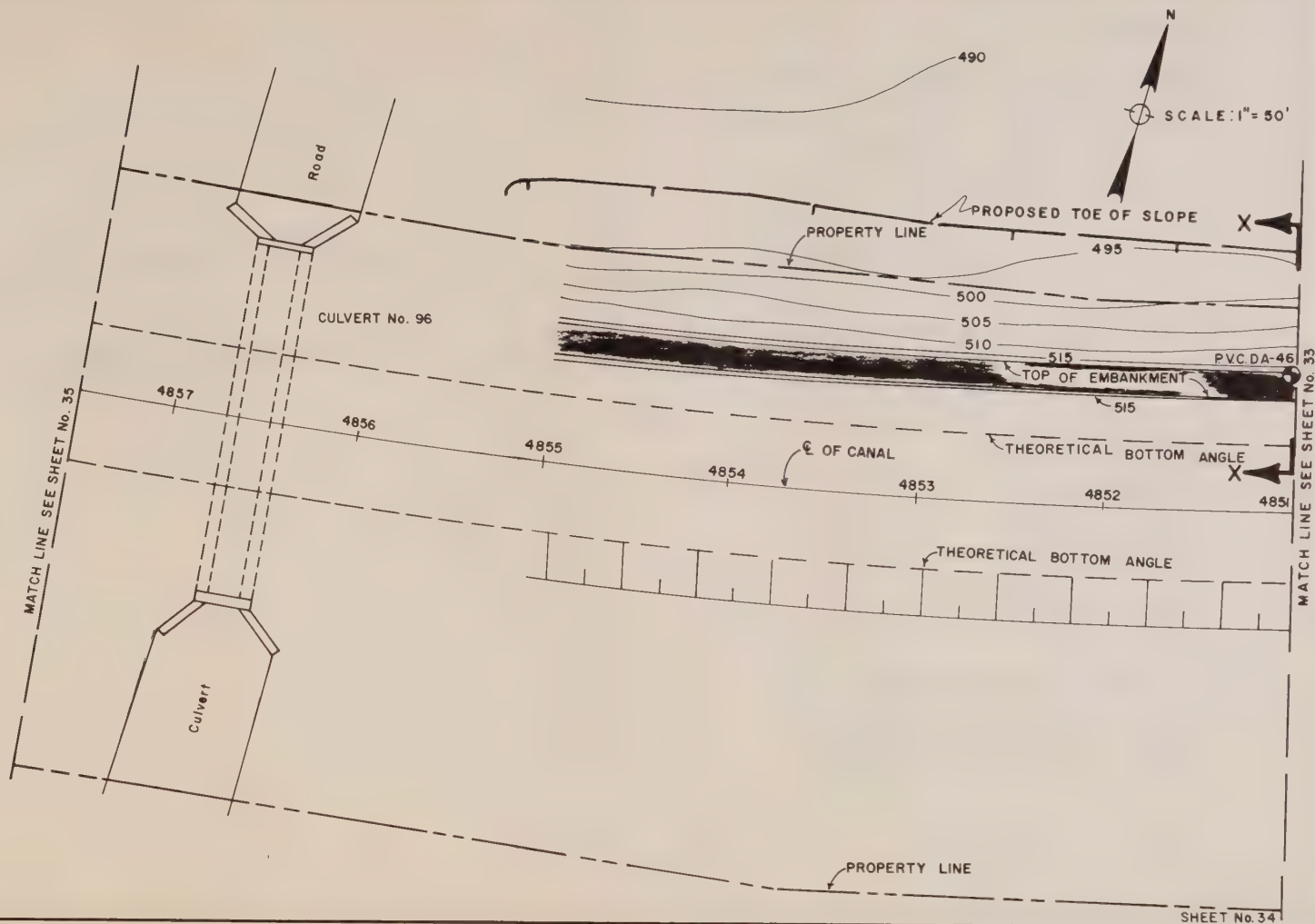
Condition Classification

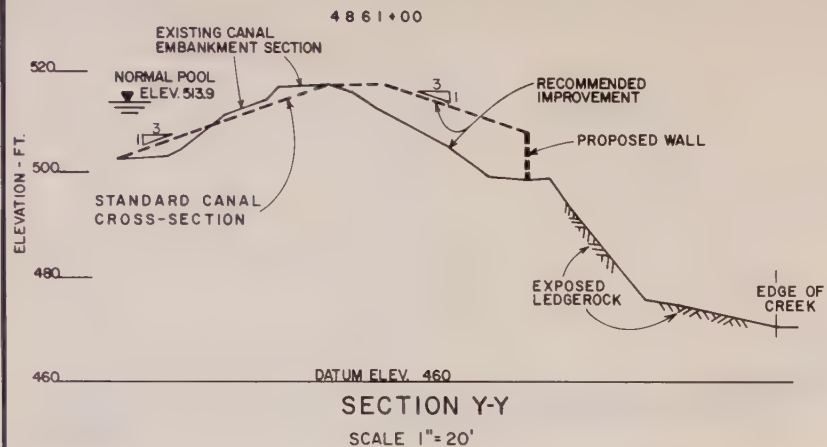
Class 2

Recommended Foundation Treatment

Widen embankment to criteria shown on section X-X and end slope limits on sheet 33-34. End embankment widening at 4855+50. Extend culvert 95 to end limit of embankment.







Canal C/L Station

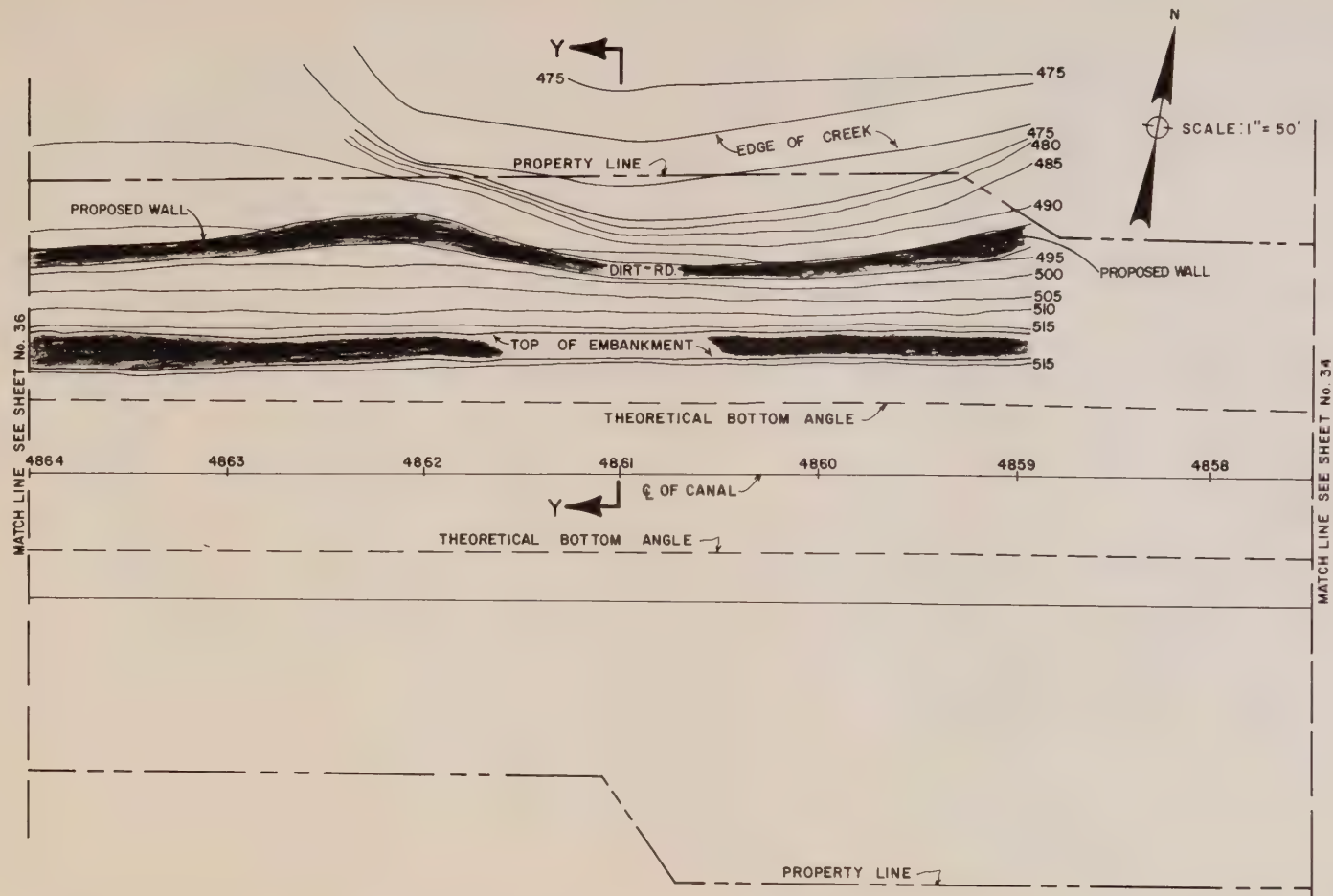
4857+50 - 4869+00

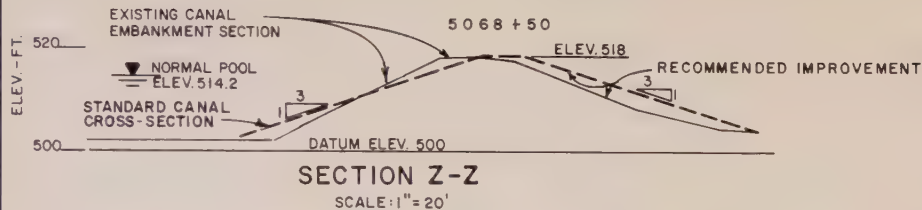
Condition Classification

Class 2

Recommended Foundation Treatment

Construct embankment and precast concrete wall as shown on section Y-Y. Approximate wall location shown on sheets 35 and 36.





Canal C/L Station

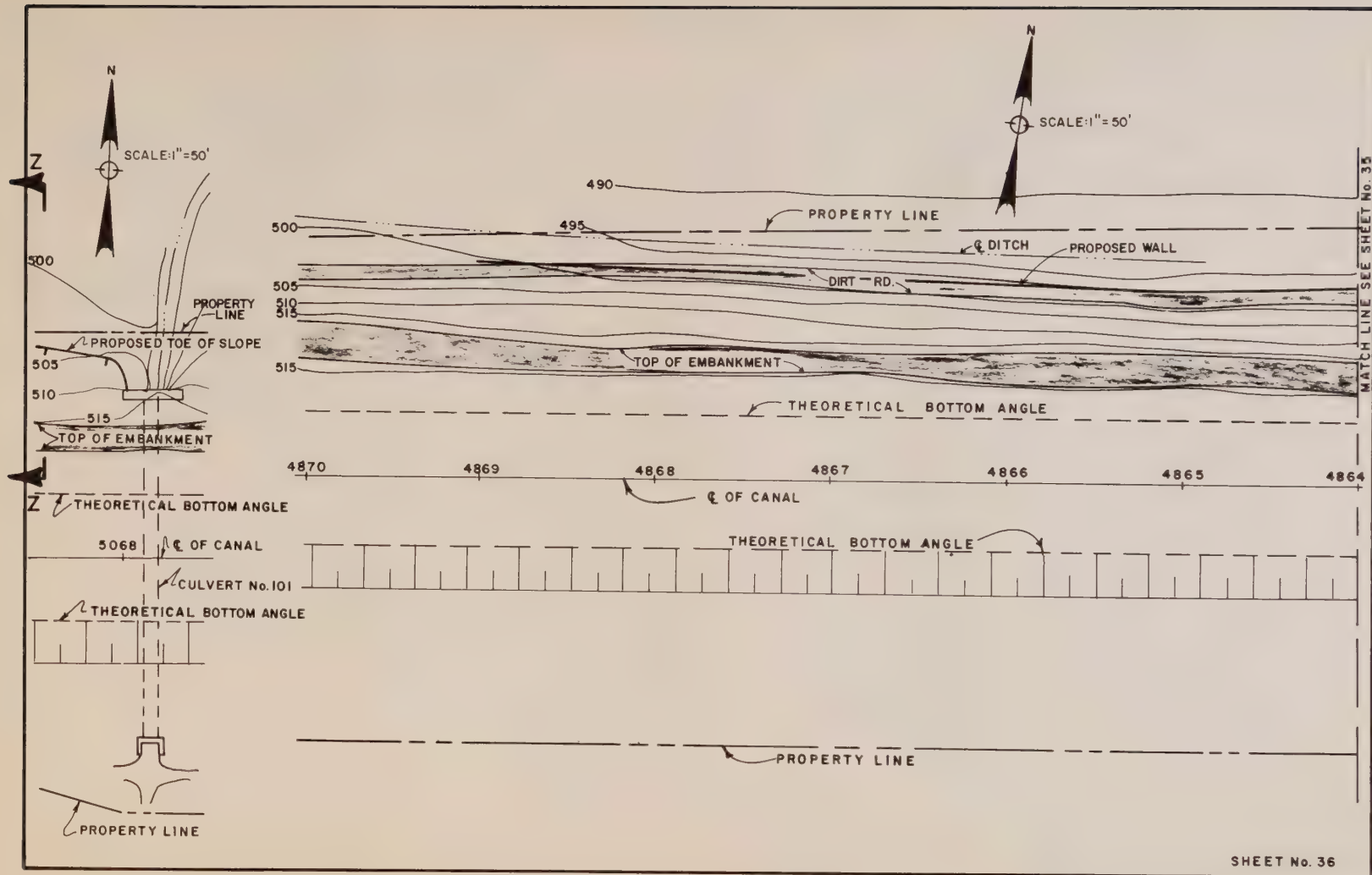
5067+50 - 5068+50

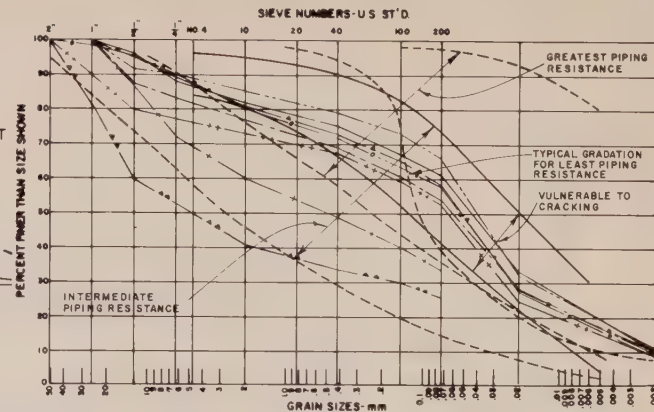
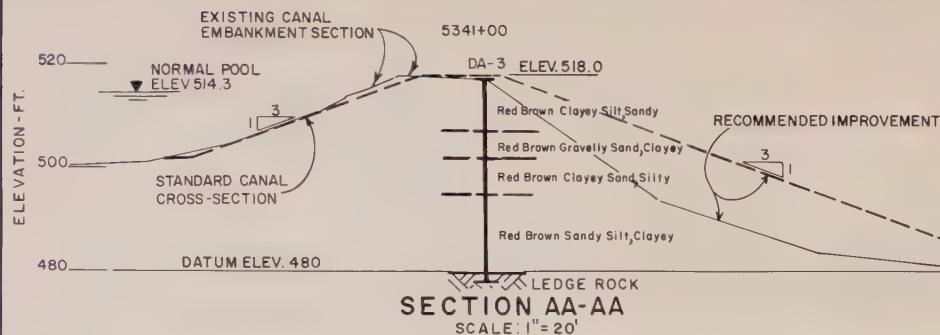
Condition Classification

Class 3

Recommended Foundation Treatment

Widen embankment outboard to criteria shown
on section Z-Z and end slope limit on sheet 36.
Extend culvert 101 to end limit of embankment.





JAR-J-182 ——— J-10 to 14 —○—

J-384 ——— J-15 —+—

J-687 —△— J-16 to 18 ———

J-889 —+— J-19 —△△—

SOIL SAMPLE GRAIN SIZE DISTRIBUTION
DA-3

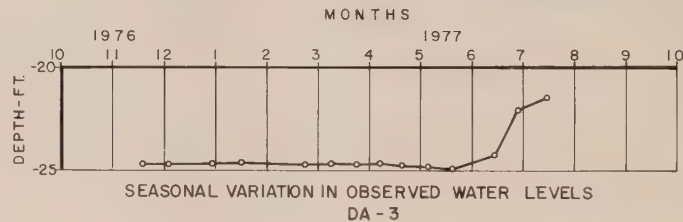
Canal C/L Station

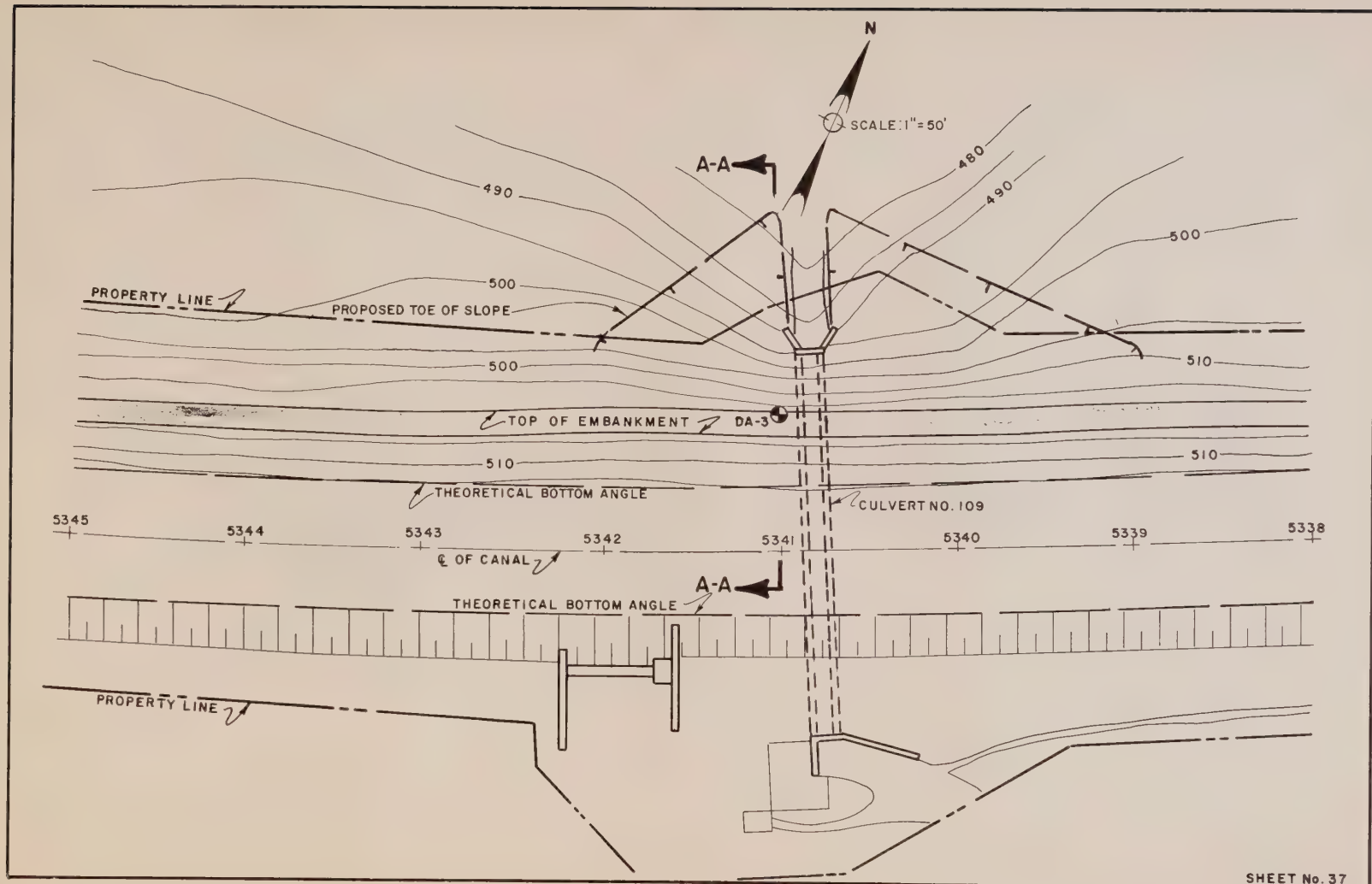
5339+00 - 5342+00

Condition Classification
Class 2

Recommended Foundation Treatment

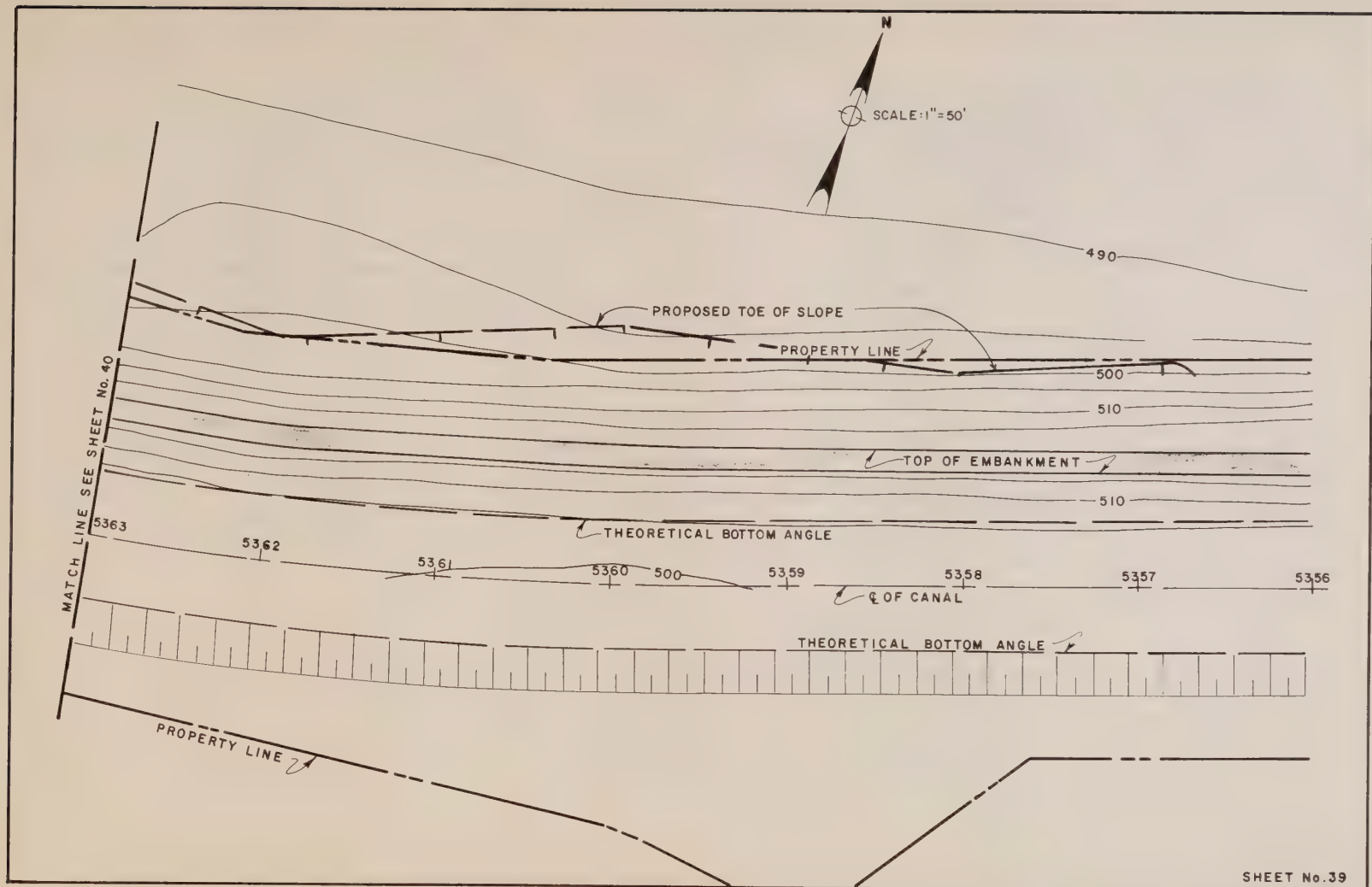
Widen embankment outboard to criteria shown on section AA-AA and end slope limit shown on sheet 37.
Extend culvert 109 to end limit of embankment.

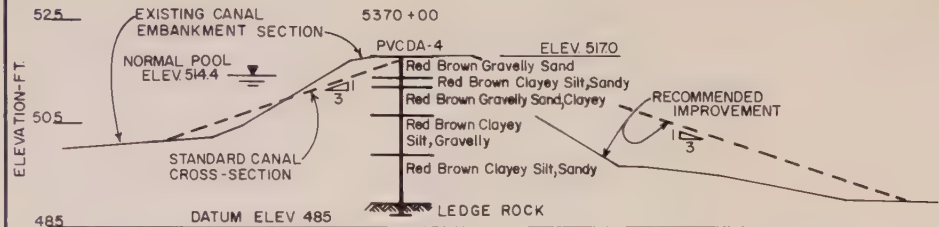




C







SECTION BB-BB

SCALE 1" = 20'

Canal C/L Station

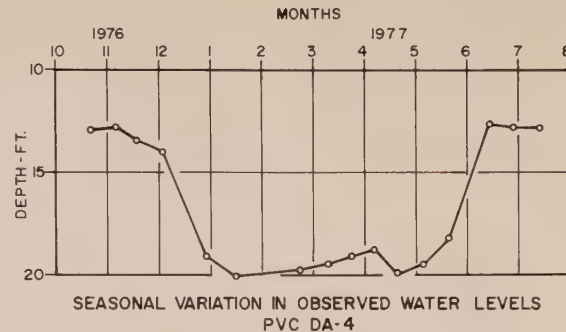
5356+50 - 5376+00

Condition Classification

Class 2

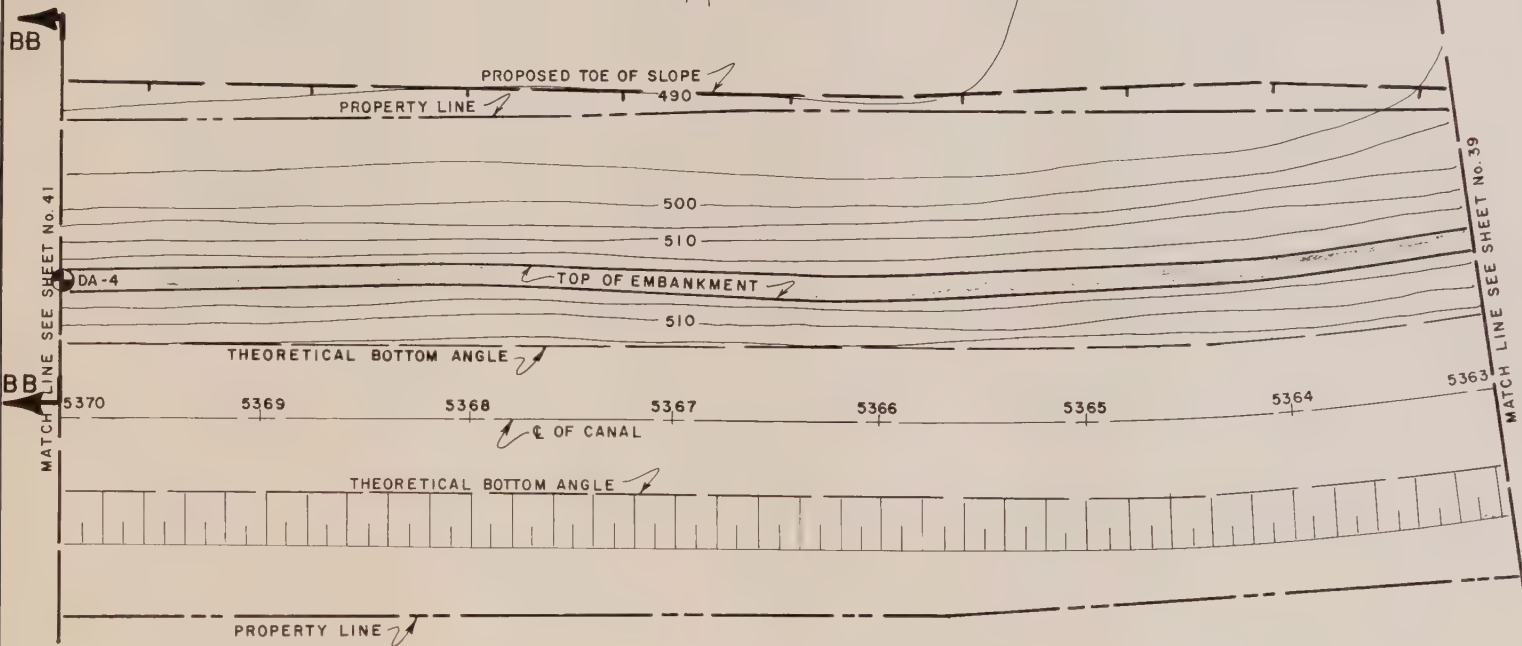
Recommended Foundation Treatment

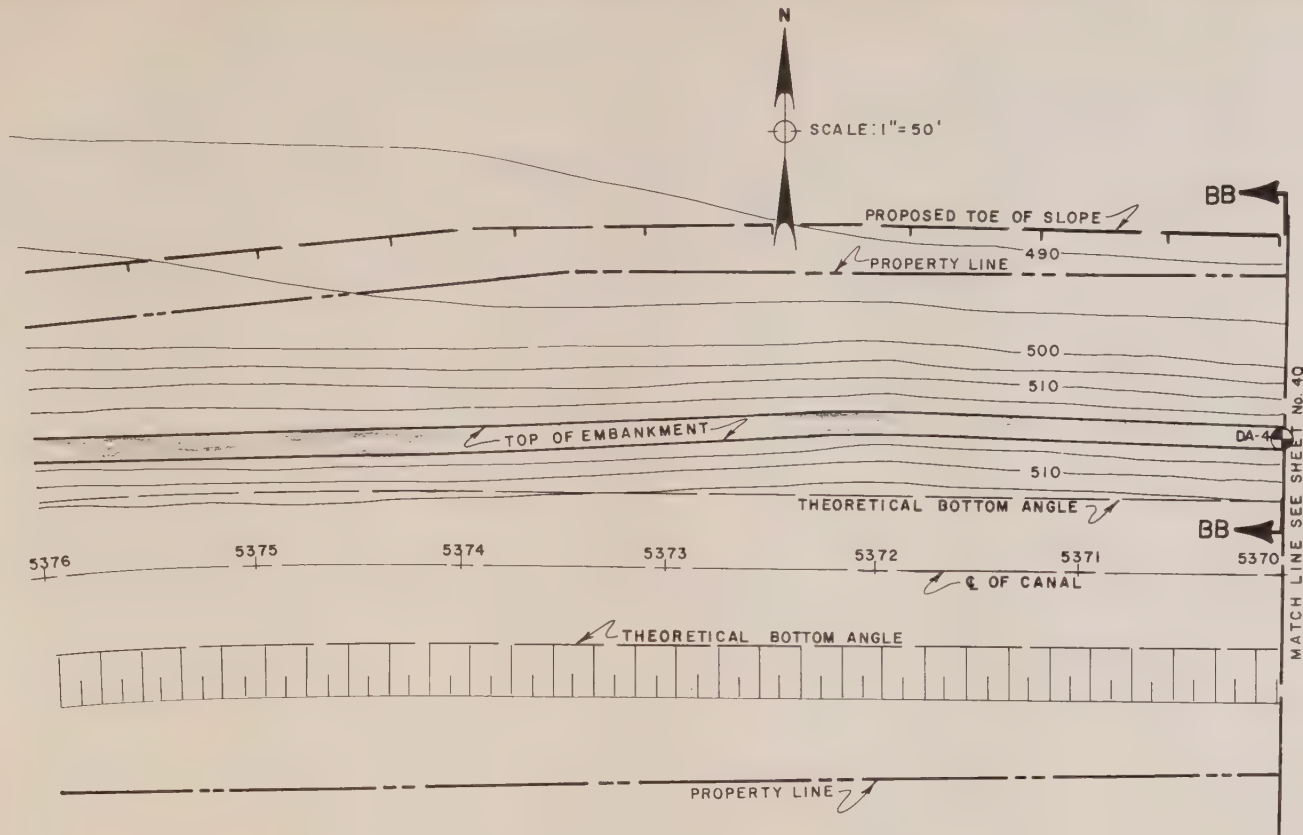
Widen embankment outboard to criteria shown on section BB-BB and end slope limit shown on sheets 39-41.

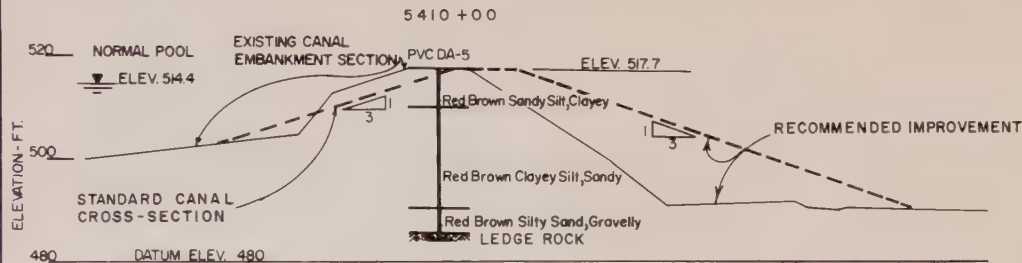




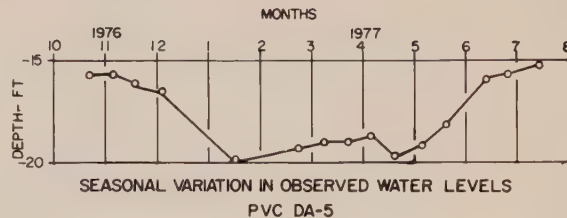
SCALE: 1"=50'







SECTION CC-CC
SCALE 1" = 20'



Canal C/L Station

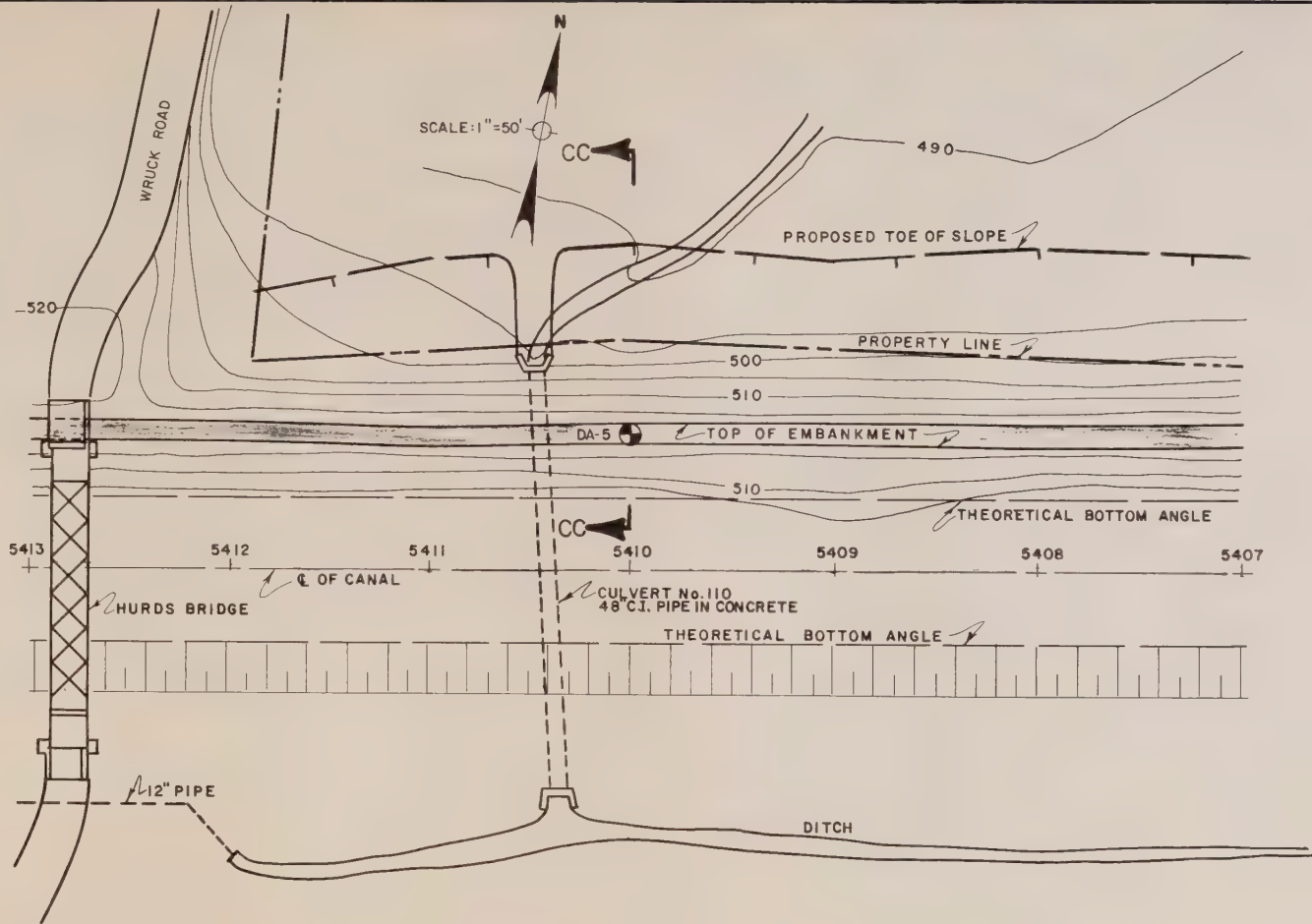
5407+00 - 5412+00

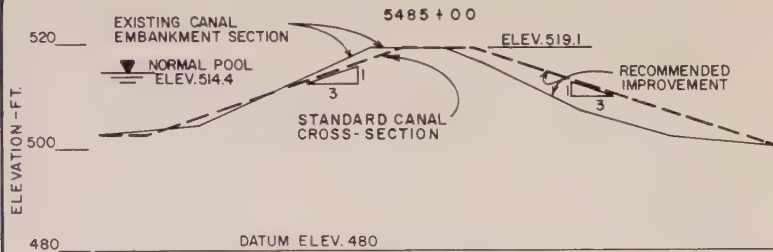
Condition Classification

Class 2

Recommended Foundation Treatment

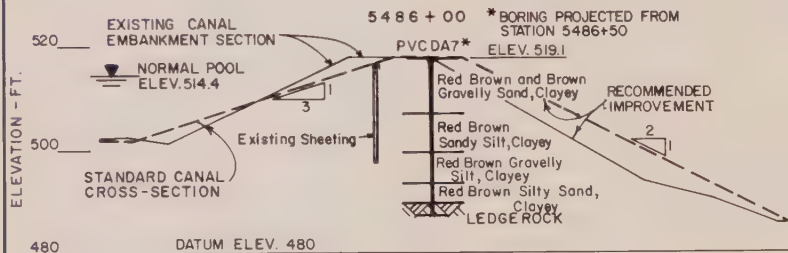
Widen embankment outboard to criteria shown on section CC-CC and end slope limit shown on sheet 42. Extend culvert 110 to end limit of embankment.





SECTION DD-DD

SCALE: 1" = 20'



SECTION EE-EE

SCALE: 1" = 20'

Canal C/L Station

5474+50 - 5487+00

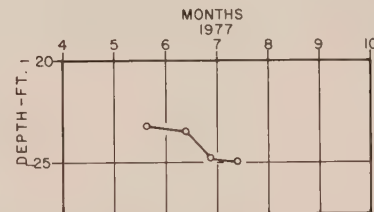
Condition Classification

Class 2

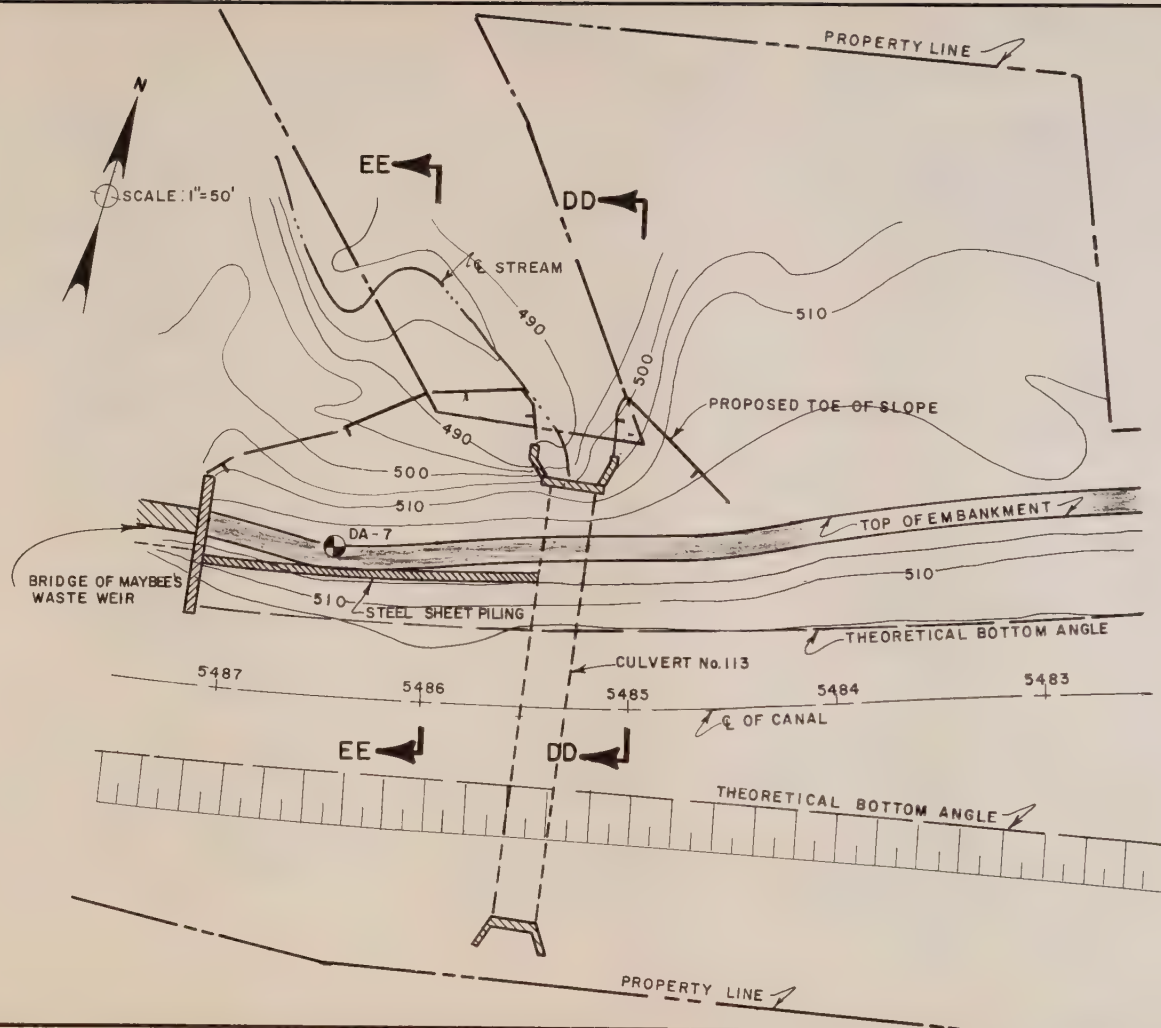
Recommended Foundation Treatment

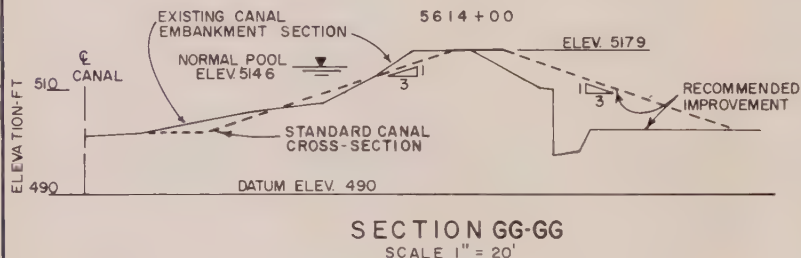
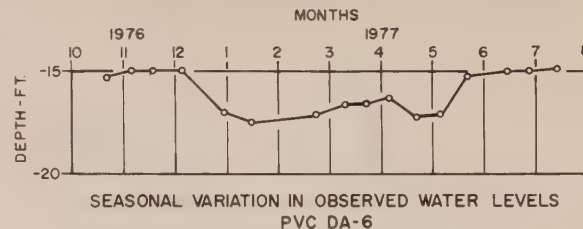
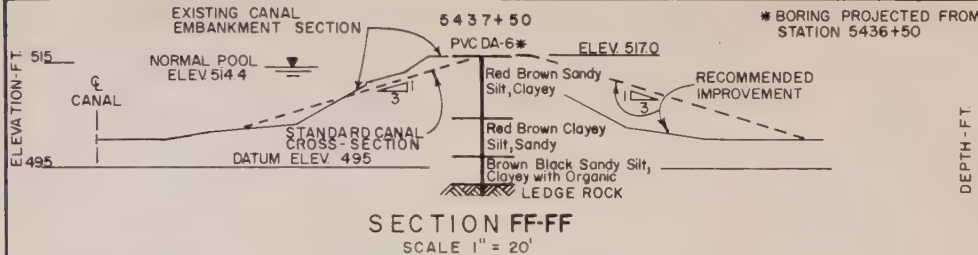
- 5484+50 - 5485+25 - Widen embankment outboard according to section DD-DD and end slope limit on sheet 43
- 5485+50 - 5487+15 - Widen embankment outboard to criteria shown on section EE-EE and end slope limit on sheet 43

Extend culvert 113 to end limit of embankment.



SEASONAL VARIATION IN OBSERVED WATER LEVELS
PVC DA-7





Canal C/L Station

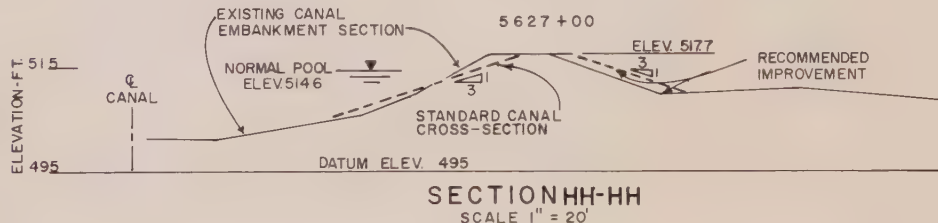
1. 5436+50 - 5437+50
2. 5613+75 - 5614+25
3. 5627+00 - 5627+50

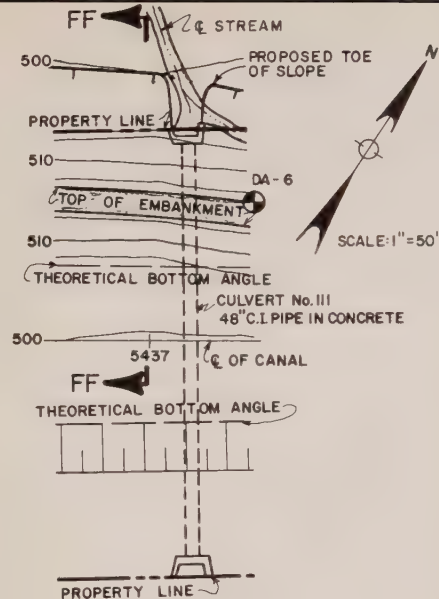
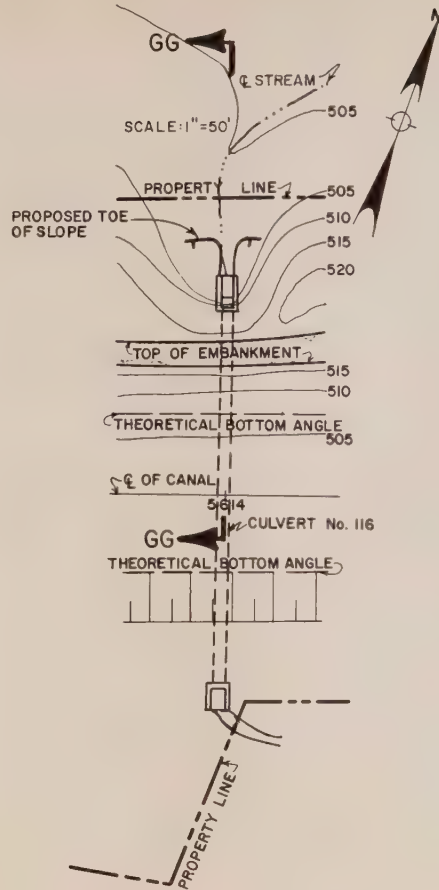
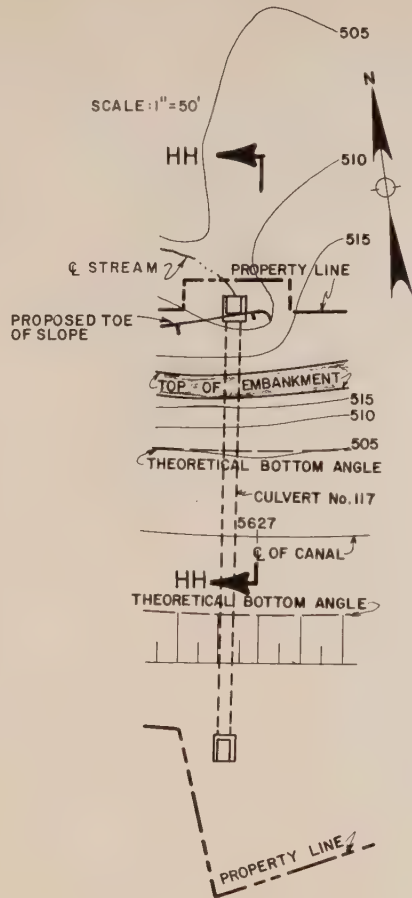
Condition Classification

1. Class 2
2. Class 3
3. Class 3

Recommended Foundation Treatment

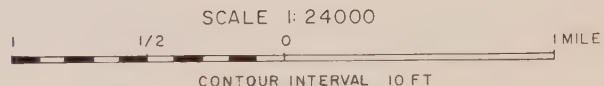
Widen embankments outboard according to criteria on respective section and end slope limit on sheet 44. Extend culverts 111 and 116 to end limit of embankment.

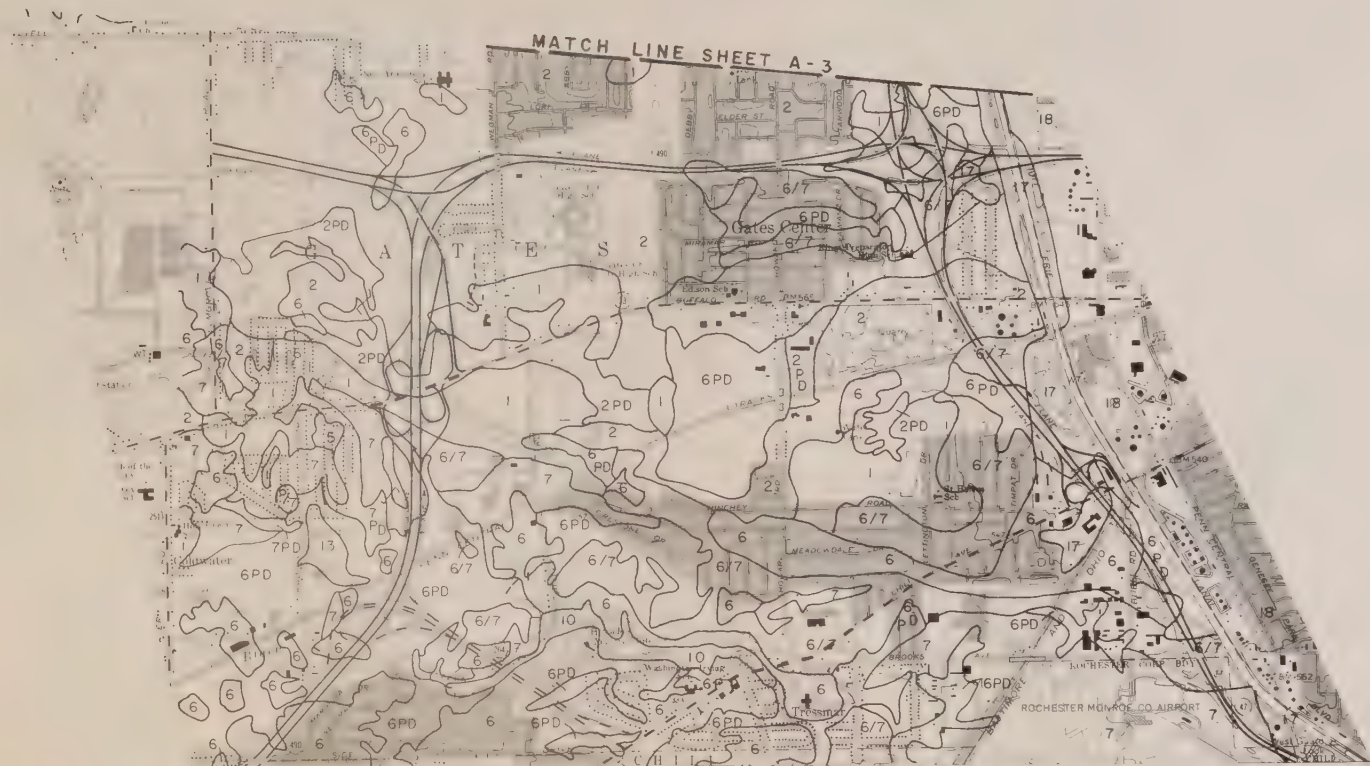


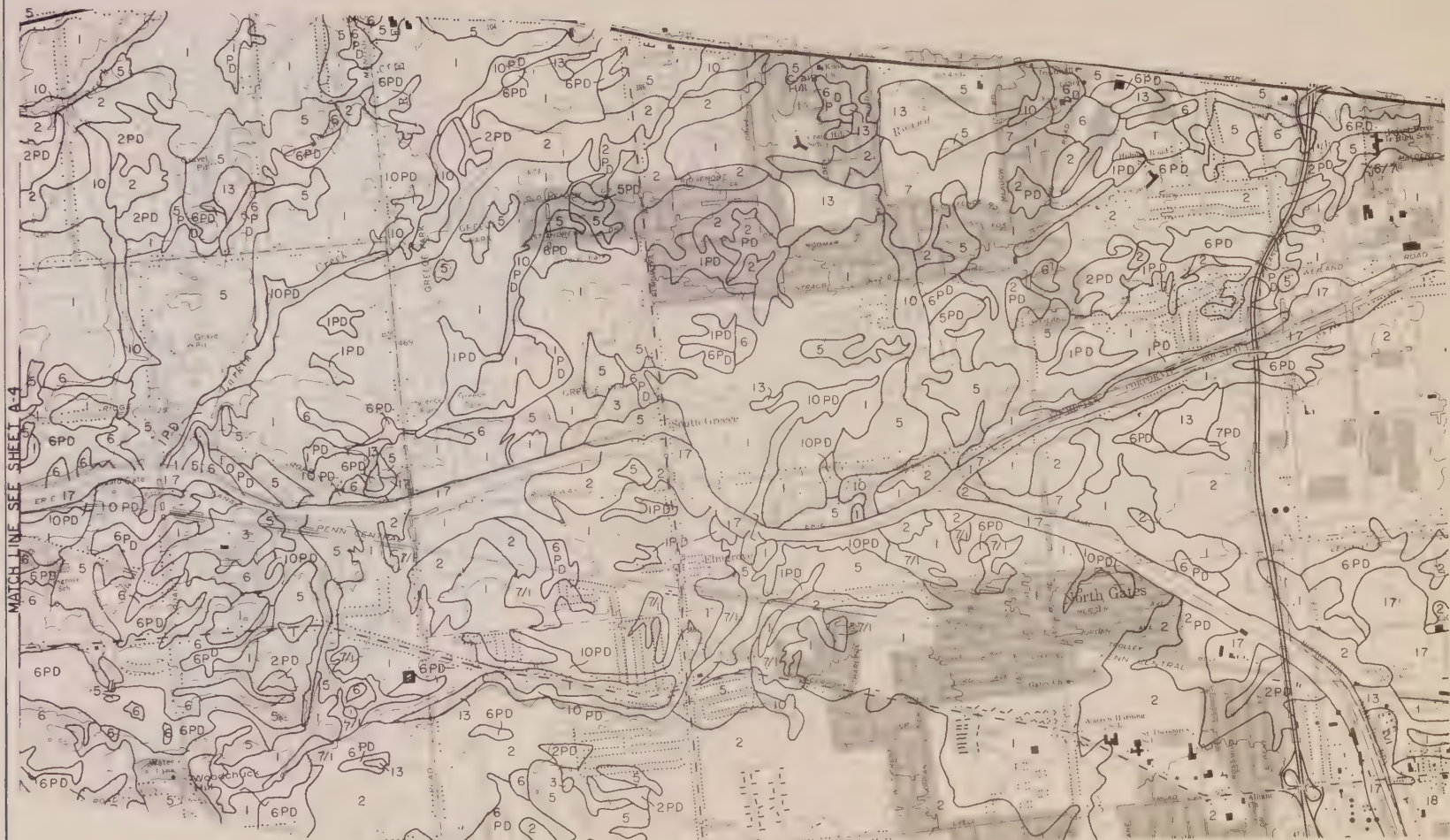


APPENDIX

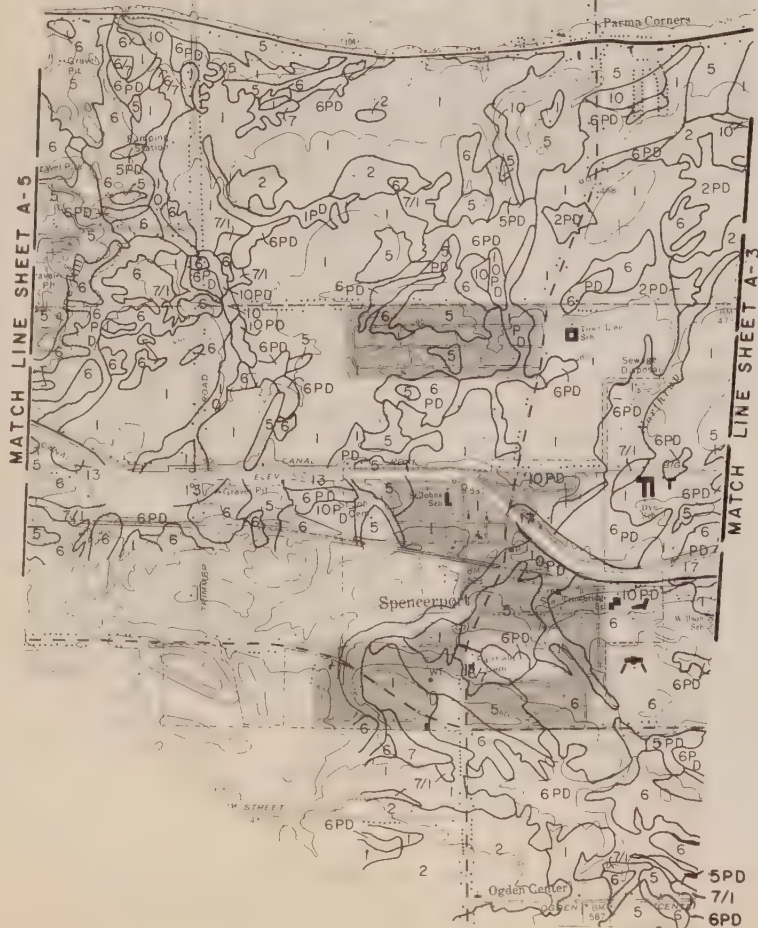
- 1 THICK TILL
- 2 THIN TILL
- 2&15 THIN TILL AND BEDROCK
- 3 MORANIC TILL
- 5 OUTWASH DEPOSITS
- 6 LACUSTRINE SHORE DEPOSITS
- 6/7 LACUSTRINE SHORE DEPOSITS OVER LACUSTRINE BOTTOM SEDIMENTS
- 7 LACUSTRINE BOTTOM SEDIMENTS
- 7/6 LACUSTRINE BOTTOM SEDIMENTS OVER LACUSTRINE SHORE DEPOSITS
- 7/1 LACUSTRINE BOTTOM SEDIMENTS OVER THICK TILL
- 10 RECENT ALLUVIAL DEPOSITS
- 13 ORGANIC DEPOSITS
- 15 BEDROCK OUTCROP
- 17 MAN-MADE FEATURES
- 18 URBAN LAND
- ! WET SPOT
- ≡ MARSH
- W STANDING WATER
- PD POORLY DRAINED VARIANT



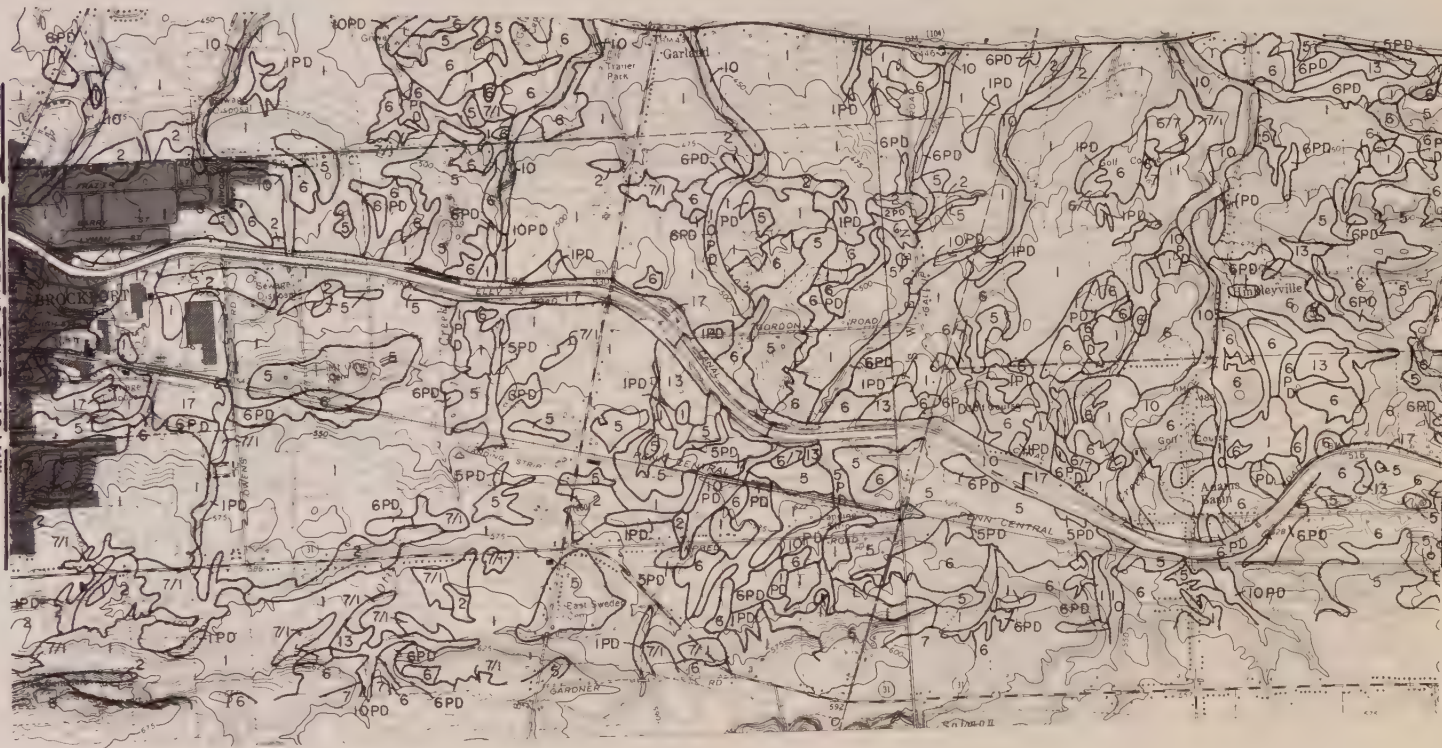




MATCH LINE SEE SHEET A-2



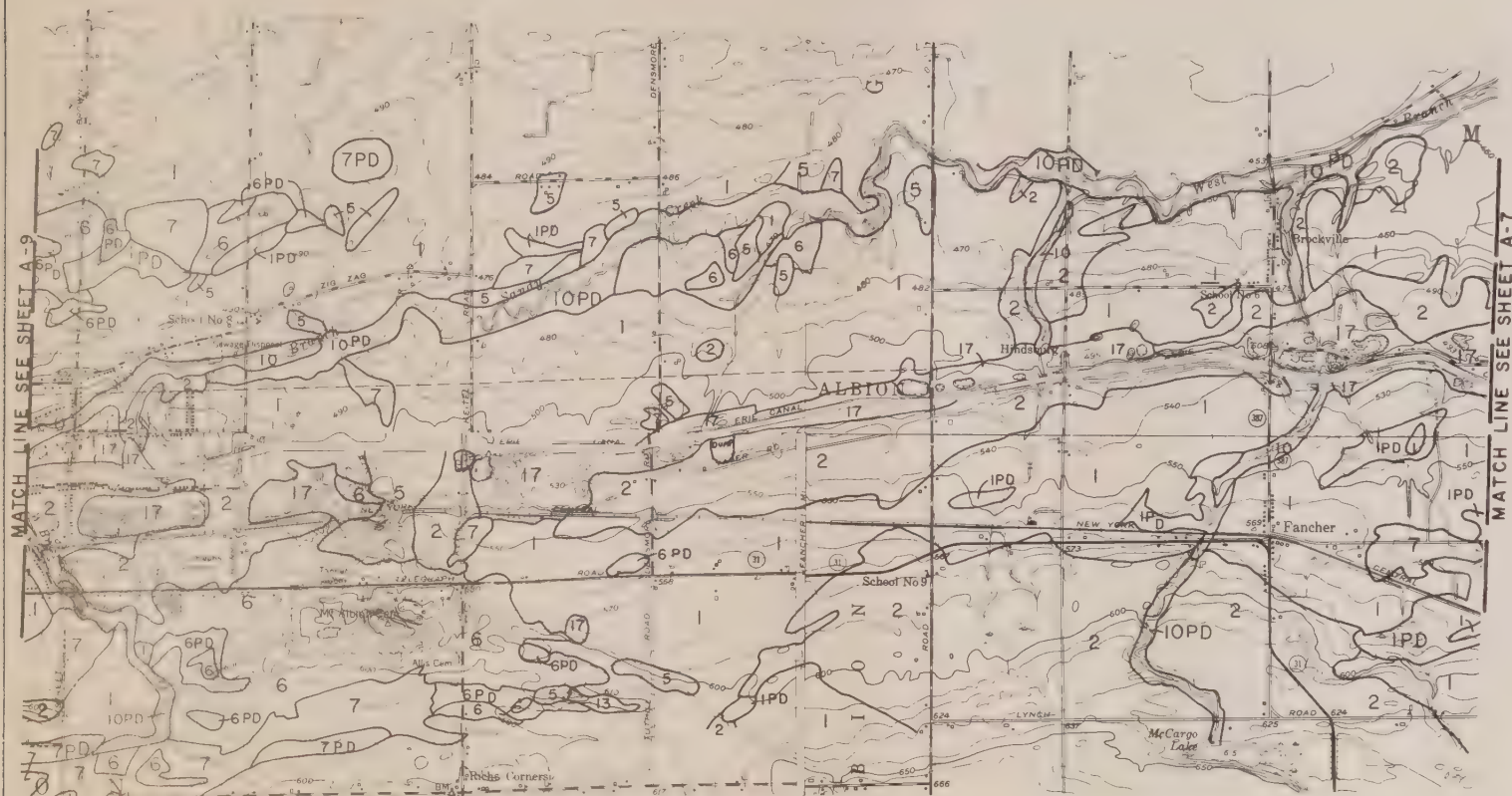
MATCH LINE SHEET A-6

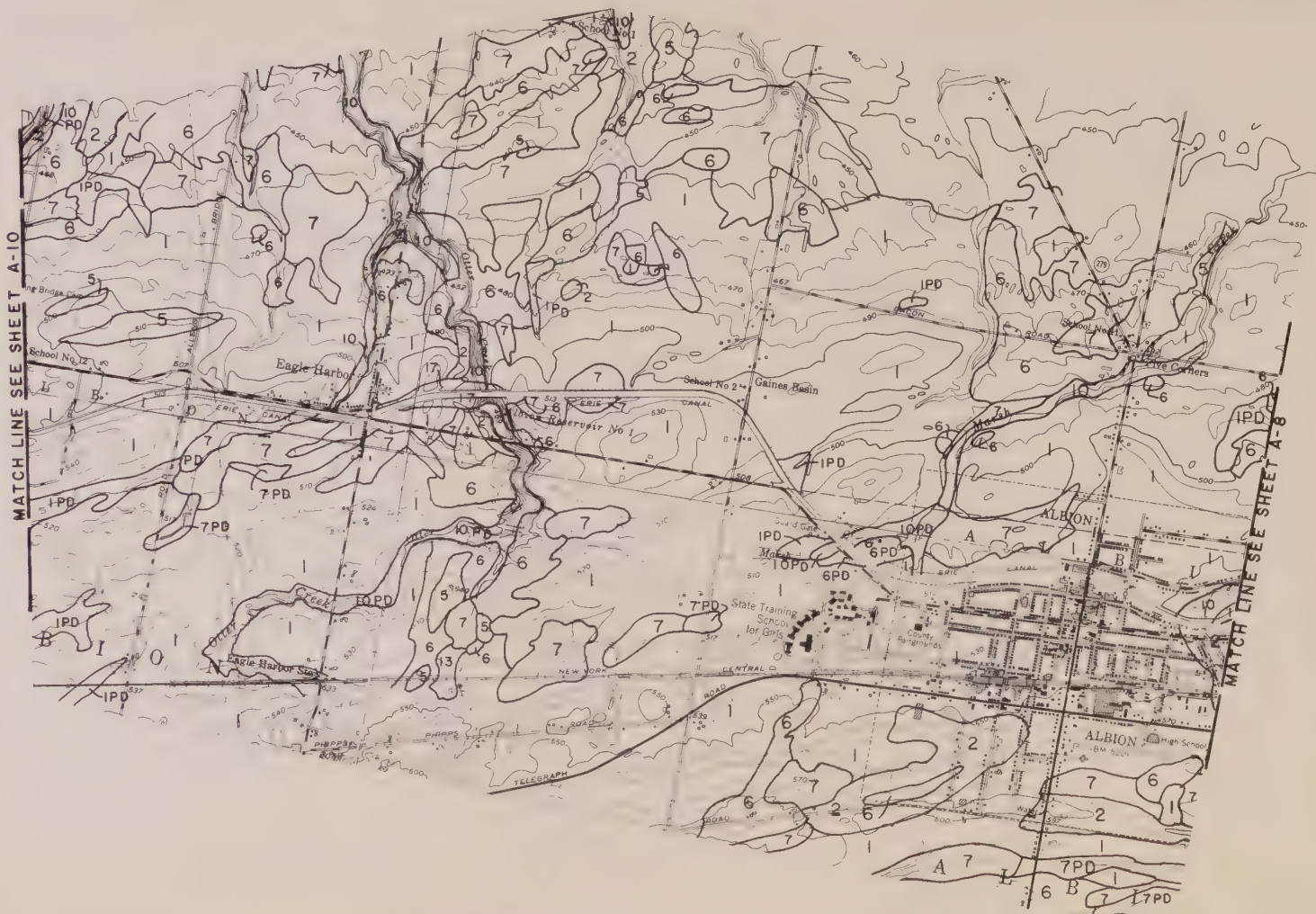


MATCH LINE SHEET A-4

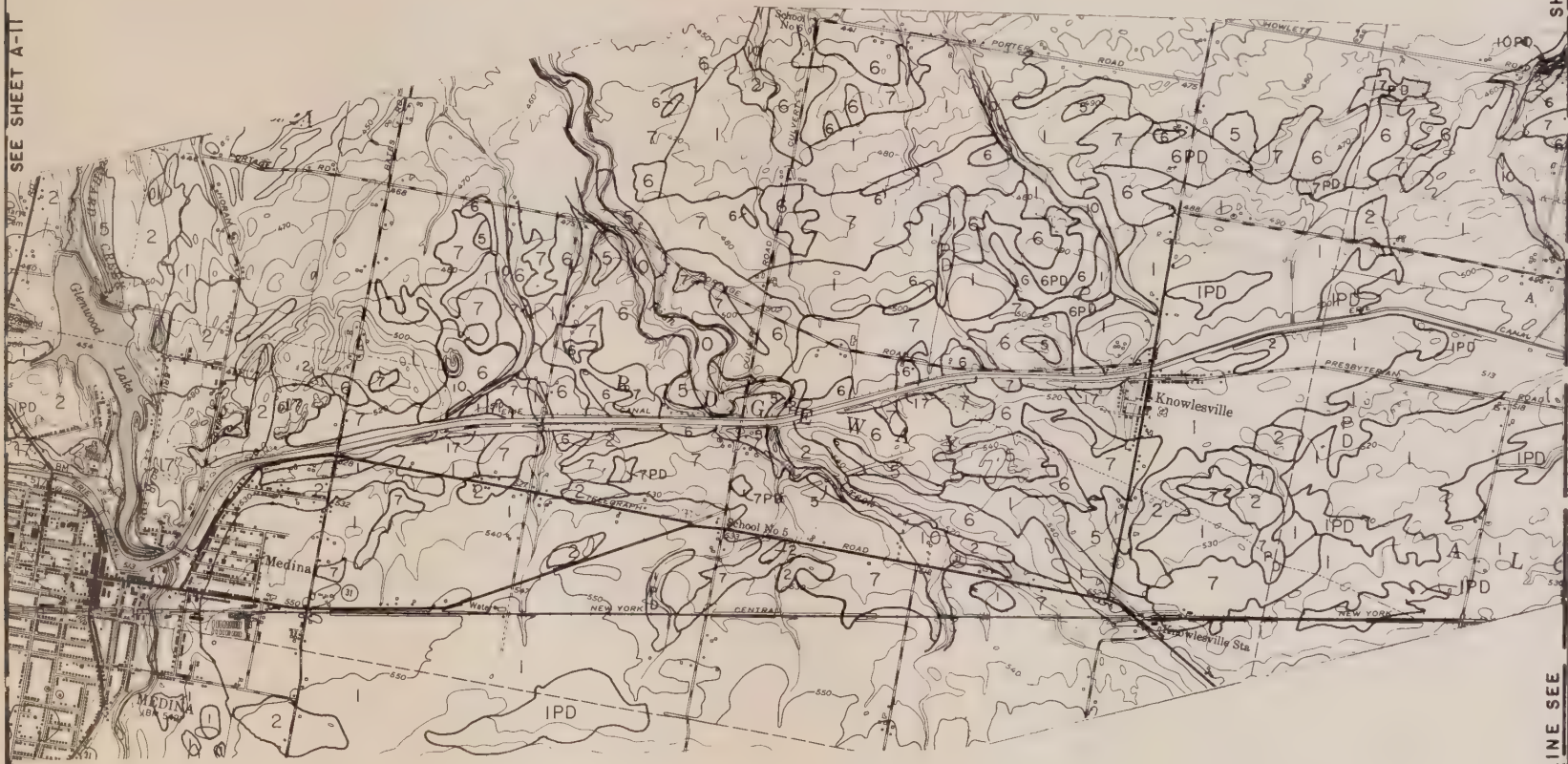








SEE SHEET A-11



SHEE A-9

SEE SHEET A-12

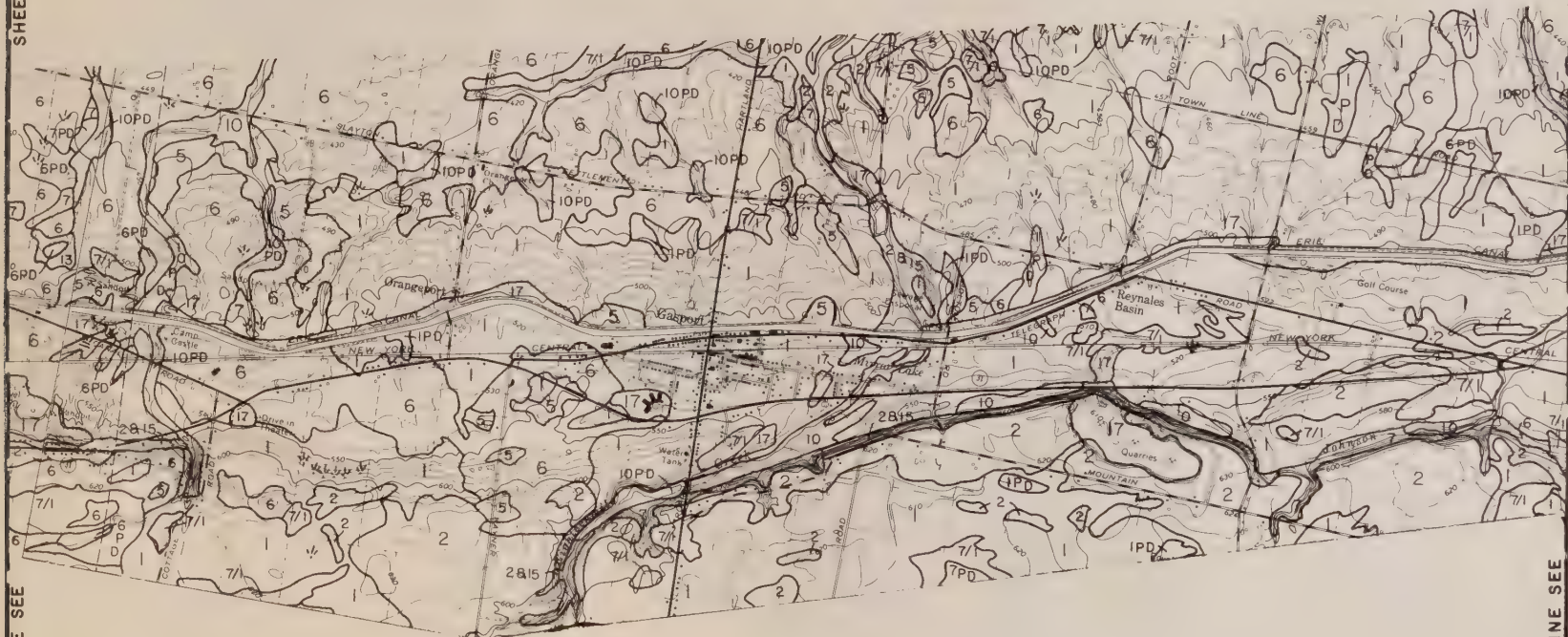


MATCH LINE

A-11

MATCH LINE

SEE SHEET A-10



GENERAL TERRAIN UNIT CHARACTERISTICS

| MAP SYMBOL | TERRAIN UNIT | MODE OF ORIGIN | LANDFORMS | COMMON TOPOGRAPHIC POSITION | PARTICLE SIZE AND DISTRIBUTION | RELATIVE PERMEABILITY | REMARKS |
|------------|--|---|---|--|---|-----------------------|--|
| 1 | THICK TILL | SEDIMENTS PICKED UP, TRANSPORTED, MIXED, AND DEPOSITED BY GLACIAL ICE; MINIMAL WATER TRANSPORT; COMPACTION BY OVERRIDING ICE OR SETTLING DURING DEWATERING. | LONG, SIMPLE SLOPES; STEEP TO LEVEL SURFACES; DRUMLINS. | UPLANDS; FLANKS AND TOPS OF HILLS. | CLAY TO BOULDERS; -3" FRACTION MOSTLY SAND AND SILT. GENERALLY UNSORTED AND UNSTRATIFIED; MINOR WATER-SORTED POCKETS. | SLOW | HARD LAYER NEAR SURFACE MAY PREVENT DOWNWARD MOVEMENT OF WATER. |
| 2 | THIN TILL | SEDIMENTS PICKED UP, TRANSPORTED, MIXED, AND DEPOSITED BY GLACIAL ICE; MINIMAL WATER TRANSPORT; COMPACTION BY OVERRIDING ICE OR SETTLING DURING DEWATERING. | MODERATELY STEEP TO STEEP VALLEY SIDES; HILLTOPS | STEEPER UPLAND SLOPES; INCISED DRAINAGEWAYS. | CLAY TO BOULDERS; -3" FRACTION MOSTLY SAND AND SILT. GENERALLY UNSORTED AND UNSTRATIFIED; MINOR WATER-SORTED POCKETS. | SLOW | DEPTH TO BEDROCK LESS THAN FOUR FEET; NUMEROUS ROCK FRAGMENTS NEAR BEDROCK SURFACE. |
| 3 | MORAINIC TILL | SEDIMENTS DUMPED FROM MARGIN OF MELTING ICE; PARTIALLY WATER-WORKED | LONG COMPLEX SLOPES; IRREGULAR HILLY SURFACES. | UPLANDS; LOWER VALLEY WALLS. | CLAY TO BOULDERS; -3" FRACTION MOSTLY SAND AND SILT. UNSORTED OR VERY POOR SORTING; LITTLE OR NO STRATIFICATION. | MODERATE TO RAPID | USUALLY OCCURS WITHIN OR CLOSELY ASSOCIATED WITH THICK TILL OR ICE-CONTACT DEPOSITS. |
| 4 | ICE-CONTACT DEPOSITS | WATERBORNE SEDIMENTS DEPOSITED AGAINST ONE OR MORE WALLS OF ICE. | KAMES, ESKERS, ETC.; SINUOUS RIDGES OR RANDOM CONICAL HILLS AND DEPRESSIONS OF MODERATE RELIEF. | VALLEY WALLS AND FLOORS SUPERIMPOSED ON LANDSCAPE. | SILT, SAND, GRAVEL; OCCASIONAL COBBLES. WELL-SORTED BEDS. IRREGULAR, FAULTED AND SLUMPED STRATIFICATION. | MODERATE TO RAPID | ASSOCIATED WITH MORAINIC TILL DEPOSITS. |
| 5 | OUTWASH DEPOSITS | SEDIMENTS TRANSPORTED BY MELTWATERS AWAY FROM ICE MASS. | FLAT TO GENTLY UNDULATING TERRACES. | LOWER VALLEY WALLS AND FLOORS. | SILT TO COBBLES, MOSTLY SAND AND GRAVEL. WELL-SORTED, MASSIVE, HORIZONTAL STRATIFICATION WITH SOME BEDDING. | MODERATE TO RAPID | MAY HAVE HIGH WATER TABLE. |
| 6 | LACUSTRINE SHORE DEPOSITS | SEDIMENTS TRANSPORTED BY WATERCOURSES FLOWING INTO GLACIAL LAKES AND SETTLING; WAVE ACTION ALONG SHORES. | DELTA'S, BEACHES, BARS; LOW RIDGES; BERMS; FLAT TO GENTLY UNDULATING PLAINS. | HIGH ON VALLEY WALL; EDGES OF VALLEY FLOOR. EDGES OF LOWLANDS. | SILT TO COBBLE; MOSTLY FINE SANDS AND SILT, LITTLE CLAY. WELL-SORTED BEDS; DISTINCT LEVEL OR SLOPING STRATIFICATION. | MODERATE TO RAPID | MAY BE UNDERLAIN BY LACUSTRINE CLAYS. |
| 6/7 | LACUSTRINE SHORE DEPOSITS OVER LACUSTRINE BOTTOM SEDIMENTS | SEE CHARACTERISTICS FOR EACH TERRAIN UNIT | | | | | SAND AND SILT COVER LESS THAN 3 FEET THICK OVER SILT AND CLAY |
| 7 | LACUSTRINE BOTTOM SEDIMENTS | SEDIMENTS DEPOSITED IN DEEP, QUIET WATER OF GLACIAL LAKES. | FLAT TO GENTLY UNDULATING PLAINS. | VALLEY WALLS; VALLEY FLOORS; LOWLANDS. | CLAY TO FINE SAND; MOSTLY SILT. WELL-SORTED BEDS; NEARLY HORIZONTAL, DISTINCT STRATIFICATION. | SLOW | LAMINATIONS COMMONLY CALLED "VARVES." |

GENERAL TERRAIN UNIT CHARACTERISTICS

| MAP SYMBOL | TERRAIN UNIT | MODE OF ORIGIN | LANDFORMS | COMMON TOPOGRAPHIC POSITION | PARTICLE SIZE AND DISTRIBUTION | RELATIVE PERMEABILITY | REMARKS |
|----------------------------------|---|--|----------------------------------|---|--|-----------------------------|--|
| 7/1 | LACUSTRINE BOTTOM - SEDIMENTS OVER THICK TILL | SEE CHARACTERISTICS FOR EACH TERRAIN UNIT | | | | | SILT AND CLAY COVER LESS THAN 3 FEET THICK OVER GLACIAL TILL |
| 10 | RECENT ALLUVIAL DEPOSITS | SEDIMENTS DEPOSITED BY FLOODWATERS. | FLOODPLAINS SUBJECT TO OVERFLOW. | ALONG WATERCOURSES. | CLAY TO COBBLES; MOSTLY SAND AND SILT; ORGANIC SURFACE. WELL-SORTED BEDS; WEAK STRATIFICATION. FINER TEXTURES FARTHER FROM WATERCOURSE. | VARIABLE; HIGH WATER TABLE. | USUALLY UNDERLAIN BY ADJACENT DEPOSITS. |
| 13 | ORGANIC DEPOSITS | ACCUMULATION OF ORGANIC AND INORGANIC MATERIAL IN BODY OF WATER | DEPRESSIONS | ALONG WATERCOURSES HEADWATERS OF UPLAND STREAMS; VALLEY FLOOR DEPRESSIONS. | CLAY TO FINE SAND; ORGANIC MATTER; POSSIBLY MARL. UNSORTED; IRREGULAR STRATIFICATION. | VARIABLE; HIGH WATER TABLE. | |
| 17 | MAN MADE FEATURES | USUALLY OCCURS ADJACENT TO BARGE CANAL AS TOW PATH EMBANKMENTS, SPOIL BANKS, CUT SLOPES OR BORROW PITS. | | | | | ASSOCIATED WITH ALLUVIAL LANDS |
| 18 | URBAN LAND | THE HIGH DEGREE OF URBAN DEVELOPMENT HAS COMPLETELY MASKED THE ORIGINAL TERRAIN UNITS. | | | | | |
| 1PD 5PD 6PD 7PD 10PD | POORLY DRAINED VARIANT | THESE ARE THE POORLY DRAINED PHASES OF THE ABOVE TERRAIN UNITS. THEY ARE SIMILAR IN CHARACTER TO THE DESCRIBED UNIT EXCEPT THAT THE SOIL REMAINS WET FOR A LARGE PART OF THE TIME. THESE SOILS ARE USUALLY WATER LOGGED DURING LATE FALL, WINTER AND EARLY SPRING. THE WATER TABLE REMAINS NEAR THE SURFACE EXCEPT DURING THE USUALLY WARM DRY MONTHS. | | | | | |

PHYSIOGRAPHY, SOILS AND DRAINAGE

The study area is located in the Erie-Ontario Lowland Physiographic Province of the state. The canal lies on the Lake Ontario Plain and only enters the Lake Erie Plain after passing through the Lockport locks which carry it over the Niagara Escarpment.

The topography is controlled by the non-consolidated surficial deposits and not by the bedrock which is essentially flat lying. The non-consolidated deposits are the result of either direct glacial deposition or deposition in glacially associated lakes. Post-glacial erosional processes have shaped the landscape to its present configuration.

The glacial depositional history of the area was one of direct deposition of ground moraine (basal till) by the glacier, followed by the construction of ridge moraines and ice-contact features wherever the ice stagnated. The drainage was generally toward the north, and upon retreat of the ice-front pro-glacial lakes were formed with the ice as their northern barrier. As the ice retreated and outlets were found, the lakes drained to successively lower levels. Fine-grained sediments were deposited in the lakes and fine sand and silt on their shores. The last of these lakes, Lake Iroquois, was of a longer duration than its predecessors so that it developed a definite beach ridge which is followed rather closely by Route 104.

The soils have formed on the unconsolidated deposits of Pleistocene and Recent ages. The study area may be divided into smaller groupings:

1. Lock 33 (Sta. 2222) - vic. P.C. tracks (2563)
Soil formed in clayey lake-laid deposits.
2. Vic. P.C. tracks (2563) - vic. Larkin Cr. (3160)
Soil associations formed in glacial till.
3. Vic. Larkin Cr. (3160) - vic. Rte. 260 (3536)
Soils founded on glacio-fluvial deposits except in the vicinity of Spencerport where glacial tills predominate.
4. Vic. Rte. 260 (3536) - vic. Co. (Orleans) line (3805)
Soils formed on glacial till.
5. Vic. Orleans Co. line (3805) - vic. Hulberton (4030)
Soils formed on glacio-fluvial deposits except in the vicinities of Moorman Cr. and Sandy Cr. where the soils are of glacial till origin.
6. Vic. Hulberton (4030) - vic. Gaines Basin (4560)
Soils formed on glacial till deposits.
7. Vic. Gaines Basin (4560) - vic. Niagara Co. line (5170)
Soils formed on lacustrine deposits except in the vicinity of Oak Orchard Creek and Jeddo Creek where the soils are of glacial till origin.

8. Vic. Niagara Co. line (5170) - vic. Orangeport (5620)
Soils formed in glacial till.

9. Vic. Orangeport (5620) - vic. Lockport (5800)
Soils formed in lake-laid silts and fine sands.

10. Vic. Lockport (5800) - vic. Lockport (6000)
Soils formed in glacial till.

See Appendix , Generalized Terrain Map for more detailed information.

The general trend of the drainage in the area is northward into Lake Ontario. This is carried by a system of streams which pass under the canal. In the vicinity of Rochester the drainage passes into the Genesee River which conducts it into Lake Ontario. The only surface water passing into the canal proper is Red Creek at the junction of the Genesee River.

The principal watersheds proceeding westerly are:

Black-Paddy Hill Creeks
Braddocks Bay
Bald Eagle - Sandy Creeks
Oak Orchard Creek
Johnson Creek
Eighteen Mile Creek.

Appendix B

STABILITY OF CANAL EMBANKMENTS DURING EARTHQUAKE CONDITIONS

The section of the canal covered in this report is situated in an essentially stable zone with respect to earthquake activity (Ref. 1). The stability of the canal embankments in the study area during an earthquake has been analyzed using two distinct methods; liquefaction potential and lateral acceleration.

Most of the failures of and displacements in earth dams caused by earthquakes have been ascribed to liquefaction. The potential for liquefaction of the Barge Canal embankments has been analyzed in accordance with the methods presented in Ref. 2. It has been found that, in order not to liquefy during the design earthquake, the foundation and embankment soils have to exhibit a minimum penetration resistance of 2 blows per foot by the Standard Penetration Test used in subsurface soil explorations. The soils encountered in the borings progressed along this section of the canal meet this requirement.

The analysis when lateral earthquake acceleration is applied to a potential sliding mass results in the following criteria for stable outboard slopes:

- A. For embankment slopes with low groundwater levels. This criterion applies to areas where the canal section contains a relatively impervious lining, or a sheetpile wall, or where a low groundwater level is confirmed by observation wells installed in the embankment.
- For safety against breaching of the canal during the design earthquake, the slope of a straight line connecting the top of the

lining with the outboard toe of slope should not be steeper than 1 vertical on 2-1/2 horizontal.

- B. For embankment slopes with a presumed high groundwater level. These criteria apply to areas where the canal section does not contain a relatively impervious (such as Portland cement concrete) lining and where a low groundwater level is not disclosed by observation wells:

Steepest permissible outboard slope for earthquake stability

| Embankment Height | Embankment top width: W* = 14' to 17.5' | Embankment top width: W* = 10' + 1/2 H** | Embankment top width: W* = 10' + H** |
|---------------------------|--|---|---|
| less than or equal to 15' | 1 on 3 | 1 on 3 | 1 on 2*** |
| more than 15' | 1 on 3-1/2 | 1 on 3 | 1 on 2*** |

*W = top width of embankment

**H = height of embankment

*** = if evidence of seepage is not noticeable on surface of slope

For the purpose of this earthquake stability analysis we assumed that inboard slopes would have an inclination of 1 vertical on 3 horizontal where the canal section is not lined with concrete or does not contain sheet piling.

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